

DYDD MAWRTH, 27 MEDI 2022

AT: HOLL AELODAU'R CABINET

YR WYF DRWY HYN YN EICH GALW I FYNYCHU CYFARFOD AML-LEOLIAD O'R CABINET A GYNHELIR YN SIAMBR, NEUADD Y SIR, CAERFYRDDIN, SA31 1JP NEU O BELL AM 10.00 YB, DYDD LLUN, 3YDD HYDREF, 2022 ER MWYN CYFLAWNI'R MATERION A AMLINELLIR AR YR AGENDA SYDD YNGHLWM

Wendy Walters

PRIF WEITHREDWR

Swyddog Democrataidd:	Kevin Thomas
Ffôn (Ilinell uniongyrchol):	01267 224027
E-bost:	kjthomas@sirgar.gov.uk

Wendy Walters Prif Weithredwr, *Chief Executive*,
Neuadd y Sir, Caerfyrddin. SA31 1JP
County Hall, Carmarthen. SA31 1JP

Y CABINET

AELODAETH – 10 AELOD

Cynghorydd	Portffolio
Cynghorydd Darren Price	Arweinydd
Cynghorydd Linda Evans	Dirprwy Arweinydd ac Aelod Cabinet dros Gartrefi
Cynghorydd Glynog Davie	Addysg a'r Gymraeg
Cynghorydd Ann Davies	Materion Gwledig a Pholisi Cynllunio
Cynghorydd Philip Hughes	Trefniadaeth a'r Gweithlu
Cynghorydd Gareth John	Adfywio, Hamdden, Diwylliant a Thwristiaeth
Cynghorydd Alun Lenny	Adnoddau
Cynghorydd Edward Thomas	Gasanaethau Trafnidiaeth, Gwastraff a Seilwaith
Cynghorydd Jane Tremlett	Iechyd a Gwasanaethau Cymdeithasol
Cynghorydd Aled Vaughan Owen	Newid Hinsawdd, Datgarboneiddio a Chynaliadwyedd

AGENDA

1. YMDDIHEURIADAU AM ABSENOLDEB
2. DATGANIADAU O FUDDIANNAU PERSONOL
3. LLOFNODI FEL COFNOD CYWIR GOFNODION CYFARFOD Y CABINET A GYNHALWYD AR:-
 - 3.1 18FED GORFFENNAF 2022 5 - 14
 - 3.2 25AIN GORFFENNAF 2022 15 - 20
4. CWESTIYNAU Â RHYBUDD GAN YR AELODAU
 - 4.1 CWESTIWN GAN Y CYNGHORYDD TINA HIGGINS I CYNGHORYDD ANN DAVIES - YR AELOD CABINET DROS FATERION GWLEDIG A PHOLISI CYNLLUNIO

A fyddech chi'n gallu rhoi diweddariad i mi gan gynnwys manylion y gwaith a wnaed, y canlyniadau a chymau nesaf y Panel Gorchwyl a Gorffen ar gyfer Pobl Ddu, Asiaidd, ac Ethnig Leiafrifol. Hefyd a fyddech yn gallu anfon copi e-bost ataf neu roi'r ddolen i'r adroddiad gorffenedig i mi."
5. CWESTIYNAU A RHYBYDD GAN Y CYHOEDD
6. RHAGOLWG CYLLIDEB REFENIW 21 - 38
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14. GORCHYMYN I'R CYHOEDD ADAEL Y CYFARFOD

NI DDYLID CYHOEDDI'R ADRODDIAD SY'N YMWNEUD Â'R MATER CANLYNOL GAN EI FOD YN CYNNWYS GWYBODAETH EITHRIEDIG FEL Y'I DIFFINIWYD YM MHARAGRAFF 14 O RAN 4 O ATODLEN 12A I DDEDDF LLYWODRAETH LEOL 1972 FEL Y'I DIWYGIWYD GAN ORCHYMYN LLYWODRAETH LEOL (MYNEDIAD AT WYBODAETH) (AMRYWIO) (CYMRU) 2007. OS BYDD Y BWRDD, AR ÔL CYNNAL PRAWF LLES Y CYHOEDD YN PENDERFYNU YN UNOL Â'R DDEDDF, I YSTYRIED Y MATER HYN YN BREIFAT, GORCHMYNNIR I'R CYHOEDD ADAEL Y CYFARFOD YN YSTOD TRAFODAETH O'R FATH.

15. ADLINIO LLWYBR ARFORDIROL Y MILENIWM YM MORFA BACAS, Y BYNEA, LLANELLI

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CABINET

18 GORFFENNAF 2022

PRESENNOL Councillor D. Price (Cadeirydd)

Cynghorwyr (Yn y Siambr):

L.D. Evans

P.M. Hughes

G.H. John

A. Lenny

E.G. Thomas

A. Vaughan Owen

Cynghorwyr (Yn rhithwir):

G. Davies

Hefyd yn bresennol (Yn rhithwir):

Y Cynghorydd D.M. Cundy

Yr oedd y swyddogion canlynol yn gwasanaethu yn y cyfarfod (Yn y Siambr):

W. Walters, Prif Weithredwr;

C. Moore, Cyfarwyddwr Gwasanaethau Corfforaethol;

G. Morgans, Cyfarwyddwr Gwasanaethau Addysg a Phlant;

L.R. Jones, Pennaeth Gweinyddiaeth a'r Gyfraith;

N. Daniel, Pennaeth Gwasanaethau TGCh a Pholisi Corfforaethol;

S. Pilliner, Pennaeth Priffyrdd a Thrafnidiaeth;

A. Williams, Pennaeth y Gwasanaethau Amgylcheddol a Gwastraff;

D. Hockenhull, Rheolwr y Cyfryngau a Marchnata;

S. Rees, Cyfieithydd Ar Y Pryd;

J. Owens, Swyddog Gwasanaethau Democrataidd [Sylwedydd];

J. Owen, Swyddog Gwasanaethau Democrataidd [Cymerwr Cofnodion].

Yr oedd y swyddogion canlynol yn gwasanaethu yn y cyfarfod (Yn rhithwir):

J. Morgan, Pennaeth Cartrefi a Chymunedau Mwy Diogel;

S. Davies, Pennaeth Mynediad i Addysg;

I.R. Llewelyn, Rheolwr Blaen-gynllunio;

S. Walters, Rheolwr Datblygu Economaidd;

M. Evans Thomas, Prif Swyddog Gwasanaethau Democrataidd.

Siambr, Neuadd Y Sir, Caerfyrddin, SA31 1JP ac o bell: 10:00yb - 11:25yb

1. YMDDIHEURIADAU AM ABSENOLDEB

Derbyniwyd ymddiheuriadau am absenoldeb gan y Cynghorwyr A. Davies a J. Tremlett.

2. DATGANIADAU O FUDDIANNAU PERSONOL

Ni ddatganwyd unrhyw fuddiannau personol.

3. LLOFNODI FEL COFNOD CYWIR GOFNODION CYFARFOD Y CABINET A GYNHALWYD AR 4 GORFFENNAF 2022

PENDERFYNWYD YN UNFRYDOL Iofnodi cofnodion cyfarfod y Cabinet a gynhaliwyd ar 4 Gorffennaf 2022 gan eu bod yn gywir.

4. CWESTIYNAU Â RHYBUDD GAN YR AELODAU

Dywedodd y Cadeirydd nad oedd dim cwestiynau â rhybudd wedi cael eu cyflwyno gan yr Aelodau.

5. CWESTIYNAU Â RHYBYDD GAN Y CYHOEDD

Dywedodd y Cadeirydd nad oedd dim cwestiynau wedi dod i law gan y cyhoedd.

6. DATGANIAD GWELEDIGAETH Y CABINET 2022 - 2027

Bu'r Cabinet yn ystyried adroddiad a oedd yn atodiad i Ddatganiad Gweledigaeth y Cabinet 2022-2027. Wrth gyflwyno'r datganiad gweledigaeth 5 mlynedd, eglurodd yr Arweinydd, yn dilyn Etholiadau Llywodraeth Leol (Mai 2022), fod y Cabinet newydd wedi ymrwymo i gyhoeddi datganiad gweledigaeth cyn y Strategaeth Gorfforaethol oedd i'w gyhoeddi yn yr Hydref.

Dywedwyd y byddai'r adroddiadau a'r argymhellion perthnasol ynghylch prosiectau a rhaglenni penodol yn yr adroddiad yn cael eu cyflwyno drwy'r broses ddemocrataidd dros y pum mlynedd nesaf.

Roedd y datganiad yn nodi'r trywydd y mae'r Cabinet hwn am ei ddilyn a chanolbwyntio arno i wneud gwahaniaeth ar draws y Sir dros y 5 mlynedd nesaf. Nodwyd y byddai'r gwaith yn cynnwys cysylltu â phartneriaid i gryfhau'r economi, cynyddu ffyniant, a buddsoddi mewn tai, addysg, diwylliant, seilwaith a'r amgylchedd.

Nodwyd y byddai canlyniadau'r Arolwg Trigolion a'r Arolwg Staff yn rhan annatod o ddatblygiad llwyfannau polisi'r Cabinet yn y dyfodol. Yn ogystal, pwysleisiwyd, er mwyn mireinio ymhellach y datganiad gweledigaeth, fod deialog trawsbleidiol gyda'r holl Aelodau yn cael ei groesawu a bod nifer o gyfarfodydd eisoes wedi'u trefnu dros yr haf.

Rhodddwyd cyfle i bob Aelod Cabinet oedd yn bresennol yn y cyfarfod gyflwyno'r weledigaeth ar gyfer eu portffolio.

Yn unol â'r Protocol, gwahoddodd yr Arweinydd y Cynghorydd Rob James i ofyn y cwestiwn yr oedd wedi'i baratoi mewn perthynas â'r eitem hon.

Cwestiwn gan y Cynghorydd Rob James:

“Wrth ddarllen y ddogfen weledigaeth, mae'n debyg iawn i restr hir iawn o bethau i'w gwneud neu ddatganiadau cyffredinol megis; gweithio gyda chyrrff allanol a deall yr hyn y gellir ei wneud i gefnogi preswylwyr. Byddai hyd yn oed Cyngor o

dan arweiniad y Ceidwadwyr yn dweud hynny, mae'n brin iawn o fanylion ac mae'n debyg iawn i'r cynllun 'Symud Ymlaen, y 5 mlynedd nesaf', rwy'n credu sy'n cael ei adnabod yn y Cyngor fel y cynllun 96 pwynt. Yn wir, mae hwn yn gynllun sydd â 113 o bwyntiau, felly fy mhrif gwestiwn yw;

Sut rydym yn disgwyl i'r cyhoedd roi eu barn ar ddogfen sy'n brin o fanylion a nodau mesuradwy? Nid yw'n dweud pa ddyfodol yr hoffech chi ar gyfer addysg, nid yw'n dweud sut y byddech chi'n ceisio integreiddio iechyd a gofal cymdeithasol, nid yw'n dweud sut y byddwch chi'n ceisio adeiladu'r economi ar gyfer y dyfodol. Fel disgrifiodd y Cynghorydd Lenny, nid breuddwyd gwrach ydyw, nid yw mewn gwirionedd yn ymdrin ag unrhyw beth sy'n ymwneud â ffyniant na phwysau chwyddiant ar y gyllideb neu os byddech chi'n gallu cyflawni'r addewidion hyn mewn gwirionedd."

Ymateb gan yr Arweinydd:

"Rwy'n credu efallai bod camddealltwriaeth sylfaenol ar eich rhan o ran ble rydym arni ar hyn o bryd a pha rôl y mae'r datganiad gweledigaeth hwn yn ei chwarae o ran bwydo i'n Strategaeth Gorfforaethol. Byddwn i wedi gobeithio bod holl Aelodau'r Cyngor hwn wedi derbyn y neges yn glir, oherwydd roeddwn i wedi bod yn ei ddweud yn gyson am y 2 fis diwethaf. Mewn gwirionedd, rwy'n cofio cwrdd â chi'r tro cyntaf ar ôl yr etholiad, a phan wnaethom gwrdd â chi, y Cynghorydd Dot Jones a'r Cynghorydd Deryk Cundy, dywedais yn hollol glir mai'r uchelgais o'm safbwynt i oedd cyrraedd sefyllfa yn yr Hydref mewn perthynas â'r Strategaeth Gorfforaethol lle'r oedd yr holl safbwyntiau wedi'u hystyried. Dyma ein man cychwyn fel Cabinet, dyma'r hyn yr ydym yn rhagweld yw ein blaenoriaethau wrth symud ymlaen, ond rydym yn cydnabod y gallai fod gan eraill syniadau gwahanol. Dyna pam y mae'n bwysig ein bod yn ystyried barn trigolion, drwy'r Arolwg Trigolion, y staff, drwy'r Arolwg Staff a'ch hunain fel Aelodau drwy'r cyfarfodydd sydd eisoes wedi'u trefnu neu sydd wrthi'n cael eu trefnu gydag amrywiaeth o wahanol Aelodau. Felly mae'r safbwyntiau gwahanol hynny'n cael eu bwydo mewn modd mesuradwy a systematig, fel bod yr holl safbwyntiau hynny wedi'u hystyried pan fyddwn yn ymdrin â'r Strategaeth Gorfforaethol yn yr Hydref.

Dyna'r broses sydd wedi'i nodi ac rwyf wedi bod yn glir dros y ddau fis diwethaf o ran yr hyn rwy'n ei ddisgwyl gan wahanol rolau gwahanol grwpiau. Rwy'n credu bod trafodaeth i'w chael gyda'r Grŵp Llafur a chi eich hun fel Arweinydd Llafur o ran sut rydych chi'n gweld eich hunain yn cyfrannu at y broses honno ac nid yn unig i ddechrau o ran y Strategaeth Gorfforaethol ond yn fwy hirdymor o ran datblygu polisi yn y Cyngor hwn. Rwy'n credu bod gennych gyfle gwych i gyfrannu eich syniadau a'ch awgrymiadau ar nifer o wahanol gamau, dyma'r un cyntaf.

A dweud y gwir, rwyf braidd yn siomedig ynghylch y cwestiwn rydych wedi'i gyflwyno'r bore yma. Credaf ei fod yn ddiog. Roedd gennych gyfle i gyflwyno rhywfaint o feirniadaeth adeiladol o bosib, gallech chi fod wedi dod i'r cyfarfod hwn heddiw i ofyn i mi fel Arweinydd a ydw i'n cytuno bod angen cryfhau'r hyn a'r llall, ond dewisoch chi beidio â gwneud hynny. Fe ddewisoch chi'r opsiwn diog, ac rwy'n siŵr y byddai rhai yn dadlau mai pwrpas y cwestiwn yn syml oedd bachyn ar gyfer datganiad i'r wasg Llafur i'r Evening Post, ond rwy'n gobeithio eich bod chi a'r Grŵp Llafur yn rhoi rhyw ystyriaeth ddifrifol i sut rydych chi am ryngweithio â ni fel y Weinyddiaeth. Fy nghynnig fel yr wyf wedi amlinellu eto yn y

datganiadau rhagarweiniol i'r adroddiad hwn, yw cynnig trafodaeth ac mae'r cynnig dal ar gael, a mater i eraill yw cymryd y cynnig hwnnw o ddifrif.

Rwy'n gwrthod yn llwyr yr awgrym bod diffyg gweledigaeth yn y ddogfen hon. Mae'n uchelgeisiol ac yn gyffrous ond hefyd yn gyraeddadwy fel soniodd y Cynghorydd Lenny. Rydym yn wynebu pwysau ariannol ac rydym yn gwbl ymwybodol o hynny ac rydym yn benderfynol o weithio gydag eraill i geisio goresgyn y rheini. Mae'r weledigaeth yno ac mae'n fan cychwyn ar gyfer trafodaeth. Rwy'n edrych ymlaen at barhau â'r trafodaethau hynny gyda chi, gobeithio, mewn modd cadarnhaol dros y misoedd nesaf."

PENDERFYNWYD YN UNFRYDOL dderbyn Datganiad Gweledigaeth y Cabinet ar gyfer 2022-2027.

7. DIWRNODAU PARCIO AM DDIM MEWN TREFI

Ystyriodd y Cabinet adroddiad a oedd yn cynnwys gwybodaeth am y goblygiadau o ran cost ac adnoddau amrywio'r trefniadau presennol ar gyfer diwrnodau parcio am ddim ynghyd â'r opsiynau sydd ar gael i barhau â'r fenter parcio am ddim.

Dywedwyd mai nod polisi parcio am ddim y Cyngor oedd cynyddu nifer yr ymwelwyr mewn trefi drwy ddarparu parcio am ddim yn ei feysydd parcio talu ac arddangos ar hyd at bum diwrnod gwahanol bob blwyddyn i gefnogi digwyddiadau neu ymgyrchoedd ym mhob tref. Ar hyn o bryd, cyflwynwyd ceisiadau am y diwrnodau parcio am ddim ar-lein ac mae'n rhaid iddynt gael cefnogaeth y Cyngor Tref a'r Grŵp Rheoli Canol Tref priodol. Yn dilyn ymgynghoriad mewnol, cafodd y ceisiadau eu cyflwyno i'w cymeradwyo gan yr Aelod Cabinet.

Nododd y Cabinet, yn seiliedig ar adroddiadau annibynnol a gomisiynwyd fel rhan o fenter Deg Tref y Cyngor, fod cynrychiolwyr trefi gwledig wedi ceisio cynyddu nifer y diwrnodau parcio am ddim y tu hwnt i'r 5 diwrnod parcio am ddim y flwyddyn sydd gan y Cyngor ar waith ar hyn o bryd.

Ar ôl ystyried yr adroddiad a'r holl opsiynau oedd ar gael, cynigiwyd cymeradwyo opsiynau 5 a 6 yr adroddiad, ac eiliwyd y cynnig hwn.

Yn unol â'r Protocol, gwahoddodd yr Arweinydd y Cynghorydd Rob James i ofyn y cwestiwn yr oedd wedi'i baratoi mewn perthynas â'r eitem hon.

Cwestiwn gan y Cyngorydd Rob James:

"Rwy'n siŵr y byddai'r Cabinet yn cytuno â mi ei fod wedi bod yn gyfnod anodd i fusnesau lleol, a chredaf y bu dadlau ers amser maith bod parcio am ddim yn feganwaith posibl ar gyfer hybu busnesau lleol fel cymorth. Fel y gwelwch yn yr adroddiad, mae amrywiad mawr hefyd lle cynhyrchodd Tref Caerfyrddin yn benodol 70% o'r holl daliadau parcio ar gyfer y Sir gyfan. A fyddai'r Cabinet yn fodlon gweithio gyda ni i weld a allwn ni ddatblygu cynnig am barcio am ddim am 1 awr mewn meysydd parcio penodol ar draws y Sir i sicrhau y gallwn gefnogi'r busnesau lleol wrth i chi gyflwyno'r cynllun peilot hwn. Rwy'n credu y byddai parcio am ddim am 1 awr yn bolisi syml iawn a byddai'r cyhoedd yn ei ddeall yn iawn, ni fyddai anghysondeb o ran gwahanol ddyddiau ac amseroedd gwahanol, ac rwy'n credu y byddai'n cynyddu nifer yr ymwelwyr â'r trefi mewn gwirionedd."

Er ei fod yn derbyn y cwestiwn y tro hwn, gofynnodd yr Arweinydd i gwestiynau gael eu cyflwyno yn y dyfodol yn unol â gofynion y Protocol ynghylch Presenoldeb Aelodau Anweithredol mewn Cyfarfodydd Ffurfiol y Cabinet.

Ymateb gan yr Aelod Cabinet dros Wasanaethau Trafnidiaeth, Gwastraff a Seilwaith:

"Mae'r Cyngor wedi parhau i gefnogi busnesau lleol a chanol trefi dros sawl blwyddyn, cyn, yn ystod a thu hwnt i'r pandemig. Wrth ymgynghori â phob canol tref, mae'r Cyngor wedi darparu pum diwrnod parcio am ddim i gefnogi digwyddiadau, ac mae'r Cyngor wedi darparu cymorth pellach gyda chyfnodau parcio am ddim estynedig yn ystod yr wythnos ym mhob tref. Darperir parcio am ddim yn:

- Rhydaman – Dydd Llun, Dydd Mawrth a Dydd Mercher, 10:00am tan 2:00pm
- Caerfyrddin – Dydd Mawrth a Dydd Iau, 3:30pm tan 6:00pm
- Llanelli – Dydd Llun a Dydd Mawrth, 10:00am tan 4:00pm
- Llandeilo, Llanymddyfri, Castellnewydd Emlyn a Sanclêr - Dydd Llun i Dydd Mercher, 10:00am tan 2:00pm

O ran taliadau parcio yn fwy cyffredinol, mae taliadau parcio'r Cyngor hwn yn cymharu'n ffafriol â thaliadau parcio mewn Cyngorau cyfagos:

- Mae Sir Gâr yn codi tâl o rhwng £2.40 a £3.60 am hyd at 4 awr.
- Mae Abertawe er enghraifft yn codi tâl o £4.50 am 4 awr, os ydych chi'n parcio mewn maes parcio trefol ond £7 am NCP.
- Mae Castell-nedd Port Talbot yn codi £3.30 am 3-4 awr gan gynyddu i £3.80 wedi hynny
- Mae Ceredigion yn codi £3 am 3 awr, gan gynyddu i £3.80

Fodd bynnag, rydym yn cydnabod bod angen parhau i adolygu ein taliadau parcio a'n polisi parcio.

Cafodd Strategaeth Parcio'r Cyngor ei hadolygu a'i chymeradwyo ddiwethaf yn 2018, cafodd y strategaeth flaenorol ei diweddarau fel un o ganlyniadau gwaith Gorchwyl a Gorffen y Pwyllgor Craffu yn 2016.

Gallaf eich sicrhau ein bod ni fel Cabinet yn awyddus i weithio gyda rhanddeiliaid a phartneriaid ar draws y sir, gan gynnwys gweithio gyda busnesau Ardal Gwella Busnes Canol Trefi, Cynghorwyr Sir, Cynghorau Tref a Chymuned ac eraill er mwyn sicrhau bod ein strategaeth barcio yn gyfredol, yn seiliedig ar dystiolaeth ac yn sicrhau'r manteision economaidd mwyaf posibl i drefi gan gyfrannu hefyd at amcanion ehangach y Cyngor o ran helpu i leihau problemau traffig, tagfeydd ac ansawdd aer a buddsoddi yn ein system trafndiaeth gyhoeddus.

Rydym yn gwybod bod y galw am barcio yn cael ei ddylanwadu gan y math a swyddogaeth defnydd tir ac ansawdd system drafnidiaeth gyhoeddus. Mae angen i ni felly gadw mewn cof ac adolygu argaeledd trafndiaeth gyhoeddus yn lle ceir, yn unol ag amcanion Llywodraeth Cymru o ran lleihau teithiau mewn car ledled Cymru.

Mae dyletswydd arnom felly, i sicrhau bod ein Strategaeth Barcio yn gyson â Strategaeth Drafnidiaeth Llywodraeth Cymru, ac rwy'n awyddus i sicrhau bod unrhyw ymyriadau a gyflwynir yn gymesur a sicrhau ein bod yn cael cydbwysedd rhwng cefnogi canol trefi a busnesau a chefnogi amcanion datgarboneiddio mwy cynaliadwy.

Unwaith eto, rwy'n hapus i weithio gyda'r grŵp Llafur ar yr agenda hon a byddwn yn hapus i gwrdd â chi i drafod eich syniadau gyda'r posibilrwydd o'u cynnwys yn y Strategaeth Gorfforaethol yn y dyfodol fel y trafodwyd yn gynharach."

Eglurwyd mai pwrpas yr adroddiad oedd mewn ymateb i gais i'r Cabinet yn enwedig yn achos Llanymddyfri a bod nifer o opsiynau wedi'u datblygu fel rhan o'r fenter Deg Tref. Yn ogystal, cydnabuwyd y gallai twf economaidd tref Llanymddyfri elwa o'r fenter parcio am ddim ac felly byddai opsiwn 5 a gynigir yn argymhellion yr adroddiad i gynnal cynllun peilot am 12 mis yn casglu gwybodaeth berthnasol bwysig ac yn asesu effaith darpariaeth estynedig o 12 diwrnod yn Llanymddyfri.

Yn ogystal, o ran parcio am ddim, fe wnaeth y Cabinet gydnabod bod anghysondeb ar draws y Sir. Felly, eglurwyd mai opsiwn 6 a gynigir yn argymhellion yr adroddiad, fyddai adolygu'r strategaeth barcio am ddim bresennol.

PENDERFYNWYD YN UNFRYDOL;

- 7.1 cynnal cynllun peilot am 12 mis i asesu effaith darpariaeth estynedig o 12 diwrnod yn Llanymddyfri.**
- 7.2. cynnal adolygiad o'r strategaeth parcio am ddim bresennol ar draws y Sir, sy'n gysylltiedig â'r strategaeth parcio cyffredinol a gymeradwywyd yn 2018, a bydd y Cabinet yn ystyried adroddiad yn y dyfodol i gadarnhau Cylch Gwaith yr adolygiad.**

8. POLISI ENWI STRYDOEDD A RHIFO EIDDO

Mewn ymateb i'r rhybudd o gynnig a phenderfyniad dilynol y Cyngor ar 13 Hydref 2021 [gweler cofnod rhif 9.1], rhoddodd y Cabinet ystyriaeth i adroddiad a oedd

yn amlinellu gwybodaeth am y Polisi Enwi Strydoedd a Rhifo Eiddo Drafft a oedd wedi'i atodi i'r adroddiad.

Roedd y polisi yn darparu fframwaith er mwyn i Gyngor Sir Caerfyrddin weithredu'r swyddogaeth Enwi Strydoedd a Rhifo Eiddo yn effeithiol ac yn effeithlon er budd trigolion Sir Gaerfyrddin, y gwasanaethau brys, busnesau ac ymwelwyr â'r sir. Yn ogystal, roedd y polisi yn sicrhau bod y Cyngor yn adlewyrchu'r pwerau a'r dyletswyddau deddfwriaethol perthnasol hynny, gan gynnwys Deddf yr Iaith Gymraeg 1993, Mesur y Gymraeg (Cymru) 2011, Deddf Llesiant Cenedlaethau'r Dyfodol 2015 ac Adrannau 17 i 19 o Ddeddf Iechyd y Cyhoedd (1925).

Nodwyd mai nod y Polisi oedd darparu cyngor ac arweiniad i ddatblygwyr a pherchnogion eiddo presennol wrth ystyried datblygiadau newydd, newydd, addasu eiddo neu leiniau mewnlenni unigol, yn ogystal â newid enw eiddo presennol. Roedd hefyd yn ganllaw i Gyngorau Tref a Chymuned ynglŷn â'r fframwaith cyfreithiol ar gyfer gweithredu'r Swyddogaeth Enwi Strydoedd a Rhifo Eiddo a'r protocolau ar gyfer pennu'r enwau swyddogol ar strydoedd a rhifau eiddo.

Roedd Aelodau'r Cabinet yn falch o gael nodi fod y polisi yn cydnabod pwysigrwydd hyrwyddo'r Gymraeg, ac mewn perthynas ag enwau strydoedd ac eiddo, byddai'n hyrwyddo a mabwysiadu enw Cymraeg a oedd yn gyson â threftadaeth a hanes yr ardal.

Yn ogystal, nododd y Cabinet y byddai ymgynghoriad cyhoeddus llawn yn cael ei gynnal ar ôl cael cymeradwyaeth gan y Cyngor, ac y byddai unrhyw sylwadau a dderbynnir yn cael eu hadrodd yn ôl i'r Cyngor eu hystyried cyn i'r polisi gael ei fabwysiadu.

PENDERFYNWYD YN UNFRYDOL ARGYMELL I'R CYNGOR:

- 8.1 bod y Polisi Enwi Strydoedd a Rhifo Eiddo Drafft yn cael ei gymeradwyo am gyfnod o 28 diwrnod o ymgynghori cyhoeddus;**
- 8.2 bod unrhyw sylwadau a dderbynnir i'r ymgynghoriad, ynghyd ag argymhellion swyddogion, yn cael eu hadrodd yn ôl i'r Cyngor i'w trafod.**

9. CYMUNEDAU CYNALIADWY SIR GAERFYRDDIN AR GYFER DYSGU (CCSGD) (Y RHAGLEN MODERNEIDDIO ADDYSG YN FLAENOROL) ANGHENION DYSGU YCHWANEGOL

Bu'r Cabinet yn ystyried adroddiad ar raglen Cymunedau Dysgu Cynaliadwy Sir Gaerfyrddin (y Rhaglen Moderneiddio Addysg gynt) - Anghenion Dysgu Ychwanegol. Roedd yr adroddiad yn gofyn am gymeradwyaeth y Cabinet ar adliniad i'r Rhaglen Gyfalaf i hwyluso gwaith brys i gynyddu nifer y lleoedd arbenigol i ddisgyblion ag Anghenion Dysgu Ychwanegol / Anhwylder sbectrum awtistig.

Nodwyd bod mater brys mewn perthynas â darpariaeth Anhwylderau'r Sbectrwm Awtistig wedi codi oedd yn ei gwneud yn ofynnol i'r Cabinet wneud addasiad (trosglwyddiad) i'r rhaglen gyfalaf er mwyn mynd i'r afael â'r angen brys hwn.

Nododd y Cabinet nad oedd dim darpariaeth ar hyn o bryd yn y dyraniad cyfalaf yn benodol ar gyfer Anhwylderau'r Sbectrwm Awtistig (ASD) ym Mand A neu B o Raglen Cymunedau Dysgu Cynaliadwy Sir Gaerfyrddin (Rhoglen Moderneiddio Addysg gynt). Cyfanswm cost y gyllideb i wneud y gwaith brys ar gyfer Medi 2022 fel yr amlinellir yn yr adroddiad oedd £1.76 miliwn ac o ystyried bod costau'r gyllideb yn sylweddol, roedd angen cymeradwyo'r trosglwyddiad.

PENDERFYNWYD YN UNFRYDOL gymeradwyo trosglwyddiad yn y rhaglen gyfalaf i ganiatáu i'r gwaith brys hwn gael ei wneud ar frys

10. UNRHYW FATER ARALL

Dywedodd y Cadeirydd nad oedd unrhyw eitemau eraill o fater brys.

11. GORCHYMYN I'R CYHOEDD ADAEL Y CYFARFOD

PENDERFYNWYD YN UNFRYDOL, yn unol â Deddf Llywodraeth Leol 1972, fel y'i newidiwyd gan Orchymyn Llywodraeth Leol (Mynediad at Wybodaeth) (Amrywio) (Cymru) 2007, orchymyn i'r cyhoedd adael y cyfarfod tra oedd yr eitemau canlynol yn cael eu hystyried, gan fod yr adroddiadau'n cynnwys gwybodaeth eithriedig fel y'i diffiniwyd ym mharagraff 14 o Ran 4 o Atodlen 12A i'r Ddeddf.

12. LLYWODRAETH Y DU: Y GRONFA FFYNIANT BRO, CYNNIG LLANELLI

Ar ôl cynnal prawf budd y cyhoedd PENDERFYNWYD, yn unol â'r Ddeddf y cyfeiriwyd ati yng nghofnod rhif 11 uchod, beidio â chyhoeddi cynnwys yr adroddiad gan ei fod yn cynnwys gwybodaeth eithriedig am faterion ariannol neu faterion busnes unigolyn penodol (gan gynnwys yr Awdurdod oedd yn meddu ar y wybodaeth honno) (Paragraff 14 o Ran 4 o Atodlen 12A i'r Ddeddf). Roedd prawf budd y cyhoedd mewn perthynas â'r adroddiad hwn yn drech na'r budd i'r cyhoedd o ran datgelu'r wybodaeth a geir ynddo oherwydd byddai datgelu'r wybodaeth hon o bosibl yn niweidio buddiannau busnesau sy'n meddiannu'r safle dan sylw ar hyn o bryd ac yn tanseilio sefyllfa'r Cyngor wrth geisio caffael tir ar gyfer y prosiect.

<TRAILER_SECTION>

Rhoddodd y Cabinet ystyriaeth i adroddiad oedd yn cynnwys gwybodaeth am Etholaeth Llanelli a cheisiadau am gyllid ar gyfer Trafnidiaeth a oedd wedi'u paratoi i'w cyflwyno i gylch 2 Rhaglen Ffyniant Bro Llywodraeth y DU.

PENDERFYNWYD YN UNFRYDOL fod y ceisiadau i'w cyflwyno o ran Etholaeth Llanelli a cheisiadau am gyllid ar gyfer Trafnidiaeth , fel y manylir

yn yr adroddiad, ar gyfer cylch 2 Rhaglen Ffyniant Bro Llywodraeth y DU yn cael eu cymeradwyo.

<TRAILER_SECTION>

Rhoddodd y Cabinet ystyriaeth i adroddiad oedd yn cynnwys gwybodaeth am Etholaeth Llanelli a cheisiadau am gyllid ar gyfer Trafnidiaeth a oedd wedi'u paratoi i'w cyflwyno i gylich 2 Rhaglen Ffyniant Bro Llywodraeth y DU.

PENDERFYNWYD YN UNFRYDOL fod y ceisiadau i'w cyflwyno o ran Etholaeth Llanelli a cheisiadau am gyllid ar gyfer Trafnidiaeth , fel y manylir yn yr adroddiad, ar gyfer cylch 2 Rhaglen Ffyniant Bro Llywodraeth y DU yn cael eu cymeradwyo.

CHAIR

DATE

Mae'r dudalen hon yn wag yn fwriadol

DYDD LLUN, 25 GORFFENNAF 2022

YN BRESENNOL: Y Cyngorydd L.D. Evans (Yr Is-gadeirydd yn cadeirio'r cyfarfod)

Cynghorwyr (Yn y Siambr):

C.A. Davies	G. Davies	P.M. Hughes	G.H. John
A. Lenny	E.G. Thomas	A. Vaughan Owen	

Cynghorwyr (Yn rhithwir):

J. Tremlett

Hefyd yn bresennol (yn rhithwir):

Y Cyngorydd D. Cundy

Roedd y Swyddogion canlynol yn bresennol yn y cyfarfod (yn y Siambr):

W. Walters, Prif Weithredwr
 C. Moore, Cyfarwyddwr Gwasanaethau Corfforaethol
 L.R. Jones, Pennaeth Gweinyddiaeth a'r Gyfraith
 G. Morgans, Cyfarwyddwr Gwasanaethau Addysg a Phlant
 D. Hockenhull, Rheolwr y Cyfryngau a Marchnata
 A. Williams, Pennaeth y Gwasanaethau Amgylcheddol a Gwastraff
 H. Morgan, Rhelwyr Datblygu Economaidd
 C. S. Higginson, Media Manager
 S. Rees, Cyfieithydd Ar Y Pryd
 J. Owens, Swyddog Gwasanaethau Democrataidd [Cymerwr Cofnodion]

Roedd y Swyddogion canlynol yn bresennol yn y cyfarfod (yn rhithwir):

J. Morgan, Pennaeth Cartrefi a Chymunedau Mwy Diogel
 I. Jones, Pennaeth Hamdden
 M. Evans Thomas, Prif Swyddog Gwasanaethau Democrataidd
 J. Owen, Swyddog Gwasanaethau Democrataidd

Siambr - Neuadd y Sir, Caerfyrddin. SA31 1JP ac o bell - 10.00 - 10.52 yb

1. YMDDIHEURIADAU AM ABSENOLDEB

Cafwyd ymddiheuriad am absenoldeb gan y Cyngorydd D. Price.

2. DATGAN BUDDIANNAU PERSONOL.**Enw****Eitem ar yr Agenda****Datgan Buddiant**

W. Walters, Prif
Weithredwr

12 - Prosiect Cyfalaf
Oriol Myrddin

Mae ei gŵr yn gweithio i
un o'r contractwyr ar y
fframwaith.

3. CWESTIYNAU Â RHYBYDD GAN YR AELODAU

Dywedodd y Cadeirydd nad oedd dim cwestiynau â rhybudd wedi cael eu cyflwyno gan yr Aelodau.

4. CWESTIYNAU Â RHYBYDD GAN Y CYHOEDD

Dywedodd y Cadeirydd nad oedd dim cwestiynau wedi dod i law gan y cyhoedd.

5. CRONFA FFYNIANT GYFFREDIN Y DU

Bu'r Cabinet yn ystyried adroddiad a oedd yn manylu ar lefel y cyllid i'w ddarparu i Awdurdodau Lleol ar draws rhanbarth De-Orllewin Cymru yn ystod y 3 blynedd nesaf yn unol â meysydd blaenoriaeth Cronfa Ffyniant Gyffredin y DU.

Gofynnwyd i'r Cabinet gymeradwyo Cynllun Buddsoddi Rhanbarthol De-Orllewin Cymru a gyflwynwyd yn Atodiad A i'r adroddiad, a oedd wedi'i gydlyn gan Gyngor Abertawe fel yr Awdurdod arweiniol ar ran rhanbarth y De-orllewin, i gael ei gymeradwyo gan Lywodraeth y DU a rhoi'r Gronfa ar waith ar ôl hynny.

PENDERFYNWYD YN UNFRYDOL:

- 5.1 Cymeradwyo'r camau a gymerwyd hyd yn hyn i alluogi'r Sir i elwa o Gronfa Ffyniant Gyffredin y DU;
- 5.2 Cymeradwyo'r Cynllun Buddsoddi Rhanbarthol ar gyfer De-orllewin Cymru sy'n nodi sut y bydd Cronfa Ffyniant Gyffredin y DU yn cael ei defnyddio yn y rhanbarth rhwng 2022/23 a 2024/25, cyn cyflwyno'r cynllun i Lywodraeth y DU.

6. EISTEDDFOD GENEDLAETHOL YR URDD SIR GAERFYRDDIN 2023

Bu'r Cabinet yn ystyried adroddiad a oedd yn rhoi gwybodaeth am Eisteddfod Genedlaethol yr Urdd i'w chynnal yn Sir Gaerfyrddin yn 2023. Nodwyd bod y digwyddiad wedi ei ohirio yn 2021 o ganlyniad i bandemig coronafeirws.

Cydnabu'r Cabinet y buddion a fyddai'n deillio o'r Eisteddfod o ran llesiant economaidd Sir Gaerfyrddin, a'r cyfraniad at ddatblygiad y Gymraeg yn y sir a oedd yn adlewyrchu'r ymrwymiad i gefnogi'r dyhead o gyrraedd miliwn o siaradwyr Cymraeg erbyn 2050. Yn hyn o beth, cyfeiriwyd at Gynllun Strategol y Gymraeg mewn Addysg yr Awdurdod a gymeradwywyd yn y ddiweddar gan Weinidog y Gymraeg ac Addysg a oedd yn nodi sut y byddai'r Cyngor yn datblygu'r ddarpariaeth Gymraeg yn ei ysgolion yn ystod y 10 mlynedd nesaf.

Nododd y Cabinet y cais a wnaed gan yr Urdd am gymorth ychwanegol posibl i sicrhau bod y digwyddiad yn cael ei reoli'n llwyddiannus yn sgil y newidiadau a wnaed yn dilyn pandemig coronafeirws. Yn hyn o beth, cafodd goblygiadau staffio'r Awdurdod eu hystyried gan y Cabinet. Cyfeiriwyd at Gytundeb Lefel Gwasanaeth a fyddai'n cael ei lunio rhwng yr Awdurdod a'r Urdd i sicrhau bod y profiad gorau posibl yn cael ei roi i'r plant, y bobl ifanc, a thrigolion y sir yn ystod y cyfnod paratoi 12 mis ac yn ystod wythnos y digwyddiad.

PENDERFYNWYD YN UNFRYDOL:

- 6.1** Bod cymorth ariannol yn cael ei roi i Eisteddfod Genedlaethol yr Urdd Sir Gâr 2023, gan roi cyfraniad ariannol o £80,000 i Eisteddfod yr Urdd;
- 6.2.** Rhoi cymorth i Eisteddfod Genedlaethol yr Urdd yn unol â'r gwasanaethau a nodir yn yr adroddiad;
- 6.3** Penodi'r Rheolwr Marchnata a'r Cyfryngau i arwain y prosiect, gan gyflwyno adroddiadau chwarterol i'r Cabinet.

7. PANEL YMGYNGHOROL TRAWSBLEIDIOL YNGHYLCH NEWID YN YR HINSAWDD

Gan gyfeirio at gofnod 9.2 y cyfarfod a gynhaliwyd ar 4 Gorffennaf 2022, rhoddwyd ystyriaeth i adroddiad ar gylch gwaith ac aelodaeth arfaethedig y Panel Ymgynghorol Trawsbleidiol ar Newid yn yr Hinsawdd, a oedd yn cael ei sefydlu i gefnogi dull yr Awdurdod o fynd i'r afael â newid yn yr hinsawdd a'r argyfwng natur.

PENDERFYNWYD YN UNFRYDOL gymeradwyo aelodaeth a chylch gwaith y Panel Ymgynghorol trawsbleidiol ar Newid yn yr Hinsawdd fel y nodwyd yn yr adroddiad.

8. ADRODDIAD BLYNYDDOL YNGYLCH RHEOLI'R TRYSORLYS A'R DANGOSYDD DARBODAETH 2021-2022

Fel rhan o ofynion Côt Ymarfer diwygiedig CIPFA ar gyfer Rheoli'r Trysorlys, rhoddodd y Cabinet ystyriaeth i Adroddiad Blynyddol y Cyngor ynghylch Rheoli'r Trysorlys a Dangosyddion Darbodaeth ar gyfer 2021-22.

Mabwysiadodd y Cyngor Bolisi a Strategaeth Rheoli'r Trysorlys a'r rhaglen gyfalaf bum mlynedd ar gyfer 2021-22 ar 3 Mawrth 2021. Rhestrododd yr adroddiad blynyddol y gweithgareddau a gynhaliwyd yn 2021-22.

PENDERFYNWYD ARGYMELL I'R CYNGOR ei fod yn mabwysiadu'r Adroddiad Blynyddol ynghylch Rheoli'r Trysorlys a Dangosyddion Darbodaeth 2021-22.

9. ADRODDIAD MONITRO CYLLIDEB REFENIW Y CYNGOR

Bu'r Cabinet yn ystyried Adroddiad Monitro Cyllideb Refeniw'r Cyngor a oedd yn rhoi sefyllfa ariannol diwedd blwyddyn i aelodau mewn perthynas â 2021/22.

Roedd y ffigurau alldro terfynol yn dangos tanwariant ar gyfer y flwyddyn ar lefel adrannol o £5,345k (ac yn cynnwys effaith y dyfarniad cyflog ar gyfer Ebrill 2021 y dyrannwyd mwy yn y gyllideb ar ei gyfer na'r hyn oedd ei angen). Ar ôl ystyried y taliadau cyfalaf a'r symudiad mewn cronfeydd wrth gefn a glustnodwyd a

chronfeydd wrth gefn adrannol, roedd y sefyllfa net ar gyfer yr Awdurdod yn golygu tanwariant o £1,433k.

Nododd y Cabinet fod y tanwariant, yn bennaf, i'w briodoli i ffactorau a oedd yn ymwneud â phandemig coronafeirws. Yn hyn o beth, dywedwyd bod cyllid grant ychwanegol sylweddol wedi'i dderbyn oddi wrth Lywodraeth Cymru cyn diwedd y flwyddyn, a bod costau pellach yn gysylltiedig â'r coronafeirws wedi cael eu had-dalu i raddau helaeth o dan gynllun caledi Llywodraeth Cymru. Eglurwyd hefyd bod rhywfaint o gyllido cyfalaf o dan wariant wedi cael ei ddefnyddio i fynd i'r afael â phwysau sylweddol ar gyllidebau prosiectau cyfalaf yn ystod y flwyddyn. Ar ben hynny, cydnabuwyd bod rhai gwasanaethau wedi cael eu hoedi neu eu lleihau oherwydd cyfyngiadau coronafeirws yn ogystal â swyddi gwag yn ystod y cyfnod adrodd a gyfrannodd at y tanwariant.

Rhodddwyd ystyriaeth i'r Cyfrif Refeniw Tai a atodwyd wrth yr adroddiad yn Atodiad B a oedd yn nodi tanwariant o £8,907k ar gyfer 2021/22. Rhodddwyd crynodeb o'r prif amrywiannau i'r Cabinet mewn perthynas ag atgyweiriadau a chynnal a chadw, goruchwyllo, rheoli a chymorth, darpariaeth ar gyfer drwgddyled, taliadau cyllido cyfalaf, cyllid grant ac incwm.

PENDERFYNWYD YN UNFRYDOL dderbyn adroddiad Monitro Cyllideb Refeniw y Cyngor ar gyfer Diwedd y Flwyddyn 2021-22.

10. UNRHYW FATER ARALL Y GALL Y CADEIRYDD OHERWYDD AMGYLCHIADAU ARBENNIG BENDERFYNU EI YSTYRIED YN FATER BRYN YN UNOL AG ADRAN 100B(4)(B) O DDEDDF LLYWODRAETH LEOL, 1972.

Dyweddodd y Cadeirydd nad oedd unrhyw eitemau brys wedi dod i law.

11. GORCHYMYN I'R CYHOEDD ADAEL Y CYFARFOD

PENDERFYNWYD YN UNFRYDOL, yn unol â Deddf Llywodraeth Leol 1972, fel y'i diwygiwyd gan Orchymyn Llywodraeth Leol (Mynediad at Wybodaeth) (Amrywio) (Cymru) 2007, orchymyn i'r cyhoedd adael y cyfarfod tra oedd yr eitem ganlynol yn cael ei hystyried, gan fod yr adroddiad yn cynnwys gwybodaeth eithriedig fel y'i diffiniwyd ym Mharagraff 14 o Ran 4 o Atodlen 12A i'r Ddeddf.

12. PROSIECT CYFALAF ORIEL MYRDDIN

Gadawodd y Prif Weithredwr, W. Walters, y cyfarfod yn dilyn datgan buddiant yn yr eitem hon yn gynharach.

Ar ôl cynnal prawf budd y cyhoedd PENDERFYNWYD, yn unol â'r Ddeddf y cyfeiriwyd ati yng nghofnod rhif 11 uchod, beidio â chyhoeddi cynnwys yr adroddiad gan ei fod yn cynnwys gwybodaeth eithriedig am faterion ariannol neu faterion busnes unigolyn penodol (gan gynnwys yr Awdurdod oedd yn meddu ar y wybodaeth honno) (Paragraff 14 o Ran 4 o Atodlen 12A i'r Ddeddf). Roedd y prawf budd y cyhoedd mewn perthynas â'r adroddiad hwn yn drech na'r budd i'r cyhoedd o ran datgelu'r wybodaeth a geir ynddo oherwydd byddai datgelu'r wybodaeth yn tanseilio sefyllfa'r Cyngor yn y

broses gaffael a bod yn niweidiol mewn modd annheg i'r contractwr a ffefrir yn y farchnad ehangach.

Bu'r Cabinet yn ystyried adroddiad a oedd yn rhoi gwybodaeth am ddatblygu Oriel Myrddin.

PENDERFYNWYD YN UNFRYDOL gymeradwyo'r argymhellion fel y nodir yn yr adroddiad er mwyn cefnogi datblygu Oriel Myrddin.

CHAIR

DATE

Mae'r dudalen hon yn wag yn fwriadol

**Y CABINET
3 HYDREF 2022**

RHAGOLWG CYLLIDEB REFENIW

Yr argymhellion / penderfyniadau allweddol sydd eu hangen:

1. Mae'r Cabinet yn derbyn rhagolwg cychwynnol y gyllideb ac yn ystyried y lefel yng nghodiadau Treth y Cyngor a lefel effeithlonrwydd ysgolion y mae'n eu hystyried yn briodol ar gyfer datblygu'r Cynllun Ariannol Tymor Canolig.
2. Cabinet yn cymeradwyo'r dull arfaethedig i adnabod yr arbedion gofynnol.
3. Cabinet yn nodi'r dull arfaethedig i'r Ymgynghoriad Cyllideb.

Y Rhesymau:

I ddarparu trosolwg i'r Cabinet o'r materion cyllidebol a'r rhagolygon ar gyfer y blynyddoedd nesaf.

Angen ymgynghori â'r Pwyllgor Craffu perthnasol AMHERTHNASOL

Angen i'r Cabinet wneud penderfyniad OES

Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R CABINET SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cyng. Alun Lenny

Y Gyfarwyddiaeth
Gwasanaethau Corfforaethol

Enw Cyfarwyddwr y
Gwasanaeth:
Chris Moore

Awdur yr Adroddiad:
Randal Hemingway

Swyddi:

Cyfarwyddwr y
Gwasanaethau Corfforaethol

Pennaeth Gwasanaethau
Ariannol

Rhifau ffôn: 01267 224120

Cyfeiriadau e-bost:
CMoore@sirgar.gov.uk

RHemingway@sirgar.gov.uk

EXECUTIVE SUMMARY
Cabinet
3rd OCTOBER 2022

REVENUE BUDGET OUTLOOK

The report appraises members of the current financial outlook and updates our current financial model covering the next financial year.

The report outlines the proposals for taking forward the budget preparation for the next year.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **C Moore**

Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	NONE	YES	NONE	NONE	NONE	NONE

1. Policy, Crime & Disorder and Equalities

The budget is being prepared having regard for the Council's Corporate Strategy.

Equalities Impact Assessments will be undertaken on budget proposals in order to consider and assess the potential impact with respect to protected characteristic groups and the Welsh language. All budget proposals considered to have an impact on front line services will undergo a period of public consultation. The Equalities Impact Assessments will be further developed following consideration of possible mitigation measures to reduce the impact once the responses and findings of the budget consultation have been received.

3. Finance

Council Fund

The report provides an updated view of the Budget Outlook for 2023/24, with updated views of base case and best case scenarios.

The financial model tabled above will be updated as and when more information becomes available, including the provisional and final settlement from Welsh Government.

Provisional settlement is expected in December 2022.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **C Moore** **Director of Corporate Services**

1. Scrutiny Committee

Consultation with Scrutiny Committees will be undertaken during the budget process.

2. Local Member(s)

N/A

3. Community / Town Council

All budget proposals considered to have an impact on front line services will undergo a period of public consultation before the final budget is set.

4. Relevant Partners

N/A

5. Staff Side Representatives and other Organisations

Consultation with Staff Side Representatives and other organisations will be undertaken and results will be reported during the budget process.

**CABINET MEMBER PORTFOLIO
HOLDER(S) AWARE/CONSULTED**

NO

Include any observations here

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2022/23 3 Year Revenue Budget		County Hall, Carmarthen

REPORT OF DIRECTOR OF CORPORATE SERVICES

Cabinet

3rd October 2022

BUDGET OUTLOOK 2023/24 to 2025/26

HEAD OF SERVICE & DESIGNATION.	DIRECTORATE	TELEPHONE NO.
R Hemingway, Head of Financial Services	Corporate Services	01267 224886
AUTHOR & DESIGNATION	DIRECTORATE	TELEPHONE NO
R Hemingway, Head of Financial Services	Corporate Services	01267 224886

1. PURPOSE OF REPORT

The purpose of this report is to:

- 1.1. Update Cabinet on the current financial outlook
- 1.2. Review and agree our financial model assumptions
- 1.3. Agree savings targets for taking forward the budget preparation

2. BACKGROUND

- 2.1. The current three year Medium Term Financial Plan (MTFP) was agreed by Council on 2nd March 2022 and was based on estimates of known commitments at that time, and formulated in the context of the Welsh Government (WG) financial settlement for 2022/23, including indicative settlements and years 2 and 3 of the plan and estimates for other key inputs.

At the time of budget setting, the risk of inflation was noted, however the greatest uncertainty at that time was judged to be the unknown impact on ongoing costs and reduced income due to COVID19.

- 2.2. Since then, there have been a number of significant changes to the external environment which will have a significant bearing on our budget going forward, most notably:

- 2.2.1. Significantly higher general inflation, which is also expected to last longer, leading to sustained cost of living pressures
- 2.2.2. Particularly acute increases in energy prices, impacting both transportation costs as well as heating and electricity bills for both households and businesses
- 2.2.3. A strong response from national unions, including the largest rail disruption for three decades, and confirmed strike action from postal staff, dock workers, refineries as well as widely predicted action from NHS staff
- 2.2.4. A full relaxation of COVID19 public health restrictions

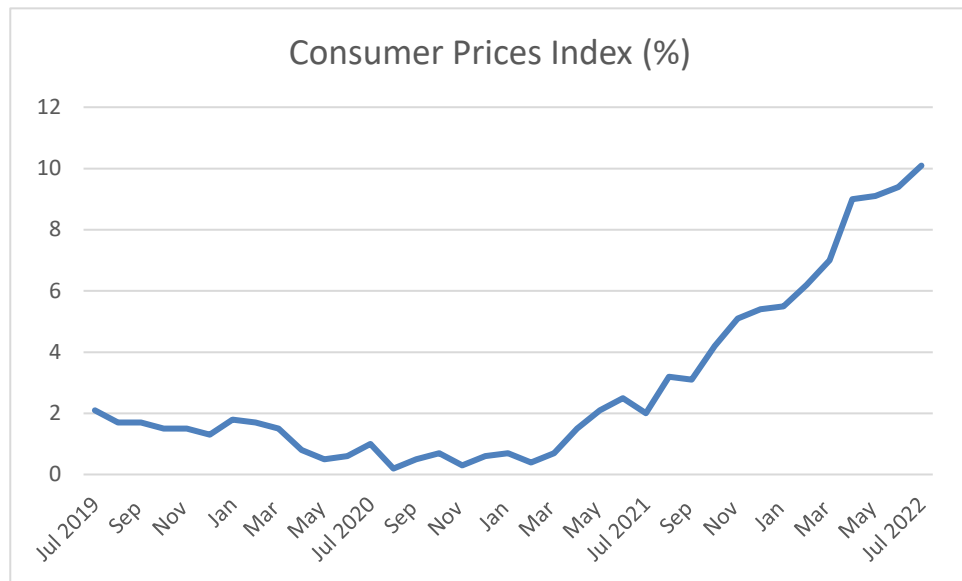
3. CURRENT POSITION

INFLATION

3.1 UK inflation is being driven by a range of factors:

- 3.1.1. Increased global demand for goods and services as vaccinations have enabled the world to live with COVID19;
- 3.1.2. Supply shortages, in part caused by China's application of strict lockdowns in pursuit of its "Zero Covid" policy;
- 3.1.3. Acute increases in both food staples and energy prices driven by the war in Ukraine. Higher wholesale energy costs not only increase direct transport and domestic prices, but also drive up the price of both manufacturing and transporting goods.
- 3.1.4. A tightening of the UK labour market, in part due to the effects of Brexit reducing migrant labour.

3.2. Inflation forecasts have changed rapidly in recent months. What was previously expected to be modest and "transitory" is now already at 10% and expected to persist higher and longer. The Bank of England's most recent forecast (September 2022) is that CPI inflation is expected to peak at around 11% following the UKG energy interventions, with economic growth forecasts reduced and forecasting a recession, albeit shallower than would have been the case without the intervention.



Source: ONS

- 3.3.** The COVID19 pandemic led to two years of additional health spending, reduced tax revenues as well as the unprecedented financial scale and duration of the furlough scheme. Public Sector Borrowing for January to June 2022 was c.£66bn compared with just £13bn in Jan-June 2019. The planned increases in national insurance have been subsequently reduced in response to the cost of living crisis, further worsening public sector finances over the medium term.
- 3.4.** Following Boris Johnson’s resignation, a new Conservative Government has been formed under Liz Truss as Prime Minister. Support has been announced, capping bills for households and businesses. Whilst some details are not yet clear, the cost has been estimated at a staggering £100bn, around 50% more than the total cost of the Furlough scheme. It is widely understood this cost will be met by increased borrowing.
- 3.5.** In this context, it is reasonable to assume that HM Treasury will need to be cautious when considering the funding envelope available for future years departmental spending – the previous UK Government has already promised a cut to income tax in the run up to the next general election. Having spent extraordinarily during the pandemic, and facing significantly increased borrowing costs, there are likely to be further attempts to rebalance public sector finances over time.
- 3.6.** Our previous assumption on inflation for 2023/24 was 3%. The largest impacts on the council’s revenue budget from the current inflationary situation are as follows:

- Pay – because higher inflation will increase pressure for higher pay awards (and staffing is our single largest expenditure item)
- Commissioned care – because it will affect the real living wage (to be announced 22 September), on which contractual increases are based.
- Energy – despite the additional pressure in 2022-23 budget, our forward purchasing actually mitigated the worst increases at that time. The budget increase required for 2023-24 will certainly be significantly higher – so far between 1/3 and 1/2 of next year's requirements have been purchased – indications are of a possible doubling or even tripling of gas and electricity budgets required £5-10million (vs £700k last year).
- Food – last year we had to provide for a double digit increase in food prices from a local supplier. Based on current trends a further increase is likely to be required next year

BASE CASE ASSUMPTION – INCREASE INFLATION ASSUMPTION FOR 2023/24 TO 5%, WITH PAY, COMMISSIONED CARE AND ENERGY TREATED SEPARATELY

BEST CASE – INCREASE 2023/24 TO 4%

UKRAINE CRISIS

- 3.7. Following the launch of the “Homes for Ukrainians” scheme in March 2022 and WG’s decision to be a super sponsor, there is an emerging funding pressure. We currently understand that education funding will be provided on a monthly prorated basis, but with a cliff-edge at the end of the current financial year. Our estimate is that current arrivals represent around one third of the possible final number, meaning that for the majority of school age children, we could expect to receive less than half of the indicated Year 1 tariff. Additionally members should note that both the main funding support as well as education funding is for Year 1 only, presenting a clear mismatch vs a three year visa.

BASE CASE ASSUMPTION – £1M FUNDING SHORTFALL (COULD BE HIGHER)

BEST CASE – ASSUME FUNDING IS ADDRESSED BY UKG/WG IN 2023/24

STAFFING COSTS

- 3.7.** The April 2021 pay settlement for NJC staff was only agreed in February 2022. As such, the April 2022 settlement is considerably delayed and highly uncertain. Our 2022-23 pay assumption was 4%, which was in line with most Welsh Authorities. In the context of current inflation, this would represent a significant cut in real terms.

The NJC Employers offer is for a flat £1,925 to be added to all pay points. Even if this offer were to be accepted by unions, indicative high level modelling indicates this is more than 3% or approximately £7m above budgeted levels for 2022/23, which will have to be built into next year's base budget. Additionally, the Employers offer includes an additional day's leave, which will lead to additional budgetary costs both for front line service delivery such as waste and social care, but could also require an additional uplift for term time staff in lieu of the additional leave.

BASE CASE ASSUMPTION – INCREASE 2023/24 NJC PAY AWARD ASSUMPTION TO 5%, WHICH ALLOWS SOME BUDGETARY CATCH UP FROM CURRENT YEAR.

BEST CASE – INCREASE 2023/24 TO 3% (THIS WOULD EFFECTIVELY ASSUME A PAY FREEZE NEXT YEAR)

- 3.8.** The Independent Welsh Pay Review Body recommendation on teachers pay for September 2022 was announced on 21 July (normally early June) is for an increase of 5% this year and an indicative 3.5% next year. The NASUWT has campaigned for a 12% increase in September 2022 followed by 10% in September 2023, as well as additions to respond to current inflation levels. Even if accepted at the current level, we would need to increase our assumption in 2023/24 to “catch up” in budgetary terms.

BASE CASE ASSUMPTION – INCREASE 2023/24 PAY AWARD ASSUMPTION TO 5%, WHICH ALLOWS BUDGETARY CATCH UP FROM CURRENT YEAR.

BEST CASE – INCREASE 2023/24 TO 3%

- 3.9.** Commissioned care budgets have historically been linked to the National Living Wage. Last year saw a step increase, mandated by WG, to the sector paying the Foundation Living Wage which was implicitly funded through an increase in Revenue Support Grant. Next year's calculation (published 22 September) is based on everyday living costs, and is therefore likely to lead to another double digit increase based on increased in household energy, food and fuel costs.

BASE CASE ASSUMPTION – INCREASE 2023/24 FLW INCREASE TO £10.90 (£1 / 10% INCREASE).

BEST CASE - INCREASE TO £10.70 (80p / 8% INCREASE)

COVID COSTS

- 3.10.** With a resurgence in case numbers following the Platinum Jubilee, it is clear that COVID19 has not gone away. We have set aside overall contingency funding of £3.5m. The financial position will be closely tracked as part of budget monitoring, however for budget purposes going forward, the working assumption is that it will be fully utilised but no additional funding will be required .

BASE CASE ASSUMPTION – NO CHANGE

BEST CASE ASSUMPTION – £1M REDUCTION TO REQUIREMENT

WELSH GOVERNMENT FUNDING

- 3.11.** Unless (and until) updated by the Westminster Government spending plans announced in the Autumn budget, the WG funding envelope was largely been set by last year’s Comprehensive Spending Review. Their indicative budget allocations provided an increase of 3.6% for 2023/24 and 2.4% for 2024/25. Given the change in inflation, this is likely to prove particularly challenging. It is understood that increased tax revenues (partially driven by inflation itself) could provide the incoming UK Chancellor some additional headroom, but how that might be directed is uncertain.

In addition to the pressure on health budgets, which represent around half of WG spending, the last two years have seen a marked desire from devolved governments to go further to support household finances in “quasi-welfare” payments. Examples are the extension of the Welsh COVID19 isolation payments far outlasting the scheme in England and continuation of Free School Meal payments in school holidays.

Of interest, the Institute for Fiscal Studies (IFS) analysis of Scottish Government’s future plans is an 8% drop in real terms of 4 years to departmental spending (excl health), largely to fund a 48% increase in social security spending as more generous Scottish benefits replace UK-wide benefits.

BASE CASE ASSUMPTION – NO CHANGE TO 3.6% INCREASE.

BEST CASE – 2023/24 IMPROVEMENT TO 5% INCREASE

FUNDING PRIORITIES

- 3.12.** With a new administration formed in Carmarthenshire following the local government elections in May 2022, a review and refresh of the Council's plans is underway with the development of the Cabinet Vision Statement. Development of the Medium Term Financial Plan will need to reflect any changes in spending priorities including any areas of new funding and the deliverability of planned savings proposals.

BASE CASE ASSUMPTION – BUDGET ALLOWANCE FOR NEW INITIATIVES, THOUGH FIGURE UNKNOWN AT THIS STAGE

BEST CASE – ASSUMPTION THAT ANY NEW INITIATIVES CAN BE MET THROUGH DIVERTING STAFFING/RESOURCES FROM CURRENT BUDGETS

- 3.13.** Due to public restrictions, the last two year's public consultation activities were curtailed to online and paper budget questionnaires and virtual member meetings. It is hoped that wider public engagement can take place for this budget cycle, assuming that there will be no reintroduction of public health restrictions required. It is hoped that member seminars are able to take place face to face to best facilitate what are likely to be incredibly difficult decisions. Should this not be possible, virtual seminars will be arranged as has been the case for the last two budget cycles.

4. CURRENT FINANCIAL OUTLOOK & FINANCIAL MODEL

Budget development always involves assumptions which are refined as the situation evolves and information becomes clearer. As described above, the key assumptions we need to revisit are:

- Pay award levels - both for NJC and Teachers
- Pressures allowed for in the budget – particularly energy and commissioned care
- WG settlement levels
- COVID19 pressures
- Local priorities and spending decisions

5. FINANCIAL MODEL

As was the case when developing the 2021-22 budget, it is impossible to exaggerate both the scale of the financial pressures we are facing, combined

with their uncertainty. A small change in either energy prices or the path of inflation could change our forecasts by several million pounds, as would the level of funding provided by Westminster and Welsh Governments. Given this, it is deemed necessary and appropriate to focus all our efforts on the next financial year at this stage. The table below summarises key assumptions:

BUDGETARY ASSUMPTIONS 2023-24

Driver	Previous Assumption	Base Case	Best Case	Notes
Pay (NJC & Teachers)	2.5%	5.0%	3.0%	Assumes “restraint” as outlined by UKG. Next year’s budget will effectively have to include “catchup”
General Inflation	3.0%	5.0%	4.0%	Excludes Energy, Pay but includes food (e.g. for school meals)
Commissioned Care	£10.57	£10.90	£10.70	Inflation for lower income households reportedly higher than headline CPI rate (IFS analysis).
Energy	c. £200k p.a.	£6m (+100%)	£3m (+50%)	Based on indicative reports from Crown Commercial Services, recognising still more that half of energy not yet purchased
Fuel	c. £1.4m p.a. (excl sch transport)	£0.7m (+50%)	£0.35m (+25%)	Base case based on increase to c. £2/l diesel
New Political Priorities	Nil	£Unknown	Nil	Possible need to incorporate new service expenditure based on additional priorities within the Vision Statement
Ukraine Crisis funding	Nil	£1m	Nil	Best case assumes resourcing can be managed within funding envelope
WG Funding	3.6%	3.6%	5.0%	Best case, additional funding secured in recognition of pressures

- 5.1. Service specific grants currently account for around £100 million and it is assumed that EITHER any further reductions are contained within the AEF reduction above OR that activity/expenditure is reduced in line with grant funding. Many grants have historically been “cash flat” in previous years, meaning that inflation, although low nevertheless gradually eroded the real terms value year on year. **This is raised as an acute risk given the current rate of inflation and pay offers.**

There are no significant planned reductions that officers are aware of, however members should note that existing EU funding streams are

stepping down to zero over the plan period, having already been replaced by the Community Renewal Fund (CRF) and Levelling Up Fund (LUF) projects such as Carmarthen Hwb and Towy Valley Path. CRF will be on allocation basis, whereas LUF is a bidding process.

- 5.2.** As for all Local Authorities, the treatment of delegated school budgets has a significant impact on the scale of savings proposals across every other service area. Historically, our planning assumption has been that schools' delegated budgets do not have a specific savings target applied – this is the budget approach we have taken over the last four years, which has protected schools from substantial savings, however these have inevitably fallen across other council services. With the scale of possible reductions so vast, and school balances now showing a substantial surplus position which has increased again during 2021-22, it seems unavoidable that we will need to change our policy and seek savings from schools as well.

CAPITAL PROGRAMME

- 5.3.** The 5 year capital plan was approved by Council in March 2022. Alongside revenue budget development work, a review of existing and new capital projects will be undertaken to inform future development of the five year Capital Programme. It is likely that any projects approved for the Levelling Up Fund will require match funding which will need to be identified.
- 5.4.** Significant and rapid increases in both materials and labour costs in the construction sector is likely to put further pressure on the future capital programme.
- 5.5.** Additionally, members should note there are significant capital costs associated with the Waste Strategy which are not currently included within the Capital programme. Whilst an offer of funding has been secured from WG, it is not yet clear if the full requirements can be delivered within the funding envelope.
- 5.6.** Depending upon how acute the revenue budget pressures are, consideration could be given to a temporary pause on validation of the revenue implications of capital. Whilst possible, this could have a clear knock on impact upon the capital programme so must be very carefully considered.

SUMMARY

- 5.7.** The MTFP model assumes growth pressures of £5.5m per annum for every year. Based on the scale of bids received in previous years, it is imperative this level is not reduced at this stage in the budget development process.

BASE CASE ASSUMPTION – BUDGET GROWTH TO INCREASE BY ADDITIONAL REQUIRED FOR FLW INCREASE

BEST CASE – FLW INCREASE CAN BE MANAGED WITHIN CURRENT ALLOWANCE OF £5.5M

With household budgets already squeezed more than at any time in the last 30 years, the Authority will not want to further add to this pressure any more than is absolutely necessary. As such clearly the ambition will be to keep any increase to a minimum – the council tax assumptions for planning purposes are therefore suggested as:

BASE CASE ASSUMPTION – MAINTAIN 3.4% INCREASE.

BEST CASE (I.E. TO FUND UNAVOIDABLE PRESSURES) – 5.0% INCREASE

- 5.8.** Based upon the above assumptions a financial model has been constructed (see table below) which highlights the impact of the proposed changes, and identifies the likely budget shortfall. Comparative figures from the current MTFP are included for information.

Summary of Financial Model

	Current MTFP		2023/24 BASE CASE	2023/24 BEST CASE
	2022/23 FINAL	2023/24 DRAFT		
Previous Year's Budget	386.2	416.1	416.1	416.1
General Inflation	6.4	5.2	8.1	6.4
Pay Inflation	9.4	6.3	12.6	9.8
Other (inc COVID)	5.0	1.5	1.5	0.5
Growth – Energy & Fuel	0.6	Nil	6.9	3.2
Growth - Commissioned Care FLW	3.9	2.7	4.3	2.7
Growth – Everything else	8.4	2.8	2.8	2.8
Ukraine Crisis	Nil	Nil	1.0	0
New Initiatives			???	0
Saving Proposals – Original	-3.7	-3.9	-3.9	-3.9
Net Expenditure	416.2	430.7	449.5	437.6
Revenue Settlement	311.6	322.5	322.5	327.2
Council Tax Receipts	104.2	108.2	108.2	108.2
Shortfall	0.2	0	18.8	2.2
Council Tax increase - Original	2.5%	3.4%	3.4%	3.4%
Approx CT increase req'd to maintain current savings level (for illustration purposes only)			21%	6%

5.9. Key points:

5.9.1. Uncertainty over inflationary forecasts, and how public sector pay awards respond to the cost of living crisis, make future forecasts particularly difficult. This is exacerbated by the change of leadership and likely ensuing general election following Boris Johnson's resignation. As stated above, our overriding focus must now be on identifying options to deliver a balanced budget for 2023/24

5.9.2. However, even in what is considered the best case scenario, our savings proposals fall well short of what would be required next year, and thus significant work is required.

5.9.3. Following more than a decade of year-on-year budget reductions, it is not credible to think that anything like the base case requirements could be delivered through efficiencies alone. We will doubtless need to look at

reductions in service levels, or in some cases possibly entire removal.

Officers will need to assess existing council services against statutory requirements, including not only statutory versus discretionary, but also the level at which statutory services are delivered, recognising that in many cases the council's current offering may be more generous than the legislative requirement.

6. BUDGET PREPARATION FOR 2023/24

- 6.1.** The financial model tabled above will be updated as and when more information becomes available, key amongst which will be the provisional settlement, currently expected from WG in December 2022. This will be influenced by the UK autumn budget (date unclear typically Oct/Nov).
- 6.2.** As part of the current Medium Term Financial Plan, Departments are requested to update their efficiency/service rationalisation proposals for 2023/24. This includes identification of concrete and deliverable proposals to meet the best case requirement of £6.1m, along with an appraisal of possible service reductions for consideration, depending on the scale of the requirement.
- 6.3.** Officers will continue to refine the budget projections taking account of inflation factors, other pressures that may be identified and funding options/clarification.
- 6.4.** In Person Member seminars on budget proposals to be convened in January 2023.
- 6.5.** Public consultation on the budget proposals to take place in January 2023. Specific consultation methods will need to reflect any possible COVID19 alert level prevailing at the time, but additional efforts will be made to engage as widely as possible given the scale and importance of the challenge ahead.
- 6.6.** Scrutiny Committee consultation in January/February 2023.

7. RECOMMENDATION(S)

It is recommended that:

- 7.1.** Cabinet critically review the assumptions contained within the paper to agree a consensus for budget development, recognising the scale and uncertainty of the challenge ahead.

- 7.2.** At an absolute minimum, it is agreed that **concrete and deliverable** proposals are developed to meet the best case savings requirements of **£6.1 million**.
- 7.3.** Furthermore, areas for service reductions are identified in broad terms above the minimum £6.1m target, recognising many of these may be highly undesirable.
- 7.4.** Cabinet and Corporate Management Team to attend a budget development awayday in October to review budget reductions proposals and reconsider any change in assumptions based on updated information.

Mae'r dudalen hon yn wag yn fwriadol

Y CABINET
3^{ydd} HYDREF 2022

Adroddiad Monitro Cyllideb Refeniw y Cyngor

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

Sicrhau bod y Cabinet yn derbyn yr adroddiad Monitro Cyllideb ac yn ystyried y sefyllfa cyllidebol a'r camau cywirol priodol.

O ran adrannau yn rhagweld gorwariant sylweddol ar feysydd cyllidebol penodol, dylai'r Prif Swyddogion a Phenaeithaid y Gwasanaethau adolygu'n feirniadol yr opsiynau sydd ar gael iddynt i fynd i'r afael â'r effaith barhaus.

Y Rhesymau:

I ddarparu'r newyddion i'r Cabinet ynglyn a sefyllfa diweddaraf cyllideb 2022/23, ar 30^{ain} Mehefin 2022.

Ymgynghorwyd â'r pwyllgor craffu perthnasol: AMHERTHNASOL

Angen i'r Cabinet wneud penderfyniad OES
 Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R CABINET SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cyng. Alun Lenny

Y Gyfarwyddiaeth:
 Gwasanaethau Corfforaethol

Enw Cyfarwyddwr y
 Gwasanaeth:
 Chris Moore

Awdur yr Adroddiad:
 Randal Hemingway

Swyddi:

Cyfarwyddwr y
 Gwasanaethau Corfforaethol

Pennaeth y Gwasanaethau
 Ariannol

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EXECUTIVE SUMMARY

Cabinet

3rd October 2022

The revenue budget monitoring reports as at 30th June 2022 are attached and indicate that:

COUNCIL FUND REVENUE ACCOUNT (Appendix A)

Overall, the monitoring report forecasts an overspend for the year at departmental level of £4,735k, with a forecast overspend on the Authority's net revenue budget of £4,767k.

At a high level this is due to a combination of:

- nationally negotiated pay offers (as yet unresolved) at much higher levels than budgeted, for which additional governmental funding is currently unknown. High level estimates are that this could be £7.1m above budget.
- overspends in service areas where budget reductions have been implemented, but progress against delivery of these is delayed, for example in Learning Disabilities
- a sustained reduction in commercial income, covering car parks, leisure centres and school meals
- capital financing underspends due to scheme delays and reduced need to borrow

As part of the 2022/23 budget setting process the Authority has agreed a £3m in year contingency budget which is currently held centrally which provides a partial offset to the general pressures above.

The full year forecast reflects the impact which includes known financial positions at the point of preparation. As such the forecast does not contain the full impact of any additional year and grant adjustments. In line with our existing policies, forecast departmental overspends are met out of departmental reserves, where available.

Chief Executive's Department

The Chief Executive Department is anticipating an underspend of £490k for the year. There are net underspends on Member pay and travelling, Registrars and staffing savings from vacant posts across the department, offset by overspends within People Management due to employing additional staff, mainly to deal with a recruitment backlog. There is also a shortfall in income on Land Charges, County Farms and Livestock Markets.

Operational budgets

The Chief Executive's section has an anticipated underspend of £145k, primarily due to staff being seconded to other sections along with an underspend on supplies and services.

There is an expected £333k overspend in the People Management section. This consists of £111k overspend in People Services HR on agency staff costs, to deal with the backlog in recruitment. There is also an overspend of £35k in payroll, due to past year efficiencies not yet being met. There is an anticipated overspend of £66k on Agile working due to an unfunded post. Employee Wellbeing is anticipating an overspend of £27k due to a shortfall in external income, and there is an overspend of £108k in Organisational Development due to an unfunded post (£49k), a one off cost for Investors in People Assessment (£26k) and a training efficiency target (£33k) not currently being met. This is offset by an underspend on DBS, due to fewer checks being undertaken than budgeted for

The ICT & Corporate Policy section are reporting a £77k underspend, largely due to part year vacant posts within the division. These have now been filled or are due to be filled imminently.

Admin and Law are showing an underspend of £277k. Members pay and travelling are underspent by £176k, and there is an additional £26k of income for work undertaken on behalf of the HRA. There is also additional income for DSU from external work of £29k along with a £15k saving on supplies and services. Legal services are expecting to be underspent by £55k due to vacancies that are being filled imminently. Central Mailing is also underspent by £22k due to a saving on franking machine leasing costs. There are also other small underspends on supplies and services within the division This is offset by an overspend on Land Charges of £55k due to a reduction in income, following a fall in demand for the service.

The Marketing and Media section are anticipating a £165k net underspend, made up of an overspend of £168k within Marketing and Media on salary costs, pending a staffing review within the whole division (2019/20 saving proposal), and also a loss of income streams from external partners such as ERW. This is offset by an underspend on staffing costs in the Customer Services Centres, Translation Unit and Yr Hwb. These will all form part of the divisional staffing review.

Statutory Services are reporting an underspend of £206k. This is made up of a saving on vacant posts of £23k pending a team review which has now been completed, along with a £115k underspend on Registrars largely due to income generated above the budgeted figure. Coroners are underspent by £15k as a result of the appointment of medical examiners by the NHS, resulting in less cases being referred to the coroner. Registration of Electors received a one off contribution towards canvassing costs from the electoral commission, resulting in a £52k underspend for the year.

The Regeneration division is anticipating a £47k overspend for the year. This is made up of an overspend of £86k due to a loss of income on Livestock markets, provision markets and

farms, largely due to an overall reduction in occupancy levels, along with small overspends on Supplies and services across the division. This is offset by high levels of income due to continued high occupancy rates within industrial units and Commercial Properties of £41k.

Communities

The Communities Department is projecting an overspend of £1,619k for the year.

There are significant variances as the department continues to recover and respond to the post-pandemic phase.

Membership and use of our leisure centres declined to near nil in the pandemic and it was fully anticipated that income targets (attendances and memberships) could not recover fully this year. However they are on track to recover to pre pandemic levels by the end of the financial year. As a result there is a projected in year overspend in Leisure and Culture of £1,040k. Despite the encouraging trends, lost income to the service is likely to be £1,523k for this year. The service is mitigating the overspend by reducing costs on casual staff (£468k) and other minor expenditure (£15k) where possible.

Social Care services are projecting an overspend of £538k. Underspends are largely due to capacity issues in Residential Beds and Home Care provision where it remains difficult to recruit staff. Several initiatives have been launched to address this albeit success in recruitment to meet assessed need is likely to worsen the financial position. Overspends are due to increased demand for services e.g. Direct Payments and in areas where budget savings proposals remain difficult to deliver as Social Work teams have prioritised the safe delivery of key services, meaning that the department has been unable to progress some of the planned savings' proposals. In year inflationary pressures on the sector make the financial position additionally challenging.

Older People's budgets are forecasting an underspend of £1,162k. There are significant underspends in Residential Beds due to reduced associated costs as a result of fewer occupied beds, reduced provision of day services due to COVID19 restrictions and on-going staff vacancies.

Physical Disabilities services are underspent by £109k. This is due to reduced associated costs because of fewer residential and supported living placements and on-going staff vacancies, offset by high demand for Direct Payments.

In Learning Disability services, there is an overspend of £1,407k. Budgetary pressure remains on Residential and Group Homes / Supported Living as the savings target are difficult to deliver due to COVID19 restrictions and an under-developed market for care in West Wales. Families of adults with learning disabilities are finding it increasingly challenging to cope resulting in more demand for formal care. Also, an increased demand for Direct Payments and a lack of availability of alternative provision due to COVID restrictions has added further pressure. These pressures have been offset with savings due to the reduction of Day Services and Community Support.

The overspend in Mental Health of £364k is due to increased demand with the main budget pressure in Residential Beds and Group Homes / Supported Living as the savings target are difficult to deliver due to COVID19 restrictions, an increased demand for Direct Payments, partly offset by on-going staff recruitment difficulties.

Support Services is forecasting an overspend of £38k. The service is planning corrective action to resolve this small underspend.

Council Funded Housing and Public Protection Services are reporting a £42k overspend.

Corporate Services

The Corporate Services Department is anticipating a £794k underspend for the year.

There is a £324k underspend on pre LGR pension costs along with a £241k underspend on Council Tax Reduction and a £105k underspend on Rates Relief due to lower than budgeted take up of both schemes.

There are also underspends on salaries due to vacancies across the department, and staff not yet at the top of their scale, along with a reduction in audit fees and some additional income for work undertaken for external organisations.

These underspends are offset by an overspend of £112k on Rent Allowances. This service is demand led and is subject to fluctuation year on year.

Department for Education and Children

The Department for Education and Children is forecasting a net overspend of £3,854k at year end.

The main adverse budget variations relate to: increased demand for Additional Learning Needs provision £1,059k; Schools Meals based on existing costs and income levels £1,250k; Out of County placement due to two highly complex placements £611k; Commissioning and Social Work £627k, Fostering & other looked after services £469k and Short breaks and direct payment £440k have all seen an increase in demand.

Schools Delegated Budgets

Schools working budgets are forecasting a net overspend in year of £8.4m, with a mix of supporting their formula funding to enable appropriate provision, Covid recovery/catch up and some schools utilising their balances for additional maintenance or initiatives that they would not otherwise have had resources to fund.

This level of utilisation of school reserves will reduce the brought forward balance of £15.2m net surplus to £6.8m by year end.

Environment

The Environment department is forecasting an overspend of £545k for the financial year, largely due to increased costs in school transport and reduced income from Parking services.

The Business Support division is estimating a £52k overspend for the year £33k of which is due to additional resource required to cover sickness in the Cleaning service, plus other smaller overspends within the division.

The Waste and Environmental Services division is forecasting a £187k underspend, mainly due to staff vacancies of £135k, a £56k surplus on Green Waste collections due to an

increased customer base and a £20k underspend on Public Conveniences due to a change in legislation for standalone public conveniences, which are now eligible for a 100% business rates relief. These are off-set by a £25k underachievement of income against budget on the Sustainable Drainage Approval service.

Highways and Transportation are forecasting a £1,011k overspend for the year. The two main variances are the loss of income on Parking Services of £688k (previously reimbursed by WG) and a £587k overspend on school transport due to increases in fuel prices and tender prices. These pressures are partly offset by a £234k underspend on pay costs due to vacancies, staff reducing hours or not on top of their grades.

The Property Division is estimating a £19k underspend for the year, this is a net effect of the HOS post being vacant and the potential reduction in income from the CHS contract based on the current works programme.

The Place and Sustainability Division is forecasting a £311k underspend, largely due to vacant posts within the team and savings on consultants' fees due to a covid-related delay in the LDP process.

HOUSING REVENUE ACCOUNT (Appendix B)

The HRA is predicting to be overspent by £511k for 2022/23, which will be funded through a contribution from reserves. This will be reviewed as the significant issues identified become clearer from a financial perspective.

Nationally negotiated pay offers (as yet unresolved) at much higher levels than budgeted, falls directly on the HRA to fund. High level estimates are that this could be £0.5m above budget.

Variances in Repairs and Maintenance (R&M) costs (+£24k) reflect the managers current position that they will operate near the existing allocated resources. However, given current inflation and the impact on construction industry capacity post-Covid and post-Brexit including significantly increasing pay, energy, fuel, and construction materials costs, there will continue to be upwards pressure on contractor rates and reduced availability as we progress through 2022/23. This pressure of contractor rates could be as high as 10% or £1m+ on the R&M budget, future budget monitoring will show this developing scenario linked to industry capacity.

Other potential pressures during 2022/23 include impact of interest rates on capital financing costs in the HRA.

Lists of the main variances are attached to this report.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Chris Moore

Director of Corporate Services

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance YES	ICT NONE	Risk Management Issues NONE	Staffing Implications NONE	Physical Assets NONE
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3. Finance

Council Fund

Overall, the Authority is forecasting an overspend of £4,767k at this point in time. The final out-turn position will be significantly influenced by the level of pay awards agreed, and the extent of any additional funding forthcoming to meet this unforeseen and exceptional pressure.

HRA

The HRA is predicting to be overspent by £511k for 2022/23.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Chris Moore

Director of Corporate Services

1. Scrutiny Committee – Not applicable

2. Local Member(s) – Not applicable

3. Community / Town Council – Not applicable

4. Relevant Partners – Not applicable

5. Staff Side Representatives and other Organisations – Not applicable

CABINET MEMBER PORTFOLIO HOLDER(S) AWARE/CONSULTED
NO

Include any observations here

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2022/23 Budget		Corporate Services Department, County Hall, Carmarthen

REPORT OF THE DIRECTOR OF CORPORATE SERVICES

CABINET 3rd OCTOBER 2022

COUNCIL'S BUDGET MONITORING REPORT 2022/23

Director and Designation	Author & Designation	Telephone No	Directorate
C Moore, Director of Corporate Services	R Hemingway, Head of Financial Services	01267 224886	Corporate Services

Table 1

Forecasted for year to 31st March 2023

Department	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	
Chief Executive	36,065	-14,716	-4,140	17,210	36,382	-15,522	-4,140	16,720	-490
Communities	175,771	-69,969	13,794	119,596	178,811	-71,391	13,795	121,215	1,619
Corporate Services	79,849	-46,040	-1,693	32,116	74,648	-41,633	-1,693	31,322	-794
Education & Children (incl. Schools)	190,904	-26,416	22,906	187,395	221,972	-53,629	22,906	191,249	3,854
Environment	136,036	-83,504	13,252	65,784	131,977	-78,900	13,251	66,329	545
Departmental Expenditure	618,624	-240,644	44,120	422,100	643,790	-261,075	44,120	426,835	4,735
Unfunded pay offers (above budget):									
NJC Staff (employers' offer)				0				6,500	6,500
Teachers (IWPRB recommendation)				0				600	600
Corporate Contingency				0				-3,000	-3,000
Capital Charges/Interest/Corporate				-17,694				-18,444	-750
Levies and Contributions:									
Brecon Beacons National Park				154				152	-2
Mid & West Wales Fire & Rescue Authority				11,170				11,170	0
West Wales Corporate Joint Committee				155				155	0
Net Expenditure				415,885				423,968	8,082
Transfers to/from Departmental Reserves									
- Chief Executive				0				245	245
- Communities				0				-982	-982
- Corporate Services				0				397	397
- Education & Children (incl Schools)				0				-2,431	-2,431
- Environment				0				-545	-545
Net Budget				415,885				420,653	4,767

Chief Executive Department
Budget Monitoring - as at 30th June 2022

CABINET 3rd OCTOBER 2022

Division	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	
Chief Executive	859	0	-845	14	717	-4	-845	-132	-145
People Management	4,680	-1,585	-2,619	476	6,087	-2,659	-2,619	810	333
ICT & Corporate Policy	6,500	-964	-4,785	752	6,457	-997	-4,785	675	-77
Admin and Law	4,964	-876	703	4,791	4,713	-902	703	4,514	-277
Marketing & Media	2,834	-713	-1,430	691	2,482	-526	-1,430	525	-165
Statutory Services	1,444	-346	281	1,380	1,526	-633	281	1,174	-206
Regeneration	14,784	-10,233	4,555	9,106	14,399	-9,802	4,555	9,153	47
GRAND TOTAL	36,065	-14,716	-4,140	17,210	36,382	-15,522	-4,140	16,720	-490

Chief Executive Department - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Chief Executive						
Chief Executive-Chief Officer	240	0	216	0	-24	Savings on supplies & services
Chief Executive Business Support Unit	618	0	501	-4	-121	3 staff on secondment, savings on supplies & services £15k.
People Management						
Agile Working Project	0	0	66	0	66	Unfunded post
Payroll	662	-365	688	-357	35	Past year efficiencies not yet being met
People Services – HR	1,174	-275	1,294	-285	111	Temporary increased resource employed to deal with recruitment backlog
Employee Well-being	815	-359	818	-335	27	Shortfall on budgeted external SLA income.
						Training efficiency target not currently being met (£33k). Investors in people assessment one off costs of £26k, Unfunded Welsh Language post previously funded from Risk Management fund.
Organisational Development	454	-40	529	-7	108	Review of DBS checks process & budget to be undertaken
DBS Checks	129	0	104	-1	-26	
Other variances					13	
ICT & Corporate Policy						
Information Technology	5,643	-921	5,586	-903	-39	Vacant posts, due to be filled from October
Chief Executive-Policy	722	-32	687	-35	-38	Vacant posts in early part of year, now mostly filled. One currently vacant due to be filled imminently.
Admin and Law						
Democratic Services	2,133	-290	1,953	-315	-206	Underspend on members pay & allowances £154k, travelling costs £22k, supplies & services £4k, along with an additional £26k of income for work undertaken for the HRA.
Democratic Services - Support	526	0	512	-30	-44	Additional income for work undertaken for the Wales pension partnership £21k & PCC £8k, savings on supplies & services £15k.
Land Charges	141	-313	94	-211	55	Shortfall in income due to low demand for searches
Legal Services	2,092	-273	2,038	-275	-55	Vacant post due to be filled from September. A number of staff at lower point of scale.
Central Mailing	46	0	24	0	-22	Saving on franking machine leasing costs
Other variances					-5	

Chief Executive Department - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Marketing & Media						
Marketing and Media	409	-171	438	-32	168	Overspend on salaries pending divisional realignment. Loss of income streams from external partners (e.g. ERW £80k). Looking at alternative potential partnership arrangements
Translation	595	-53	478	-53	-117	2 vacant posts pending divisional realignment & number of staff working reduced hours, savings on supplies & services.
Customer Services Centres	1,203	-362	1,039	-358	-160	12 posts vacant, difficulty in filling posts.
Yr Hwb, Rhydaman a Llanelli	174	-96	74	-53	-57	2 vacant posts pending divisional realignment, offset partly by less income from decreased demand for desk rent space.
Statutory Services						
Registration Of Electors	176	-3	234	-113	-52	One off income received from Electoral Commission
Registrars	525	-343	569	-501	-115	Increase in anticipated income due to large number of ceremonies taking place.
Coroners	369	0	353	0	-15	Savings on supplies and Services
Electoral Services - Staff	265	0	242	0	-23	Vacant post. Due to be filled from October
Regeneration & Property						
Commercial Properties	34	-463	103	-558	-25	Occupancy levels relatively high
Industrial Premises	494	-1,519	519	-1,560	-16	Occupancy levels relatively high
County Farms	79	-351	79	-308	43	Income target not met due to current economic climate, along with some land no longer available to rent due to tree planting/ecological purposes.
Livestock Markets	62	-114	21	-38	35	Shortfall on income at Carmarthen and Llandovery Markets
Other variances					11	
Grand Total					-490	

Department for Communities
Budget Monitoring - as at 30th June 2022

CABINET 3rd OCTOBER 2022

Division	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	
Adult Services									
Older People	71,878	-26,173	3,557	49,262	71,165	-26,622	3,557	48,100	-1,162
Physical Disabilities	8,275	-1,905	286	6,655	8,519	-2,259	286	6,546	-109
Learning Disabilities	43,472	-11,683	1,438	33,226	44,342	-11,147	1,438	34,633	1,407
Mental Health	11,076	-4,324	233	6,985	11,429	-4,314	233	7,349	364
Support	11,148	-6,649	1,167	5,667	11,140	-6,602	1,167	5,704	38
Homes & Safer Communities									
Public Protection	3,400	-1,278	532	2,655	3,390	-1,316	532	2,606	-48
Council Fund Housing	9,249	-8,027	798	2,020	12,051	-10,740	798	2,110	90
Leisure & Recreation									
Leisure & Recreation	17,273	-9,930	5,783	13,126	16,775	-8,392	5,783	14,167	1,040
GRAND TOTAL	175,771	-69,969	13,794	119,596	178,811	-71,391	13,795	121,215	1,619

Department for Communities - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Adult Services						
Older People						
Older People - Commissioning	4,220	-865	3,985	-865	-235	Recruitment issues re Social Workers. Additional budget has been allocated in 2022/23 and a wide range of initiatives are being launched to increase recruitment.
Older People - LA Homes	9,265	-4,286	9,635	-4,835	-179	Demand for residential placements is lower than pre-pandemic. Demand levels are increasing slowly. Recruitment issues re Care Workers. A wide range of initiatives are being launched to increase recruitment.
Older People - Private/ Vol Homes	28,743	-13,241	28,432	-13,241	-311	Demand for residential placements is lower than pre-pandemic. Demand levels are increasing slowly. Recruitment issues re Care Workers. A wide range of initiatives are being launched to increase recruitment.
Older People - LA Home Care	8,031	0	7,919	-0	-112	Demand for home care remains high but capacity to deliver is constrained by staff recruitment issues. A wide range of initiatives have been launched to address this.
Older People - Direct Payments	1,369	-313	1,473	-313	104	Demand for Direct Payments remains high as an alternative to other service provision
Older People - Enablement	2,132	-485	1,743	-485	-389	Demand for reablement services remains high but capacity to deliver is constrained by staff recruitment issues. A wide range of initiatives have been launched to address this.
Older People - Day Services	895	-84	687	-12	-136	Provision of day services is reduced compared to pre-pandemic levels.
Older People - Other variances					95	
Physical Disabilities						
Phys Dis - Private/Vol Homes	1,615	-313	1,318	-313	-297	Demand for residential placements is lower than pre-pandemic. Demand levels are increasing slowly.
Phys Dis - Group Homes/Supported Living	1,228	-174	1,018	-174	-210	Demand for Supported Living placements is lower than pre-pandemic. Demand levels are increasing slowly.
Phys Dis - Direct Payments	2,957	-603	3,497	-603	540	Demand for Direct Payments remains high as an alternative to other service provision
Phys Dis - Other variances					-142	

Department for Communities - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Learning Disabilities						
Learn Dis - Employment & Training	1,921	-279	1,582	-63	-123	Provision of LD day services is reduced compared to pre-pandemic levels.
Learn Dis - Private/Vol Homes	11,996	-4,482	12,827	-4,482	831	Whilst demand for LD Residential Placements has not increased significantly, the budget has been reduced to reflect efficiency proposals. The delivery of this has been delayed.
Learn Dis - Direct Payments	4,328	-572	4,729	-572	401	Demand for Direct Payments remains high as an alternative to other service provision
Learn Dis - Group Homes/Supported Living	11,081	-2,295	12,037	-2,295	956	Whilst demand for LD Supported Accommodation has not increased significantly, the budget has been reduced to reflect efficiency proposals. The delivery of this has been delayed.
Learn Dis - Day Services	2,701	-464	2,388	-364	-213	Provision of LD day services is reduced compared to pre-pandemic levels.
Learn Dis - Private Day Services	1,048	-84	808	-84	-240	Provision of LD day services is reduced compared to pre-pandemic levels.
Learn Dis - Adult Placement/Shared Lives	2,940	-1,992	2,547	-1,772	-173	Provision of LD day services which forms part of the Shared Lives Services, is reduced compared to pre-pandemic levels.
Learn Dis - Other variances					-33	
Mental Health						
M Health - Commissioning	1,558	-154	1,252	-143	-296	Recruitment issues re Social Workers. Additional budget has been allocated in 2022/23 and a wide range of initiatives are being launched to increase recruitment.
M Health - Private/Vol Homes	6,628	-3,377	6,986	-3,377	358	Whilst demand for MH Residential Placements has not increased significantly, the budget has been reduced to reflect efficiency proposals. The delivery of this has been delayed.
M Health - Group Homes/Supported Living	1,431	-466	1,643	-466	212	Accommodation and Efficiency project plans for strategic longer term future accommodation options as well as current client group has experienced delays due to COVID19. The Progression & Review Team will prioritise Rightsizing in Supported Living in 2022.
M Health - Direct Payments	166	-45	320	-45	154	Demand for Direct Payments remains high as an alternative to other service provision
M Health - Other variances					-64	
Support						
Other Variances - Support					38	

Department for Communities - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Homes & Safer Communities						
Public Protection						
PP Business Support unit	160	0	138	0	-22	Under on salaries & supplies & services
Noise Control	227	0	188	0	-40	Under on salaries
Animal Welfare	87	-87	84	-41	43	Under achievement of income, mainly due to reduction in licensed dog breeders
Dog Wardens	105	-30	119	-23	20	Increase in abandoned dogs & not reclaimed
Trading Standards Services Management	94	-40	30	-40	-65	Staff Vacancy
Safeguarding, Licensing & Financial Investigation	96	0	74	0	-23	Under on salaries & Supplies & Services
Fair Trading	154	-68	145	-35	24	Under achievement on Income & over on travel
Other Variances					15	
Council Fund Housing						
Independent Living and Affordable Homes	121	-45	-9	53	-32	Savings on supplies & services budget.
Temporary Accommodation	533	-113	1,219	-712	87	Increased pressure on service previously funded through Hardship Grant. Alternative accommodation options currently being developed e.g. more dispersed /smaller schemes
Social Lettings Agency	846	-839	862	-826	28	Potential reduction in commission rates as a result of current market forces. New landlord offer being developed to sustain existing landlords and attract new ones.
Other variances					7	
Leisure & Recreation						
Hendine Outdoor Education Centre	557	-375	409	-183	45	Income shortfall for Board & Accom (COVID19 recovery) £192k offset by reduced staffing requirement £106k along with various underspends in Premises and S & S headings
Newcastle Emlyn Sports Centre	337	-192	307	-121	41	Income shortfall (COVID19 recovery) £71k offset by in year vacancies / lower use of casual staff £15k, along with various underspends in S & S headings
Carmarthen Leisure Centre	1,513	-1,616	1,378	-1,202	279	Income shortfall (COVID19 recovery) £414k offset by in year vacancies / lower use of casual staff £135k
Amman Valley Leisure Centre	960	-856	922	-668	150	Income shortfall (COVID19 recovery) £188k offset by in year vacancies / lower use of casual staff £38k

Department for Communities - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Llandovery Swimming Pool	376	-259	384	-142	125	Income shortfall (COVID19 recovery) £117k along with minor forecast overspend on Employee costs £7k
Gwendraeth Sports Centre	0	0	-38	0	-38	Credit relating to backdated NNDR
Catering - Sport Centres	320	-297	254	-214	17	Income shortfall (COVID19 recovery) £83k offset by in year vacancies / lower use of casual staff £24k, along with forecast underspend in the cost of catering £42k
Llanelli Leisure Centre	1,297	-1,094	1,257	-799	255	Income shortfall (COVID19 recovery) £296k offset by in year vacancies / lower use of casual staff £30k, along with various underspends in S & S headings
Outdoor Recreation - Staffing costs	423	0	370	0	-53	In year vacancies due to implementation of staffing restructure
Pembrey Country Park	873	-1,139	992	-1,167	90	Forecast overspend due to essential on site maintenance
Pembrey Country Park Restaurant	519	-382	529	-442	-51	Forecast to over achieve income to budget
Parc Howard Museum	151	-99	150	-87	11	Unable to achieve income target as site closed for most of 2022/23
Museum of speed, Pendine	89	-27	76	-2	12	Unable to achieve income target as site closed for most of 2022/23
Museums General	158	0	221	0	63	Unable to achieve vacancy factor, a legacy of undelivered PBB's and unfunded post
Archives General	156	-10	179	-9	24	Unfunded post
Arts General	16	0	1	0	-15	Vacant post being held pending restructure
St Clears Craft Centre	111	-39	68	-15	-19	Vacant posts being held pending potential community run venture
Laugharne Boathouse	157	-117	157	-71	46	Income shortfall (COVID19 recovery)
Lyric Theatre	417	-315	373	-289	-19	Forecast underspend on cost of Performance Fees
Y Ffwrnes	813	-486	741	-365	49	Income shortfall (COVID19 recovery) £116k, offset by forecast underspend on cost of Performance Fees £42k and cost of catering £25k
Attractor - Management	0	0	27	0	27	Forecast cost of Project Manager post (6 mths) not funded
Attractor - Externals	7	-58	5	-26	30	£25k PBB proposal for Attractor site not yet achievable due to delays in contract completion
Leisure Management	460	-3	434	-3	-26	In year staff vacancy
Other Variances					-5	
Grand Total					1,619	

Corporate Services Department
Budget Monitoring - as at 30th June 2022

CABINET 3rd OCTOBER 2022

Division	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	
Financial Services	15,750	-2,788	-863	12,098	15,126	-2,583	-863	11,679	-419
Revenues & Financial Compliance	64,099	-43,252	-830	20,018	59,522	-39,050	-830	19,643	-375
GRAND TOTAL	79,849	-46,040	-1,693	32,116	74,648	-41,633	-1,693	31,322	-794

Corporate Services Department - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Financial Services						
Treasury and Pension Investment Section	277	-200	270	-217	-25	Additional income for work undertaken for Wales Pension Partnership
Grants and Technical	357	-114	312	-87	-18	Vacant post due to be filled (est October)
Payments	581	-79	567	-80	-14	Vacant post due to be filled from September
Audit Fees	325	-96	300	-96	-25	A proportion of audit fees are chargeable directly to grants
Miscellaneous Services	10,016	-125	9,648	-81	-324	£324k underspend on pre LGR pension costs
Other variances					-14	
Revenues & Financial Compliance						
Procurement	638	-36	602	-36	-36	Savings from staff member working reduced hours and a number of posts currently at lowest point on the salary scale
Audit	511	-20	475	-40	-56	1 vacant post estimated to be filled in September, 1 recently filled post and one post working at reduced hours
Local Taxation	986	-763	931	-771	-63	Savings on 4 vacant posts to date. Two have been filled, and two remain vacant and are being covered by agency following failure to recruit.
Council Tax Reduction Scheme	17,249	0	17,008	0	-241	Underspend based on latest demand figures.
Rent Allowances	41,323	-41,540	37,206	-37,311	112	Projections on expenditure based on 2021/22 claims
Rates Relief	289	0	184	0	-105	Low take-up anticipated in 2022/23. Based on current demand.
Housing Benefits Admin	1,750	-753	1,573	-756	-180	11 vacant posts. Estimated to be filled from November. Number of posts on lower points of the scale.
Revenues	984	-139	1,176	-135	195	£100k increase in bank charges over budget due to increased number of card payments. £95k one off essential software upgrade
Other variances					-2	
Grand Total					-794	

Department for Education & Children
Budget Monitoring - as at 30th June 2022

CABINET 3rd OCTOBER 2022

Division	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	
Schools Delegated Budgets	148,160	-20,125	0	128,035	156,560	-20,125	0	136,435	8,400
Transfer from Reserves				0		-8,400		-8,400	-8,400
Director & Strategic Management	2,578	0	-109	2,469	1,621	0	-109	1,512	-957
Education Services Division	5,068	-1,332	17,587	21,323	7,347	-2,648	17,587	22,286	963
Access to Education	3,394	-103	1,339	4,630	10,599	-6,062	1,339	5,875	1,245
School Improvement	2,152	0	460	2,613	3,344	-1,176	460	2,628	16
Curriculum & Wellbeing	6,435	-1,124	895	6,206	10,509	-4,966	895	6,438	232
Children's Services	23,117	-3,733	2,734	22,118	31,991	-10,251	2,734	24,474	2,355
TOTAL excluding schools	42,744	-6,291	22,906	59,359	65,412	-25,104	22,906	63,214	3,854
GRAND TOTAL	190,904	-26,416	22,906	187,395	221,972	-53,629	22,906	191,249	3,854

Department for Education & Children - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Director & Strategic Management						
Director & Management Team	2,208	0	1,255	0	-953	Growth & remainder of budget held back from budget review 2021/22 - to be allocated by Director to service pressures below
Other variances					-4	
Education Services Division						
School Redundancy & EVR	2,133	0	2,038	0	-96	Commitments are based on known redundancies at this time and therefore forecast is subject to fluctuation £800k overspend relates to Statementing. £320k for increased number of children placed Out of County.
Additional Learning Needs	2,461	-1,332	4,347	-2,159	1,059	
Access to Education						
School Admissions	317	0	268	0	-49	Part year vacant posts currently being recruited to £36k closed schools & £9k additional transport costs following school reorganisations
School Modernisation	142	0	229	-41	45	
School Meals & Primary Free Breakfast Services	2,934	-103	10,102	-6,021	1,250	Based on existing costs and income levels for school meals £1.1m. Primary breakfast contributions for care element shortfall £91k & increased costs of food & labour £60k. Does not reflect any forecasting regarding UPFSM.
School Improvement						
School Improvement	1,484	0	1,499	0	15	
Other variances					1	
Curriculum and Wellbeing						
Music Services for Schools	333	0	1,590	-1,212	46	SLA income insufficient to cover core staffing costs to deliver this SLA provision.
Education Other Than At School (EOTAS)	3,396	-226	3,819	-393	255	Overspend on salaries, due to current staffing level pressures and high agency costs.
School Information Systems	370	-28	302	-28	-68	Part year vacant posts being reviewed as part of current restructure
Children's Services						
Commissioning and Social Work	7,814	-109	8,492	-159	627	Increased staff £90k & agency staff £282k costs forecast re additional demand & difficulty recruiting permanent staff, additional demand forecast for assistance to clients and their families £105k and legal costs £150k with additional external provision due to increased complexity of cases.

Department for Education & Children - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Corporate Parenting & Leaving Care	971	-58	1,075	-294	-132	Maximisation of grant income supporting priorities the service had already identified and have staff working on (£87k). Underspend forecast on Board & Lodge costs will lower numbers currently (£45k).
Fostering & Other Children Looked After Services	4,271	0	4,739	0	469	Increase in Special Guardianship Orders (SGO's) £26k, increased fostering costs including transport to school costs £41k re demand & increased fuel costs, enhancement costs re more complex children in placements £57k, boarded out costs re demand, allowance increases and additional payments due to connected carers £215k, one off IT equipment purchases for Carers £46k and cost of an extension for 1 family £32k, promotion & marketing costs £17k, panel member costs £15k, other misc costs £20k.
Adoption Services	564	0	1,117	-481	71	Increased staffing costs re ongoing service demands and maternity leave cover required for 3 members of the team.
Out of County Placements (CS)	376	0	987	0	611	2 new highly complex placements in 2022/23 .
Residential Units	838	-354	1,596	-764	347	£241k forecast overspend on staffing costs at the Rhydygors Residential Unit based on costs to date and expected costs from 1st September, when setting officially transfers to Children's Services. Due to no return being received, other non-staff costs are not included within the forecast. £106k in relation to Garreglwyd - non achievement of efficiency saving in relation to selling places / beds to other counties. This projected outturn position assumes £468k income from Hywel Dda University Health Board.
Short Breaks and Direct Payments	596	0	1,038	-2	440	Increased demand for Direct Payments since change in legislation, further pressures linked to COVID19 & lack of commissioned services available £269k. Increased demand for 1-2-1 support under Short Breaks due to lack of available location based services £171k.
Other Family Services incl Young Carers and ASD	946	-577	873	-590	-86	Maximisation of grant income, partially offsetting overspends elsewhere within the division.
Children's Services Mgt & Support (inc Eclipse)	1,165	-164	1,435	-460	-26	1 member of staff on long term sick leave, no pay forecast from December 2022 onwards.
Educational Psychology	1,074	-184	1,271	-344	37	Ongoing demand for additional staff, partly arising from COVID19 pandemic.
Other Variances					-3	
Grand Total					3,854	

Environment Department
Budget Monitoring - as at 30th June 2022

CABINET 3rd OCTOBER 2022

Division	Working Budget				Forecasted				June 2022 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	
Business Support & Performance	4,273	-3,878	492	887	4,412	-3,966	492	939	52
Waste & Environmental Services	28,964	-4,795	1,399	25,568	28,590	-4,609	1,399	25,381	-187
Highways & Transportation	56,630	-32,886	10,132	33,875	55,315	-30,560	10,132	34,887	1,011
Property	40,945	-39,970	899	1,874	38,608	-37,651	899	1,855	-19
Place and Sustainability	5,224	-1,974	330	3,579	5,052	-2,113	330	3,268	-311
GRAND TOTAL	136,036	-83,504	13,252	65,784	131,977	-78,900	13,251	66,329	545

Environment Department - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Business Support & Performance						
Facilities Management - Building Cleaning	4,311	-3,783	4,443	-3,882	33	Additional resource (agency) required to cover sickness. Issues retaining and recruiting staff are leading to additional pressures.
Other variances					19	
Waste & Environmental Services						
Waste & Environmental Services Unit	-12	0	-85	0	-73	Interim staffing complement, recruitment will be reviewed in the Autumn.
SAB - Sustainable Drainage approval Body Unit	132	-134	130	-108	25	Anticipated income not materialised - Dependent on number of submissions and market buoyancy of development projects
Environmental Enforcement	589	-19	529	-20	-62	Underspend relates to vacated post. Work underway to assess future needs.
Public Conveniences	216	-6	196	-6	-20	Due to a change in legislation with effect from the 1st of April 2020, Business Rates for stand alone public conveniences are now eligible for a 100% rate relief
Green Waste Collection	574	-446	616	-543	-56	Increased customer base
Other variances					-1	
Highways & Transportation						
Departmental Pooled Vehicles	0	0	16	0	16	Under-utilisation of pool vehicles
School Transport	12,534	-946	13,221	-1,046	587	£354k estimated additional cost of fuel price increases, £233k estimated additional tender price increases.
Car Parks	2,108	-3,340	1,860	-2,404	688	Parking income not achieving income targets due to reduced footfall in town centres. WG reimbursement is no longer available.
Nant y Ci Park & Ride	85	-34	80	-18	12	Reduced demand on the service
Road Safety	248	-5	164	-0	-79	-£19k - 6 months vacant Assistant Road Safety Officer; -£16k - part-time Asst Road Safety Officer; Estimated -£55k for time recharged to grants
School Crossing Patrols	160	0	134	0	-26	Several posts have become vacant and will not be refilled
Technical Surveys	510	0	487	0	-24	Vacant Technician post - estimated to be filled by October 2022
Highway Lighting	2,611	-1,221	2,204	-841	-28	Vacant Assistant public lighting engineer post estimated to be filled by September/October 2022
Public Rights Of Way	1,057	-75	938	-77	-121	Savings on pay due to reduced hours; vacancies during the first and second quarters and officers not on top of scale
Other variances					-14	

Environment Department - Budget Monitoring - as at 30th June 2022

Main Variances

CABINET 3rd OCTOBER 2022

Division	Working Budget		Forecasted		June 2022	Notes
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year	
	£'000	£'000	£'000	£'000	£'000	
Property						
Property Division Business Unit	140	0	0	0	-140	No commitment for HOS post
Design Services CHS Works	4,232	-4,437	2,525	-2,610	121	The recovery is based on percentage of the contractor costs and the lack of contractor availability means the contractor costs are not sufficient to meet the surplus target.
Place and Sustainability						
Building Control	687	-509	654	-451	25	Income shortfall offset by vacant post estimated to be filled in November
Forward Planning	731	0	640	-0	-91	Vacant posts, one filled, other two to recruit in near future & estimated less spend on consultants & general fees
Development Management	1,839	-948	1,681	-948	-159	£230k underspend on pay costs due to vacant posts (4 estimated to be filled by September), reduced hours or not on top of scale, offset by additional agency, fees & travel costs.
Conservation	485	-13	505	-57	-24	Some officers not on top of grade
Net Zero Carbon Plan	135	0	79	0	-55	Vacant post estimated to be filled in September & less spend on legal & consultants fees
Other Variances					-7	
Grand Total					545	

Mae'r dudalen hon yn wag yn fwiadol

Housing Revenue Account - Budget Monitoring as at 30th June 2022

	Working Budget £'000	Forecasted £'000	June 22 Variance for Year £'000	Notes
Expenditure				
Repairs & Maintenance				
Responsive	2,065	2,076	11	Budget managers are currently predicting an on-target end of year revenue maintenance budget spend. However, given current inflation and the impact on construction industry capacity post-Covid and post-Brexit including significantly increasing pay, energy, fuel, and construction materials costs, there will continue to be upwards pressure on contractor rates and reduced availability as we progress through 2022/23 e.g. the Minor Works Framework is due inflation increases to the tendered Schedule of Rates and this is currently under negotiation with contractors. Remaining within budget may require delivering less with our allocated financial resources and this will become clearer as data becomes available post negotiations with contractors. Budget managers will continue to respond to these fluctuations to ensure that expenditure remain within allocated budgets and the review of the 3-year HRA Business Plan later in the year will identify appropriate adjustments to future budget allocations to reflect the position at that time.
Minor Works	3,464	3,464	0	
Voids	3,934	3,947	13	
Servicing	1,934	1,934	0	
Drains & Sewers	157	157	-0	
Grounds	849	849	0	
Unadopted Roads	118	118	0	
Supervision & Management				
Employee	5,917	6,237	320	
Premises	1,358	1,358	0	
Transport	36	36	-0	
Supplies	922	922	0	
Recharges	865	1,034	170	Impact of probable pay award compared to budgeted salary costs. This will become clearer when negotiations on pay increases conclude.
Provision for Bad Debt	594	594	0	
Capital Financing Cost	14,923	14,923	0	Detailed analysis in progress to assess impact of interest rate increases on capital financing costs.
Central Support Charges	1,811	1,811	-0	
Direct Revenue Financing	10,000	10,000	0	
Total Expenditure	48,945	49,459	514	

Housing Revenue Account - Budget Monitoring as at 30th June 2022

	Working Budget £'000	Forecasted £'000	June 22	Notes
			Variance for Year £'000	
Income				
Rents	-43,608	-43,608	-0	Prediction close to target for rent due and voids
Service Charges	-849	-849	0	
Supporting People	-70	-70	0	
Interest on Cash Balances	-5	-5	0	Income will be greater with increases in interest rates. Detailed analysis in progress.
Grants	-296	-296	-0	
Insurance	-221	-221	-0	
Other Income	-496	-498	-3	
Total Income	-45,545	-45,547	-3	
Net Expenditure	3,401	3,912	511	

HRA Reserve	£'000
Balance b/f 01/04/2022	21,895
Budgeted movement in year	-3,401
Variance for the year	-511
Contribution from Reserves	511
Balance c/f 31/03/2023	18,494

CABINET
3 HYDREF 2022

DIWEDDARU RHAGLEN GYFALAF 2022/23

Y Pwrpas: I adrodd y amrywiant cyllidebol yn y rhaglen gyfalaf.

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

1. I dderbyn yr adroddiad diweddaraf ar y rhaglen gyfalaf.
2. Bod y prosiectau newydd a'r ailbroffilio fel y nodir yn yr adroddiad yn cael eu cytuno.

Y Rhesymau:

I ddarparu'r newyddion ynglyn a sefyllfa gyllideb ddiweddaraf y rhaglen gyfalaf 2022/23, ar 30^{ain} Mehefin 2022.

Ymgynghorwyd â'r pwyllgor craffu perthnasol: AMHERTHNASOL

Angen i'r Cabinet wneud penderfyniad OES
Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R CABINET SY'N GYFRIFOL AM Y PORTFFOLIO:

Cyng. Alun Lenny

Y Gyfarwyddiaeth:

Enw Cyfarwyddwr y
Gwasanaethau Corfforaethol:

Randal Hemingway

Awdur yr Adroddiad:

Randal Hemingway

Swydd:

Pennaeth Gwasanaethau
Arrianol

Rhif ffôn: 01267 224120

Cyfeiriad E-bost:

RHemingway@sirgar.gov.uk

EXECUTIVE SUMMARY CABINET 3RD OCTOBER 2022

CAPITAL PROGRAMME 2022/23 UPDATE

The current capital programme is based on information available as at the end of June 2022. **Appendix A** shows a forecasted net spend of £140,696k compared with a working net budget of £147,962k, giving a **-£7,266k** variance.

The net budget includes the original H.R.A. and General Fund capital programmes approved by Council on 2nd March and slippage from 2021/22. Some of the budgets have also been amended to account for differences in actual grant allocations compared with the anticipated allocations at the time the programme was approved, and new grant awards received during the year to date.

Appendix B details the main variances within each department.

New Projects and virements to note and approve for the current year:

Public HRA Housing: £7,000k for the purchase and renovation of HRA dwellings funded inhouse from funds displaced by Welsh Government grants in 2021/22.

Private Housing: £98k additional award of ENABLE grant to support independent living. This raises the funding available to £368k for **2022/23**.

Leisure: £50k additional funding from Sport Wales towards Amman Valley 3G Pitch. This takes the total grant from Sport Wales for this project to £350k.

Regeneration: Virements to distribute the Strategic Transformation fund to nominated projects: Llandeilo Market Hall - £991K, Transforming Towns - £700K, Pendine Attractor - £45K, Rural Enterprise Fund - £100K. Virement of £28k from Ammanford Town Centre Regeneration to the Carregamman Carpark Project.

Environment: £504k awarded for small scale flood defence works which will be match funded by a revenue contribution of £89k. £60k has been awarded for flood mitigation at Kidwelly.

New funding of £80k for Rights of Way and Access improvement.

New awards for Transport projects: Safe Routes in Communities at Swiss Valley and Felinfoel, £498k, and Peniel, £166k. Road Safety £280k. 20mph Speed Limits £797k. Bus Infrastructure £600k. Active Travel £732k. Llanelli Masterplan £748k.

Local Funds for Nature Projects £207k across the county including funding for projects delivered by third parties, namely Dyfed Powys Police and Trinity St David's University.

Education and Children: Grant funding of £4,196k has been awarded for a Welsh Language Immersion Centre and additional classroom capacity at Ysgol Y Strade.

A new project supported by displaced funding from 2021/22 of £1,507k for capital works associated with the Rollout of Free School Meal in Primary Schools.

A new award of £1,256k has been received to facilitate and aid the opening of school resources to the wider community.

A new award of £213k for Flying Start Projects.

DETAILED REPORT ATTACHED?	YES
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Randal Hemingway Head of Financial Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	YES

3. Finance

The capital programme shows an in-year forecasted variance of **-£7,266k** against the 2022/23 approved budget as at 30th June 2022.

7. Physical Assets

The capital programme will have an impact on the physical assets owned by the Authority.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Randal Hemingway Head of Financial Services

(Please specify the outcomes of consultations undertaken where they arise against the following headings)

1. Scrutiny Committee

Relevant Scrutiny Committees will be consulted.

2. Local Member(s) N/A

3. Community / Town Council N/A

4. Relevant Partners N/A

5. Staff Side Representatives and other Organisations N/A

**CABINET MEMBER PORTFOLIO
HOLDER(S) AWARE/CONSULTED**
NO

Include any observations here

**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2022/23 Capital Programme		Corporate Service Department, County Hall, Carmarthen. On-line via corporate website – Minutes of County Council Meeting 2 nd March 2022.

Mae'r dudalen hon yn wag yn fwriadol

Capital Programme 2022/23							
Capital Budget Monitoring - Report for June 2022							
	Working Budget			Forecasted			Variance for Year
Department	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000	
Public Housing	49,711	-15,330	34,381	44,402	-15,330	29,072	-5,309
Private Housing	3,994	-368	3,626	3,994	-368	3,626	0
Leisure	3,738	-1,248	2,490	2,782	-248	2,534	44
Social Care	397	0	397	397	0	397	0
Environment	41,907	-14,146	27,761	38,760	-11,106	27,654	-106
Education & Children	72,927	-42,559	30,368	69,711	-39,799	29,912	-456
Chief Executive	3,004	0	3,004	2,850	-48	2,802	-202
Regeneration	89,321	-43,386	45,935	85,360	-40,661	44,699	-1,237
TOTAL	264,999	-117,037	147,962	248,256	-107,560	140,696	-7,266

Mae'r dudalen hon yn wag yn fwiadol

Capital Programme 2022/23							Variance for Year £'000	Comment
Capital Budget Monitoring - Report for June 2022 - Main Variances								
DEPARTMENT/SCHEMES	Working Budget			Forecasted				
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
COMMUNITIES								
- Public Housing	49,711	-15,330	34,381	44,402	-15,330	29,072	-5,309	
Sewage Treatment Works Upgrading	20	0	20	20	0	20	0	
Internal and External Works (Property)	19,557	0	19,557	18,768	0	18,768	-789	Void Project is accelerated but there are supply chain issues with other schemes.
Environmental Works (Housing Services)	450	0	450	450	0	450	0	
Adaptations and Equalities Works (Building Services)	2,000	0	2,000	2,000	0	2,000	0	
Programme Delivery and Strategy	1,056	0	1,056	1,056	0	1,056	0	
Housing Development Programme	25,527	0	25,527	21,163	0	21,163	-4,364	Continuing supply chain issues. The Purchase of new properties also depends on the supply in the market for suitable properties in areas of demand.
Retrofit and Decarbonisation	1,101	0	1,101	945	0	945	-156	Delays with Caemawr retrofit project.
MRA and IHP Grants Income	0	-15,330	-15,330	0	-15,330	-15,330	0	
- Private Housing	3,994	-368	3,626	3,994	-368	3,626	0	
Disabled Facilities Grant (DFG)	2,979	0	2,979	2,979	0	2,979	0	
ENABLE - Adaptations to Support Independent Living	368	-368	0	368	-368	0	0	
Travellers Sites	25	0	25	25	0	25	0	
Empty Properties Initiatives	622	0	622	622	0	622	0	
- Leisure	3,738	-1,248	2,490	2,782	-248	2,534	44	
Amman Valley Leisure Centre Masterplan	1,050	0	1,050	1,050	0	1,050	0	
Oriol Myrddin Redevelopment	1,725	-1,000	725	725		725	0	
Libraries & Museums	400	0	400	365	0	365	-35	
Burry Port Harbour Walls	34	0	34	34	0	34	0	
Country Parks	529	-248	281	608	-248	360	79	£79k additional spend on Morfa Bacas subject to an additional funding bid report.
- Social Care	397	0	397	397	0	397	0	
ENVIRONMENT	41,907	-14,146	27,761	38,760	-11,106	27,654	-106	
Highways & Infrastructure	34,611	-14,146	20,465	31,465	-11,106	20,359	-106	Main Variances: £-111k against Murray Street Car Park which is needed for future works.
Property	7,295	0	7,295	7,295	0	7,295	0	

Capital Programme 2022/23							Variance for Year £'000	Comment
Capital Budget Monitoring - Report for June 2022 - Main Variances								
DEPARTMENT/SCHEMES	Working Budget			Forecasted				
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
EDUCATION & CHILDREN	72,927	-42,559	30,368	69,711	-39,799	29,912	-456	
Schools: General Projects, including Equality Act Works, Bus Bays, Mobile Class Provision	2,161	0	2,161	2,111	0	2,111	-50	Variance to fund retentions on Dyffryn Aman
Sustainable Communities For Learning - Match Funding Budget	44,474	-30,842	13,632	44,474	-30,842	13,632	0	
Sustainable Communities for Learning - Band A - Design Stage Schemes	220	0	220	102	0	102	-118	Delays with acquiring land for Laugharne Primary School. Slippage on Rhydygors.
Sustainable Communities for Learning - Band A - WG FBC Approved Schemes	4,476	0	4,476	4,476	0	4,476	0	
Sustainable Communities for Learning - Band B - Design Stage Schemes	870	0	870	950	0	950	80	Design of Gwenllian £80k.
Sustainable Communities for Learning - Band B - WG FBC Approved Schemes	8,823	0	8,823	8,823	0	8,823	0	
Infant Class Size	0	0	0	134	0	134	134	Penygroes costs covered by MEP match funding.
Welsh Language Immersion Centres	4,187	-4,187	0	2,020	-2,000	20	20	Funding awarded for Strade Welsh Immersion Centre and additional classroom capacity will be utilised in full. Project to be completed 2023/24.
School Buildings - Education Capital Grants - including Capital Maintenance, Rollout of free school meals and Use of facilities by the Community	6,101	-1,256	4,845	5,531	-1,256	4,275	-570	Initial works to be completed 2022/23. Second phase will commence 2023/24 to fully deliver the programme.
Flying Start Capital Expansion Programme	214	-214	0	252	-252	0	0	
Childcare Offer Places	658	-658	0	46	-46	0	0	
Play Opportunities Grant Projects	10	0	10	10	0	10	0	
Rhydygors Intermediate Care Project	733	0	733	733	0	733	0	
MEP Income - Sustainable Communities for Learning Grant	0	-5,402	-5,402	0	-5,403	-5,403	-1	
Other Projects with Minor Variances	0	0	0	50	0	50	50	Other minor projects, retentions and provision expenditure.
CHIEF EXECUTIVE	3,004	0	3,004	2,850	-48	2,802	-202	
IT Strategy Developments	1,670	0	1,670	1,484	0	1,484	-186	Slip to 2023/24.
Purchase of Grillo Site, Burry Port	414	0	414	0	0	0	-414	Underspend to be slipped to cover remediation works required.
Block 3, St David's Park	1,292	0	1,292	1,292	0	1,292	0	
Glanamman Industrial Estate Redevelopment	-373	0	-373	25	0	25	398	Funding to be met from underspends in other projects.
Rural Estates	1	0	1	1	0	1	0	
Other Projects with Minor Variances	0	0	0	48	-48	0	0	

Capital Programme 2022/23							Variance for Year £'000	Comment
Capital Budget Monitoring - Report for June 2022 - Main Variances								
DEPARTMENT/SCHEMES	Working Budget			Forecasted				
	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000		
REGENERATION	89,321	-43,386	45,935	85,360	-40,661	44,699	-1,237	
Swansea Bay City Region Projects	55,194	-34,013	21,181	53,194	-32,013	21,181	0	
County Wide Regeneration Funds	4,852	0	4,852	4,852	0	4,852	0	
Cross Hands East Strategic Employment Site Phase 1	526	0	526	526	0	526	0	
Cross Hands East Phase 2	95	-6	89	147	-6	141	52	
Cross Hands East Plot 3 Development	6,902	-3,250	3,652	6,902	-3,250	3,652	0	
Carmarthen Town Regeneration - Jacksons Lane	5	0	5	5	0	5	0	
Carmarthen Old Town Quarter	691	0	691	691	0	691	0	Detailed design to follow Greening Infrastructure masterplan outcome.
Pendine Iconic International Visitors Destination	2,183	0	2,183	2,721	-200	2,521	338	Purchase of MOD land imminent, increase in original contract costs and subject to insurance claim decision
Llandeilo Market Hall	3,484	0	3,484	3,484	0	3,484	0	Completion expected September 2022.
Ammanford Regeneration Development Fund	280	0	280	308	0	308	28	Delays because of changes to state aid rules following Brexit.
Town Centre Loan Scheme	1,400	0	1,400	1,400	0	1,400	0	Start on site expected within Q1 of 2022/23. Updated full award from WG.
TRI Strategic Projects - Market Street North	1,788	0	1,788	1,788	0	1,788	0	Project called in by Welsh Government planning division.
TRI Strategic Projects - Former YMCA Building, Stepney Street, Llanelli	884	0	884	884	0	884	0	Project delayed because of Covid-19, will slip into 2022/23.
Transforming Town Centres Strategic Projects	1,410	0	1,410	1,410	0	1,410	0	Slip to 2022/23. To be applied against projects in the Transforming Towns Programme.
Business Support for Renewable Energy Initiatives	500	0	500	250	0	250	-250	Forecast grant drawdown based on expected spend profile.
Ten Towns Growth Plan	1,000	0	1,000	350	0	350	-650	Town Working Groups developing projects. Relies on third parties to deliver.
Place Making	1,680	-925	755	0	0	0	-755	Project Slipped.
Levelling Up Fund Projects	6,192	-5,142	1,050	6,192	-5,142	1,050	0	Carmarthenshire West and Pembrokeshire South Levelling up. Covered from underspends in other projects in 2021/22. Negative Slippage to future years.
Other Projects	255	-50	205	255	-50	205	0	Llanelli JV, Brilliant Basics.
TOTAL	264,999	-117,037	147,962	248,256	-107,560	140,696	-7,266	

Mae'r dudalen hon yn wag yn fwiadol

Y CABINET
03/10/2022

**Adroddiad Chwarterol yngylch Rheoli'r Trysorlys a Dangosydd
Darbodaeth Ebrill 1af 2022 i Mehefin 30ain 2022.**

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

Bod y Cabinet yn ystyried a cymeradwyo yr adroddiad.

Y Rhesymau:

I ddarparu y gwybodaeth diweddaraf i aelodau, ynglyn a gweithgareddau'r adran Rheoli'r Trysorlys yn ystod y cyfnod Ebrill 1af 2022 i Mehefin 30ain 2022.

Rhag-gyfarfod y Cabinet:

Ymgynghorwyd â'r pwyllgor craffu perthnasol

DO

Y pwyllgor craffu a'r dyddiad

Pwllgor Craffu Polisi ac Adnoddau 19/10/2022

Angen i'r Cabinet wneud penderfyniad OES

Angen i'r Cyngor wneud penderfyniad NA

Y Gyfarwyddiaeth: Gwasanaeth
Corfforaethol

Cyfarwyddwr: Chris Moore

Awdur yr Adroddiad: Anthony
Parnell

Swyddi:

Cyfarwyddwr Gwasanaethau
Corfforaethol

Rheolwr Pensiwn a
Buddsodiadau Gyllidol

Rhif ffôn: 01267 224120; E-
bost:CMoore@sirgar.gov.uk

Rhif ffôn: 01267 224180; E-
bost:AParnell@sirgar.gov.uk

**EXECUTIVE SUMMARY
CABINET
03/10/2022**

**Treasury Management and Prudential Indicator Report
1st April 2022 to 30th June 2022**

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide members with an update on the treasury management activities from 1st April 2022 to 30th June 2022.

DETAILED REPORT ATTACHED ?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **C Moore**

Director

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	NONE	YES	NONE	NONE	NONE	NONE

1. Policy, Crime & Disorder and Equalities

Within the requirements of the Treasury Management Policy and Strategy Report 2022-2023

3. Finance

The authority's investments during the period returned an average return of 0.60%.

Gross interest earned on investments for the period amounted to £334k and interest paid on loans was £0.92m.

The Authority did not breach any of its Prudential Indicators during the period.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: C Moore

Director

1. Scrutiny Committee

For information to Policy and Resources Scrutiny Committee on the 19/10/2022.

2. Local Member(s)

NA

3. Community / Town Council

NA

4. Relevant Partners

NA

5. Staff Side Representatives and other Organisations

NA

Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report: THERE ARE NONE

Title of Document	File Ref No.	Locations that the papers are available for public inspection
CIPFA Treasury Management in the Public Services - Code of Practice Revised 2017		County Hall, Carmarthen

CABINET
03/10/2022

QUARTERLY TREASURY MANAGEMENT AND PRUDENTIAL INDICATOR REPORT

1st April 2022 – 30th June 2022

A QUARTERLY TREASURY MANAGEMENT REPORT

1. Introduction

The Treasury Management Policy and Strategy for 2022-2023 was approved by Council on 2nd March 2022. Section B 1.1(2) stated that Treasury Management activity reports would be made during the year. This report outlines the Treasury Management activities in the period 1st April 2022 to 30th June 2022 and satisfies the reporting requirement stated above.

2. Economic Update

In June 2022, the Monetary Policy Committee (MPC) voted 6-3 in favour of a 0.25% increase to 1.25%, which was the first time in its 25-year history that the MPC had raised rates at five consecutive meetings. Nonetheless, over Q2, it is clear central banks in the developed economies have placed the dampening down of inflation pressures front and centre of their primary objectives, even if it comes at the cost of sluggish growth or, indeed, recession. The MPC is in step with this approach although the UK economy is dragging its feet to a greater extent than that seen in the US.

The CPI measure of inflation is already at 9.1%, and the Bank of England anticipates it will peak near to 11% just before the end of 2022. Given the number of geopolitical factors we would caution against taking a strong view on how interest rate movements evolve and instead focus on optimising balance sheet management and the risk management of investment and debt portfolios.

3. Investments

One of the primary activities of the Treasury Management operation is the investment of surplus cash for which the Authority is responsible. As well as the Authority's own cash the County Council invests School Trust Funds and other Funds, with any interest derived from these investments being passed over to the relevant Fund.

All surplus money is invested daily on the London Money Markets. The security of the investments is the main priority. Appropriate liquidity should be maintained and return on investments the final consideration. It continues to be difficult to invest these funds as the market continues to be insecure and as a consequence appropriate counterparties are limited.

The total investments at 1st April 2022 to 30th June 2022 analysed between Banks, Building Societies, Local Authorities and Money Market Funds, are shown in the following table:

Investments	01.04.22				30.06.22			
	Call and notice £m	Fixed Term £m	Total £m	%	Call and notice £m	Fixed Term £m	Total £m	%
Banks	39.50	0.00	39.50	25	27.50	7.00	34.50	16
Building Societies	0.00	7.00	7.00	4	0.00	7.00	7.00	4
Money Market Funds	25.00	0.00	25.00	17	20.00	0.00	20.00	10
DMADF (DMO)	0.00	57.00	57.00	36	0.00	116.50	116.50	55
Local Authorities	0.00	32.00	32.00	20	0.00	32.00	32.00	15
TOTAL	64.50	96.00	160.50	100	47.50	162.50	210.00	100

Investments on call are available immediately on demand.
Fixed term investments are fixed to a maturity date.

The DMADF (DMO) limit was increased in April 2022 to £125m from £100m by the Director of Corporate Services under Emergency powers. The limits on Money Market Funds were also increased to £10m (each) from £5m.

During the period the total investments made by the Council and repaid to the Council (the turnover) amounted to £633.50m. This averaged approximately £48.73m per week or £6.96m per day. A summary of turnover is shown below:

		£m
Total Investments 1st April 2022		160.50
Investments made during the quarter		341.50
Sub Total		502.00
Investments Repaid during the quarter		(292.00)
Total Investments at	30 June 2022	210.00

The main aims of the Treasury Management Strategy is to appropriately manage the cash flows of the Council, the required short term and longer term market transactions and the risks associated with this activity. Lending on the money market secures an optimum rate of return and also allows for diversification of investments and hence reduction of risk, which is of paramount importance in today's financial markets.

The investment rate the Council earned during the period was 0.60%.

Benchmarks are widely used to assess investment performance. Previously, the "7 day LIBID rate" was the recognised rate which the Council would aim to exceed when lending money, however the LIBID rates ceased from 31st December 2021. Work is currently progressing with our Treasury advisors at Link and their clients to replace this rate with a suitable alternative for benchmarking. We will monitor the situation closely and an update will be provided in future Quarterly Reports.

The gross interest earned on investments for the period amounted to £334k.

The income from investments is used by the Authority to reduce the net overall costs to the Council taxpayer.

4. Security, Liquidity and Yield (SLY)

Within the Treasury Management Strategy Statement for 2022-2023, the Council's investment priorities are:

- Security of Capital
- Liquidity and
- Yield

The Council aims to achieve the optimum return (yield) on investments commensurate with proper levels of security and liquidity. In the current economic climate it is considered appropriate to keep investments short term to cover short term cash flow needs but also to seek out value available in significantly higher rates in periods up to 12 months with highly credit rated financial institutions.

Attached in Appendix 1 is the Investment Summary and Top 10 Counterparty Holdings as at 30th June 2022.

5. Borrowing

One of the methods used to fund capital expenditure is long term borrowing. The principal lender for Local Authorities is the Public Works Loan Board (PWLB).

Under the Treasury Management Strategy it was agreed to borrow when interest rates are at their most advantageous.

The total loans at 1st April 2022 and 30th June 2022 are shown in the following table:

Loans	Balance at 01.04.22 £m	Balance at 30.06.22 £m	Net Increase/ (Net Decrease) £m
Public Works Loan Board (PWLB)	387.61	387.61	0.00
Market Loan	3.00	3.00	0.00
Salix, Invest to Save, HILS & TCL	10.13	10.11	(0.02)
TOTAL	400.74	400.72	(0.02)

The Salix interest free loans have been provided by an independent publicly funded company dedicated to providing the public sector with loans for energy efficiency projects.

This interest free Invest-2-Save funding is to assist in the conversion of traditional street lighting to LED, which will help deliver a legacy of reduced energy costs and associated carbon taxes.

The Home Improvement Loan Scheme (HILS) repayable funding is provided by the Welsh Government to help individual home owners, small portfolio landlords, developers and charities to improve homes and increase housing supply.

The Town Centre Loan (TCL) repayable funding is provided by the Welsh Government to provide loans to reduce the number of vacant, underutilised and redundant sites and premises in town centres and to support the diversification of the town centres by encouraging more sustainable uses for empty sites and premises, such as residential, leisure and for key services.

5.1 New Borrowing

No new loans were borrowed during the period.

5.2 Interest Paid

Interest paid on loans in the period was:

PWLB Interest Paid £m	Market Loan Interest Paid £m	Total Interest Paid £m
0.85	0.07	0.92

6. Rescheduling and Premature Loan Repayments

No rescheduling opportunities arose during the period and there were no premature repayments of debt.

7. Leasing

No leases were negotiated in the period ended 30th June 2022.

8. Conclusion

The Treasury Management function for the period ended 30th June 2022 has been carried out within the policy and guidelines set in the Treasury Management Policy and Strategy 2022-2023.

B. QUARTERLY PRUDENTIAL INDICATOR REPORT

1. Introduction

As part of the 2022-2023 Budget and the Treasury Management Policy and Strategy 2022-2023, Council adopted a number of Prudential Indicators. These Indicators are designed to ensure that any borrowing or other long-term liabilities entered into for capital purposes were affordable, sustainable and prudent.

The Indicators are required by the Local Government Act 2003 and the Revised Prudential Code of Practice in order to control Capital Finance. The Prudential Code also required that those Prudential Indicators that were forward looking should be monitored and reported. Some of the indicators are monitored by officers monthly and are only reported if they are likely to be breached, others are to be monitored quarterly by the Cabinet.

2. The Monitored Prudential Indicators

2.1 Affordability Prudential Indicator

2.1.1 Ratio of Financing Costs to Net Revenue Stream

The indicator set for 2022-2023 in the Budget was:

	2022-2023
	%
Non –HRA	3.95
HRA	30.90

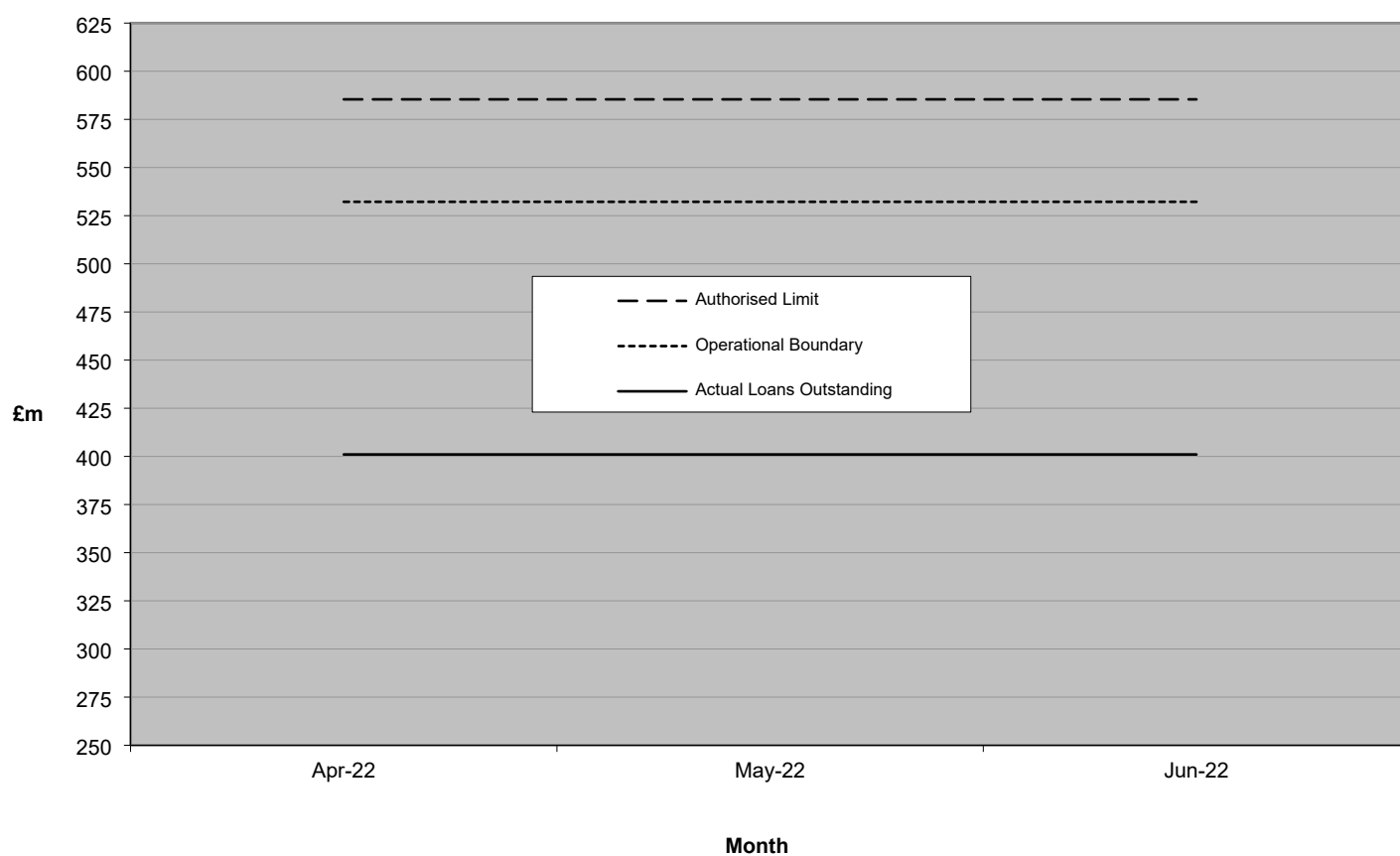
An examination of the assumptions made in calculating this indicator concluded that there have been no changes in the period.

2.2 Prudence Prudential Indicators

2.2.1 The Gross Borrowing and Capital Finance Requirement (CFR) indicator

The indicator set by the Budget for Gross Borrowing and CFR was that the Section 151 Officer envisaged no difficulty in meeting the requirement of the Gross Borrowing being less than the accumulated CFR for 2022-2023. An examination of assumptions made when calculating the Prudential Indicator show that there have been no material changes.

2.2.2 Authorised Limit and Operational Boundary



The actual value of loans outstanding must not exceed the Authorised Limit. In normal activity actual loans outstanding should be close but less than the Operational Boundary. The Operational Boundary can be breached in the short term due to adverse cash flows.

	Apr-22 £m	May-22 £m	Jun-22 £m
Authorised Limit	585.4	585.4	585.4
Operational Boundary	532.2	532.2	532.2
Loans Outstanding	401	401	401

Neither the Authorised Limit nor the Operational Boundary have been breached.

2.3 Treasury Management Prudential Indicators

2.3.1 Interest Rate Exposure

Position as at 30th June 2022:

	Fixed Interest Rate £m	Variable Interest Rate £m	TOTAL £m
Borrowed	397.72	3.00	400.72
Invested	(162.50)	(47.50)	(210.00)
Net	235.22	(44.50)	190.72
Limit	512.00	51.00	
Proportion of Net Borrowing Actual	123.33%	(23.33)%	100.00%
Limit	125.00%	5.00%	

The authority is within limits set by the 2022-2023 indicators.

2.3.2 Maturity Structure Of Borrowing

	Structure at 30.06.22 %	Upper Limit %	Lower Limit %
Under 12 months	2.78	15	0
12 months to 2 years	1.82	15	0
2 years to 5 years	6.95	50	0
5 years to 10 years	9.14	50	0
10 years to 20 years	21.37	50	0
20 years to 30 years	20.84	50	0
30 years to 40 years	23.13	50	0
40 years and above	13.98	50	0

The authority is within the limits set by the 2022-2023 indicators.

2.3.3 Maximum principal sums invested longer than 365 days

	2022-2023 £m
Limit	10
Actual as at 30 th June 2022	NIL

3. Conclusion

For the period 1st April 2022 to 30th June 2022 the actual Prudential Indicators to be monitored by the Cabinet are within the limits set by the Budget 2022-2023 and the Treasury Management Policy and Strategy 2022-2023. This is also true for the indicators being monitored by officers.

RECOMMENDATIONS

- 1. That Cabinet considers and approves the report.**
- 2. That the increases in the limits by the Director of Corporate Services in April 2022 for the DMADF (DMO) to £125m from £100m and the Money Market Funds to £10m (each) from £5m are confirmed.**

Mae'r dudalen hon yn wag yn fwriadol

Investment Summary as at 30th June 2022

Carmarthenshire County Council

Totals		
Total	£210,000,000	
Calls & MMFs	£47,500,000	23%
Fixed Deposits	£162,500,000	77%
Specified	£47,500,000	100%

Weighted Average		
Yield		0.85%
Maturity (Days)		
Total Portfolio	Total Portfolio	60.08
Long Term		
AAA	-	1.00
AA	F1	65.08
A	F1	84.80
BBB	F2	0.00
CCC	C	0.00

Risk Factors		
< 1 year	£11,947	0.006%
1 - 2 years	£0	0.000%
2 - 3 years	£0	0.000%
3 - 4 years	£0	0.000%
4 - 5 years	£0	0.000%
Total Portfolio	£11,947	0.006%

Maturity Structure		
< 1 Week	£73,000,000	35%
< 1 Month	£32,000,000	15%
2 - 3 Months	£55,000,000	26%
3 - 6 Months	£38,000,000	18%
6 - 9 Months	£5,000,000	2%
9 - 12 Months	£7,000,000	3%
12 Months+	£0	0%
Total	£210,000,000	100%

SLY Model

Carmarthenshire County Council

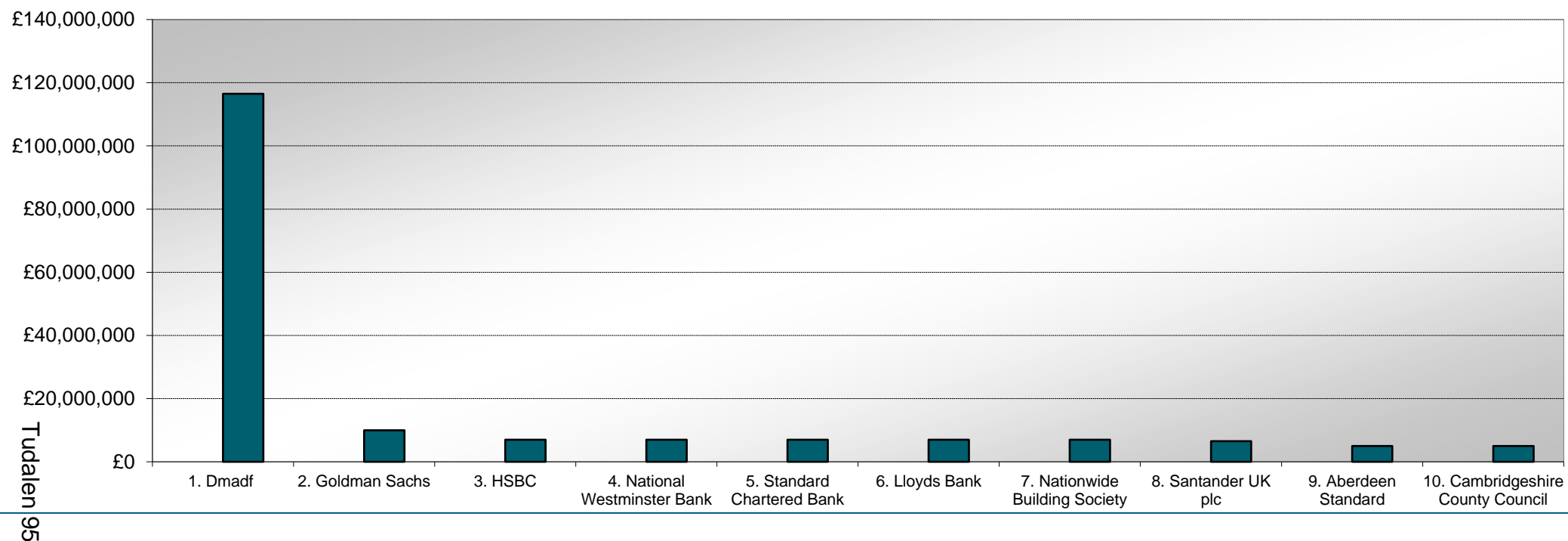
30/06/2022

Mae'r dudalen hon yn wag yn fwiadol

Top 10 Counterparty Holdings

Carmarthenshire County Council

Counterparty	Principal	% of Total Holding	WAM (Days)	WAYield	WADefault
1. Dmadf	£116,500,000	56.01%	50	1.01%	0.002%
2. Goldman Sachs	£10,000,000	4.81%	1	0.40%	0.000%
3. HSBC	£7,000,000	3.37%	1	0.54%	0.000%
4. National Westminster Bank	£7,000,000	3.37%	274	2.30%	0.071%
5. Standard Chartered Bank	£7,000,000	3.37%	1	0.49%	0.000%
6. Lloyds Bank	£7,000,000	3.37%	1	0.07%	0.000%
7. Nationwide Building Society	£7,000,000	3.37%	141	0.35%	0.036%
8. Santander UK plc	£6,500,000	3.13%	1	0.95%	0.000%
9. Aberdeen Standard	£5,000,000	2.40%	1	0.41%	0.000%
10. Cambridgeshire County Council	£5,000,000	2.40%	229	0.18%	0.010%



Mae'r dudalen hon yn wag yn fwiadol

Cabinet

4ydd HYDREF 2022

Pwnc: Costau Byw

Argymhellion / penderfyniadau allweddol sydd eu hangen:

1. Sylwi ar statws presennol y cynllun gorfodol.
2. Cymeradwyo'r Cynllun Costau Byw Dewisol.
3. Cymeradwyo dirprwyaeth i ddefnyddio unrhyw danwariant / trosglwyddiad tanwariant i Gyfarwyddwr y Gwasanaethau Corfforaethol mewn ymgynghoriad â'r Aelod Cabinet dros Adnoddau.

Rhesymau:

Rheswm yr adroddiad hwn yw i roi manylion Cynllun Cymorth Byw Llywodraeth Cymru gan gynnwys cynnig mewn perthynas â'r Cynllun Dewisol cysylltiedig, y gall y Cyngor benderfynu ar ddefnydd yn lleol ar ei gyfer.

Y pwyllgor craffu perthnasol i ymgynghori Nag oes

Penderfyniad Cabinet yn ofynnol OES – 19 Medi 2022
(Cabinet Rhagarweiniol 5^{ed} Medi 2022)

Angen Penderfyniad y Cyngor NA

DEILYDD PORTFFOLIO AELODAU'R BWRDD GWEITHREDOL:-

- Y Cyngorydd Alun Lenny (Adnoddau)

<p>Gyfarwyddiaeth: Gwasanaethau Corfforaethol</p> <p>Enwau Cyfarwyddwyr: Chris Moore</p> <p>Awduron yr Adroddiad: Helen Pugh</p>	<p>Dynodiadau:</p> <p>Cyfarwyddwr Gwasanaethau Corfforaethol</p> <p>Pennaeth Refeniw a Chydymffurfio Ariannol</p>	<p>Ffon / Cyfeiriad Epost:</p> <p>01267 224120 cmoore@carmarthenshire.gov.uk</p> <p>01267 246223 hpugh@carmarthenshire.gov.uk</p>
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EXECUTIVE SUMMARY
Cabinet
4TH October 2022

SUBJECT
Cost of Living

Background

The Welsh Government announced a package of measures to help people with support towards the cost of living. The package includes £152M to provide a £150 cost-of-living payment to eligible households (the main scheme) and £25M to provide discretionary support for other purposes related to living costs.

The schemes are intended to provide immediate support as Wales recovers from the pandemic and support households to deal with the impact of increasing energy and other costs

The Discretionary Scheme funding can be used by each local authority to support households it considers to be in need of assistance with their living costs. Carmarthenshire has been allocated £1.556 million to spend on a discretionary scheme.

Cabinet is recommended to:

- note the delivery of the Cost-of-Living Support Scheme (main scheme).
- To approve the categories summarised within the report as the approach for Carmarthenshire locally determined Discretionary Scheme
- To agree that the final initiative in Table 12 is not processed until after the mandatory scheme has closed at the end of September 2022 so that the actual financial position can be established, to ensure that sufficient funds are available to deliver the initiative.
- To approve delegated authority to the Director of Corporate Services, in consultation with the Cabinet Member of Resources, to determine if Table 12 Initiative proceeds subject to sufficient funds available from the mandatory scheme underspend to fund the proposal.
- Delegate authority to the Director of Corporate Services (in consultation with the Cabinet Member for Resources), the ability to vire any underspent elements of funding either from the main scheme or between discretionary options agreed as necessary, to ensure full spend of the Council's allocated funding

It is to be noted that papers have already been approved by Cabinet Members for the use of WG poverty grants and Allotment Grant, and this scheme dovetails into those schemes. Please see attached copy of the cabinet report which has been approved for Poverty: [Summary.pdf \(gov.wales\)](#) and link to the relevant agenda

<https://democracy.carmarthenshire.gov.wales/ieListDocuments.aspx?CId=384&MId=6134&Ver=4We>

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: **Helen Pugh**

Head of Revenues & Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
None	None	Yes	NONE	YES	NONE	NONE

3. Finance

Welsh Government Grant allocated to spend on the scheme totalling £1.556m.

Appropriate recording and monitoring of spend to evidence through regular returns to Welsh Government that the grant has been spent in accordance with the Discretionary Scheme.

5. Risk Management Issues

Risk that support is not provided to the financially vulnerable of Carmarthenshire

Risk that monies could be clawed back if the monies have not been spent in accordance with the approved scheme.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh

Head of Revenues & Financial Compliance

(Please specify the outcomes of consultations undertaken where they arise against the following headings)

1. Scrutiny Committee

2. Local Member(s)

N/A

3. Community / Town Council

N/A

4. Relevant Partners

N/A

5. Staff Side Representatives and other Organisations

**EXECUTIVE BOARD PORTFOLIO
HOLDER(S) AWARE/CONSULTED
YES**

Cllr Alun Lenny

**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
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Cyngor Sir Gâr Carmarthenshire County Council

Carmarthenshire County Council

Cost of Living

July / August 2022

Contents

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1.	Background	2
2.	Cost of Living – Main Scheme	4
3.	Cost of Living – Discretionary Scheme	5

1. **Background**

1.1 The Welsh Government announced a package of measures to help people with support towards the cost of living. The package includes £152 million to provide a £150 cost-of-living payment to eligible households (the main scheme) and £25 million to provide discretionary support for other purposes related to living costs.

1.2 The schemes are intended to provide immediate support as Wales recovers from the pandemic and support households to deal with the impact of increasing energy and other costs.

1.3 The Discretionary Scheme funding can be used by each local authority to support households it considers to need assistance with their living costs. Carmarthenshire has been allocated £1.556 million to spend on a discretionary scheme

2. **Cost of Living Scheme - Main Scheme**

2.1 A payment of £150 can be made to a household under the main scheme if the householder(s) meets one of the following conditions.

2.2 Council Tax Reduction Scheme Entitlement Condition

2.2.1 If the householder(s) was in receipt of support through the Council Tax Reduction Scheme on 15th February 2022, they are automatically assessed as being entitled to a payment of £150 regardless of the valuation band in which their property is placed.

2.2.2 Council Tax Band Condition

Households occupying properties in council tax Bands A to D are assessed as being entitled to a payment of £150 provided they were:

- liable for council tax at a property on 15th February 2022;
- not in receipt of an exemption for that property on 15th February 2022;
- living in the property as their main residence on 15th February 2022; and
- responsible for paying the associated utility and other regularly incurred bills for the property on 15th February 2022.

2.3 Local authorities administering the scheme will be able to make the reasonable assumption that household(s) liable to pay council tax are also responsible for paying the utility and other regularly incurred bills.

2.4 Households living in properties which have been valued as Band E and are in receipt of disability band reduction are also eligible to receive the payment.

2.5 Households are eligible for a payment if their property band recorded on a valuation list is amended retrospectively after 15th February 2022 to fall within an eligible category, for example, as a result of a successful review or appeal made to the Valuation Office Agency (VOA) that concluded after this date. Households living in new builds awaiting an

official banding from the VOA are also eligible for a payment if the official banding subsequently allocated by the VOA, meets the eligibility criteria for the scheme.

2.6 Only one £150 payment is to be made in relation to any single dwelling under the main scheme. In cases of joint and several liability, only one payment is to be made and it should be paid to the first named person on the council tax bill. Where this is not possible, local authorities have discretion to identify the most appropriate person to whom the payment should be made.

2.7 Cost of Living Main Scheme - Households/Payments. The following table summarises the current position in relation to the payments made under the mandatory scheme. At present we have paid out 93% of the eligible households. Two media campaigns were run in May and June / July as well as two reminders sent to those that haven't applied on 13th June 2022 and 18th July 2022, with reminders sent to 8,196 and 4,345 households respectively. A further reminder and media campaign will be run in early September, given that the scheme closes at the end September 2022.

In summary @ 31st August 4,534 households have yet to claim their £150, totaling £680,100.

Mandatory Cost of Living Payments

	Total Number Households	Number Households Paid @ 22 July 2022	Amount Paid £ @ 22 July 2022	Number Households Paid @ 31 August 2022	Amount Paid £ @ 31 August 2022
£150 to all households receiving Council Tax Reduction	15,509	12,034	1,805,100	12,617	1,892,550
£150 to all households in Bands A to D (excluding households receiving Council Tax Reduction)	47,692	45,179	6,776,850	46,050	6,907,500
Total	63,201	57,213	8,581,950	58,667	8,800,050

3. Cost of Living – Discretionary Scheme

3.1 In addition to supporting the eligible categories through the main scheme, each local authority may use the funding provided under the Discretionary Scheme to provide support to households it considers to need assistance with their living costs. This support may take the form of a payment to a household not already covered in the main scheme, or an additional payment, or it may cover the cost of essential services provided to the household.

3.2. The total funding in Wales for the discretionary scheme amounts to £25 million with Carmarthenshire's allocation being £1.556 million.

3.3 The Welsh Government has stated that in developing the local Discretionary Scheme, local authorities have complete autonomy to target the funds to best support residents and to ensure their approach best suits the need of their individual residents.

3.4 The authority has discretion over the value of the award and to pay by instalments if it considers making a full payment would not be in a household's best interests or that it might compromise their welfare.

3.5 It is recognised that payments or the provision of other support to such households may be more readily administered by specialist services within the local authority – e.g. social services, homelessness services, educational support services etc.

3.6 **Vulnerable groups.** Proposed elements using the revenues sources for inclusion in the Carmarthenshire County Council Discretionary Scheme.

3.6.1 Key vulnerable groups that were excluded from the main scheme and as recommended in the Welsh Government guidance for the use of this discretionary scheme. Proposal to pay £150 per household, irrespective of which Band the household is in and in receipt of the exemption on 15th February 2022.

Table 1

Council Tax- Tax exemption criteria	Number of Households	Amount Payable £
Class I – Receiving Care	36	5,400.00
Class J – Providing Care	10	1,500.00
Class U – Severely Mentally Impaired	312	46,800.00
Class X – Care Leavers	35	5,250.00
Total	393	58,950.00
Method of processing Identified from the Council Tax billing System. Letters issued confirming their eligibility along with instructions of how to claim the award. Unique activation key to be issued which when entered along with Council Tax account number and personal details will enable them to claim online.		
Responsible Department / Service Corporate Services / Revenues		

3.6.2 Suggested other exempt categories within the council tax system.

Proposal to pay £150 per household for Class S noted below and £75 per household for Classes K and N, irrespective of which Band the household is in and in receipt of the exemption on 15th February 2022.

Table 2

Council Tax- Tax exemption criteria	Number of Households	Amount Payable £
Class K – Unoccupied by Students	1	75.00
Class N – Occupied only by students	313	23,475.00
Class S – Under 18	16	2,400.00
Total	330	25,950.00
Method of processing Identified from the Council Tax billing System. Letters issued confirming their eligibility along with instructions of how to claim the award. Unique activation key to be issued which when entered along with Council Tax account number and personal details will enable them to claim online.		
Responsible Department / Service Corporate Services / Revenues		

3.6.3 There are other groups of people that are captured on the revenues system that could be classed as financially vulnerable.

Proposal to pay £150 per household, irrespective of which Band the household is in, and living at the property on 15th February 2022.

Table 3

Criteria	Number of Households	Amount Payable £
Foster Carers'	122	18,300.00
DBR -Bands E - I	428	64,200.00
Families with 3 children or more on Housing Benefits	14	2,100.00
Benefit Capped	23	3,450.00
Total	587	88,050.00
Method of processing Identified from the Council Tax billing System, Benefits system and Social Services Foster Care Team For DBR Automatic payments to those paying by Direct debit or already received a payment under main scheme if on CTRS For other 3 categories Letters issued confirming their eligibility along with instructions of how to claim the award. Unique activation key to be issued which when entered along with Council Tax account number and personal details will enable them to claim online.		
Responsible Department / Service Corporate Services / Revenues Communities		

3.7 Grant funding initiatives. Carmarthenshire County Council provide a variety of support through grants and funding received to assist individuals in arrears and support the third sector that provide valuable services to the residents of Carmarthenshire that are affected by the cost-of-living crisis. It's proposed to provide top up grants to the following to ensure that financial resources are available to continue to deliver these initiatives.

Table 4

Grant	Proposal	Suggested top up £	Responsible Service Area
Discretionary Housing Payments	Enhance the Discretionary Housing Scheme	100,000	Administered by Revenues – working closely with Housing
Background	<p>Discretionary Housing Payments (DHP) are payments that help tenants who are struggling to pay their rent.</p> <p>To be eligible for a DHP, tenants must receive either Housing Benefit or Universal Credit (with a housing element). Some examples of the criteria supported through this scheme are where claimants affected by the:</p> <ul style="list-style-type: none"> • benefit cap • Social Sector Size Criteria ('bedroom tax') • Local Housing Allowance (rental values > market rent, or unused rooms) <p>Funding for this scheme is allocated to the Local Authority from the Department of Work and Pensions (DWP). This allocation has been significantly reduced year on year by 31% in 2022/23 to £262,876. No Welsh Government funding is available for 2022/23.</p> <p>The total spend for 2021/22 amounted to £397,583 supporting 720 applicants. The cost was met from the DWP grant allocation of £378,584 with the £18,999 excess spend funded from a Welsh Government grant.</p>		
Approach	<p>It is proposed funding from the cost-of-living discretionary scheme would be utilised to enhance this existing DHP fund, and therefore bring the amount available through this scheme back to 96% of level of financial support provided by DWP in 2021/22.</p> <p>Robust procedures and processes are already in place to claim and make these payments.</p>		

Table 5

Grant	Proposal	Suggested top up £	Responsible Service Area
Household Poverty Grant	Enhance the scheme	50,000	Administered by Economic Development
Background	<p>Household Poverty Grant received from Welsh Government is used to support food banks that we have in Carmarthenshire. Funding is distributed to local food banks that are helping tackle food poverty within the county. All Foodbanks that we are aware of are invited to apply, not all take up the opportunity. Assessment is based on need for the activity and how they plan to target the most in need.</p> <p>Example of organisations funded to date are: Llandoverly Youth and Community Centre, Newcastle Emlyn Foodbank, Llanelli Foodbank Myrtle House, Llwynhendy and Pemberton Forum, Salvation Army, Ty Gwyn Church, Dr. Mz.</p>		
Approach	<p>It is proposed funding from the cost-of-living discretionary scheme would be utilised to enhance this scheme fund through supporting revenue funding initiatives and not capital schemes. Robust procedures and processes are already in place to claim and make these payments.</p> <p>The outputs expected to be reported upon by the recipients of a grant through this scheme are as follows:</p> <ul style="list-style-type: none"> • How many families will be supported • How many single people will be supported • How many homeless people will be supported • No. of people receiving skills and training through regarding healthy food, nutrition and decreasing food waste 		

Table 6

Grant	Proposal	Suggested top up £	Responsible Service Area
Poverty Grant	Enhance the scheme	50,000	Administered by Economic Development
Background	Poverty Grant received from Welsh Government is used to support voluntary bodies that we have in Carmarthenshire that assist the residents of Carmarthenshire through support, advice, financial assistance. Example of organisations funded to date through this scheme are CETMA, Llandovery Youth Centre, Ty Enfys Family Centre, Siwper.box CIC, Threshold, Cwmaman Foodbank, Llanelli Railway Goods Shed Trust, Tyisha Foodbank, Plant y Cwm.		
Approach	<p>It is proposed funding from the cost-of-living discretionary scheme would be utilised to enhance this scheme through supporting revenue funding initiatives and not capital schemes. Robust procedures and processes are already in place to claim and make these payments. The outputs expected to be reported upon by the recipients of a grant through this scheme are as follows:</p> <ul style="list-style-type: none"> • How many families will be supported • How many single people will be supported • How many homeless people will be supported • No. of people receiving skills and training through regarding healthy food, nutrition and decreasing food waste • How many Jobs safeguarded • How many Jobs created • Levered funding (match funding if any) 		

Table 7

Grant	Proposal	Suggested top up £	Responsible Service Area
Rural Poverty	Fund a scheme	50,000	Administered by Economic Development
Background	<p>Research carried out in 2016 reported that 23% of the population of rural Wales is living in poverty, a larger proportion than in any other part of the UK (Joseph Rowntree Foundation, 2016).</p> <p>Thirty nine of the 51 wards in Carmarthenshire are classified as rural. Farmers, it has been demonstrated, do not readily seek assistance. Financial hardship can cause mental health issues and the isolating nature of their work means that they are more difficult to reach.</p>		
Approach	<p>Grant to be provided for organisations that can demonstrate that they can provide support to the rural community within Carmarthenshire.</p> <p>To raise awareness of the financial support that is available to them, to identify individuals and families in isolation and provide the necessary advice, guidance and financial support .</p>		

3.8 Long term initiatives. The following are initiatives that Carmarthenshire intend to implement / re-introduce.

Table 8

Initiative	Proposal	Estimated £	Responsible Service Area
Budgeting advisors	Fund three new posts for one year	150,000	Posts to be at Hwbs
Background	<p>We have in the past had budget advisor posts that have assisted any of our residents that have been in financial difficulty. These officers could liaise with the job centers and link up with the food banks so that we have a presence at these sites to assist in -situ.</p> <p>This will assist in managing our housing tenants rent arrears, council tax arrears and assist in residents general debt management. Signposting to the various financial support that is available will also be a key part of the roles.</p>		
Approach	<p>It is proposed funding from the cost-of-living discretionary scheme would be utilised to fund these posts. Robust procedures and processes are already in place to appoint and to record and document the advice given.</p> <p>These posts could also link to the following initiatives:</p> <ul style="list-style-type: none"> • Ten Towns project – an outreach initiative providing access to the budget advisors for residents in and around the Ten Towns through the Mobile Hwbs • the Shared Prosperity Fund initiative of improving adult numeracy, a budgeting module could be incorporated into that scheme • Food banks 		

Proposal to introduce an initiative to assist households in reducing their electricity costs in the longer term by issuing LED bulbs to those that are financially vulnerable.

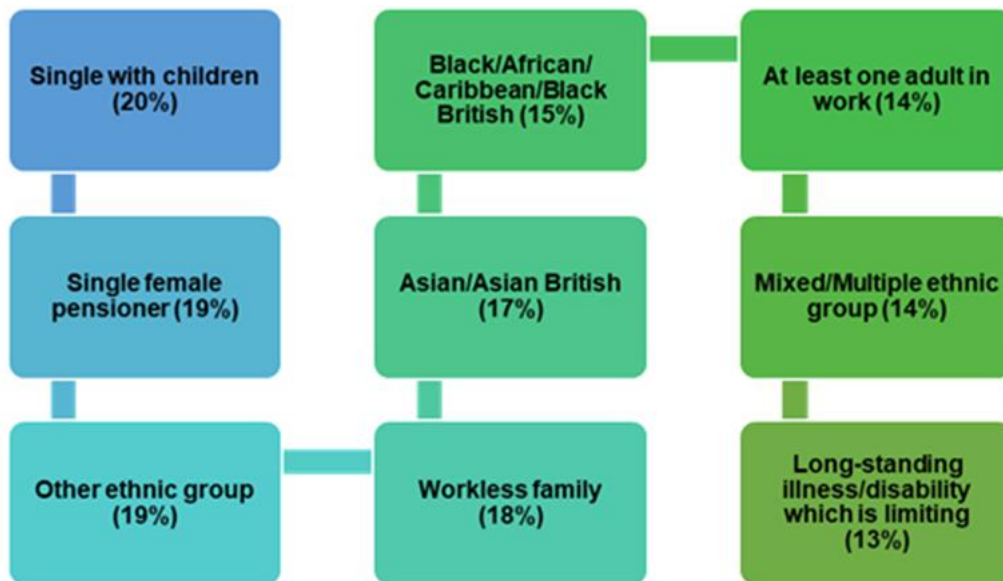
Table 9

Initiative	Proposal	Estimated £	Responsible Service Area
Payment towards LED light purchases	Distribution of LED bulbs to all in receipt of CTRS / or to those directly seeking help through food banks	300,000	Distribute light bulbs through Hwbs / or Food banks
Background	<p>This is considered to be beneficial in the longer term as this would assist in reducing utility bills in the longer term, whilst also have an impact on the net zero carbon agenda.</p> <p>LED light bulbs last for about 100,000 hours of use, which is much longer than their incandescent counterparts. LED light bulbs also use less electricity, bringing utility bills down.</p> <p>Energy saving light bulbs can typically save around 80% more electricity than incandescent bulbs</p>		
Approach	<p>It is proposed funding from the cost-of-living discretionary scheme would be utilised to fund this scheme.</p> <p>Benefits of distribution through Hwbs, would increase the footfall in towns, and the Hwb officers have access to the systems and keep a record of those that have received the bulbs.</p> <p>Benefits of distribution through food banks would means those that are reaching out for assistance will be captured in this initiative.</p>		

3.9 As part of the tackling poverty plan we've started to develop our data profile for Carmarthenshire. See below an extract which highlights the groups most at risk of being in poverty with the Carmarthenshire specific groups noted below the flow-chart.

Proportion of Groups Most At Risk

3.9.1 Income dynamics data published by DWP provide an indication of the groups that are most likely to be in persistent low income at an UK level. A visual of this is provided below¹;



3.9.2 Since low income is one of the primary contributory factors of poverty utilising this data and placing it in a Carmarthenshire context provides an indication of where and which type of poverty might be most prevalent in the county.

3.9.3 The above average presence of these groups within the county may provide an indication as to where poverty is concentrated and therefore where and which interventions should be prioritised (these figures are based on 2011 Census figures and will be updated as the data for 2021 becomes available).

¹ % of population groups in persistent low income (before housing costs) UK - [2-income-dynamics-individuals-in-persistent-low-income-2019.ods \(live.com\)](#)

Table 10

Family Type	Sub -type	Carmarthenshire	Wales	England & Wales
		% of households	% of households	% of households
Single with children	Lone parents in part-time employment	32.2	33.5	33.4
	Lone parents in full-time employment	28.0	25.0	26.0
	Not in employment	39.8	41.5	40.6
One Person Household (of pensioner age)	Aged 65 and over	14.8	13.7	12.4
Household (where all inhabitants are of pensioner age)	All aged 65 and over	0.5	0.3	0.3

3.9.4 The data that we do have on these categories are those that are in receipt of Housing Benefits and / or Council Tax Reduction Scheme. These groups of people will have received £150 per household under the mandatory scheme. The following table summarises these totals and the cost of a **proposed additional £50 per household**. In addition to the above categories a further category of those in receipt of disability allowances are noted below for consideration.

Proposal to pay additional £50 per claimant, and in receipt of the benefit on 15th February 2022.

Table 11

Category in receipt of HB and / or CTRS	Number of claimants	Amount Payable £
Applicants with dependants (excl Families with 3 children or more on Housing Benefits – already included in Table 3 above)	2,656	132,800
In receipt of disability	4,648	232,400
Total		365,200
<p>Method of processing Identified from the Revenues and Benefits System. Letters issued confirming their eligibility along with instructions of how to claim the award. Unique activation key to be issued which when entered along with Council Tax account number and personal details will enable them to claim online. Alternatively, if we have processed payments to these individuals under the mandatory scheme, relevant information is therefore held, another payment run could be actioned.</p>		
<p>Responsible Department / Service Corporate Services / Revenues</p>		

3.9.6 Further analysis of poverty data shows that 35.6% of households in Carmarthenshire are considered to be living in poverty owing to the fact that their total household incomes are below 60% of the median income for GB (as of 2021 = £19,641- 60% of median GB income which is £32,736).

3.9.7 The table below provides an indication of the 24 wards (based on historical ward boundaries) which exhibit rates higher than the Carmarthenshire average for the respective year, suggesting that the effects of poverty are possibly more acute in these areas than others. It is also possible to deduce which wards have seen the most substantial changes in levels over the last ten years with the arrows depicting a change in position. The evidence indicates that several wards in Carmarthenshire have seen significant increases in the numbers of households falling below the income threshold over the last ten years.

Ward	% of Households below 60% GB Median Income(2011) ^[1]	Pattern of Change in terms of Ranking in Carmarthenshire	% of Households below 60% GB Median Income (2021)	% Change
Tyisha	47.8%	No change	49.9%	+2.1%
Felinfoel	44.1%	↑	47.9%	+3.8%
Llwynhendy	43.0%	↑	45.6%	+2.6%
Ammanford	39.3%	↑	44.9%	+5.6%
Glanymor	44.5%	↓	43.9%	-0.6%
Cwarter Bach	38.0%	↑	41.9%	+3.9%
Llandovery	43.6%	↓	41.2%	-2.4%
Dafen	37.6%	↑	41.0%	+3.4%
Bigyn	37.7%	↑	41.0%	+3.3%
Carmarthen Town South	35.2%	↑	41.0%	+5.8%
Glanamman	33.3%	↑	40.4%	+7.1%
Burry Port	40.3%	↓	40.0%	-0.3%
Trimsaran	41.2%	↓	39.9%	-1.3%
Lliedi	40.6%	↓	39.3%	-1.3%
Llanybydder	38.1%	↓	38.8%	+0.7%
Garnant	37.9%	↓	38.8%	+0.9%
Kidwelly	36.3%	↑	38.7%	+2.4%
Pontyberem	34.3%	↑	37.6%	+3.3%
Elli	33.2%	↑	37.6%	+4.4%
Cenarth	39.4%	↓	37.4%	-2.0%
Carmarthen Town North	34.3%	↑	37.3%	+3.0%
Pontaman	35.3%	↓	36.5%	+1.2%
Llanfihangel-ar-Arth	38.8%	↓	35.9%	-2.9%
Trelech	30.7%	↑	35.7%	+5.0%

^[1] Above Carmarthenshire average of 34.7%

3.9.8 The number of households in the above wards are noted below.

Parish / Ward	% Households below 60% GB Median Income (2021)	Number of Households Bands A-D	Number of Households Bands E – I	Total Number Households	Minimum %age households in receipt of mandatory payment
Llanelli Town		11,359	980	12,339	92%
• Tyisha	49.9%				
• Glanymor	43.9%				
• Bigyn	41.0%				
• Lliedi	39.3%				
• Elli	37.6%				
Llanelli Rural ^µ		9,103	1,575	10,678	85%
• Felinfoel	47.9%				
• Llwynhendy	45.6%				
• Dafen	41.0%				
Ammanford ^µ		2,350	274	2,624	90%
• Ammanford	44.9%				
• Pontaman	36.5%				
Cwarter Bach	41.9%	1,285	98	1,383	93%
Llandovery	41.2%	801	193	994	81%
Carmarthen Town ^µ		5,645	1,551	7,196	78%
• Carmarthen Town South	41.0%				
• Carmarthen Town North	37.3%				
Cwmamman		1,947	210	2,157	90%
• Glanamman	40.4%				
• Garnant	38.8%				
Pembrey & Burry Port ^µ		3,511	641	4,152	85%
• Burry Port	40.0%				
Trimsaran	39.9%	997	158	1,155	86%
Llanybydder	38.8%	592	157	749	79%
Kidwelly ^µ	38.7%	1,346	377	1,723	78%
Pontyberem	37.6%	1,117	203	1,320	84%
Cenarth ^µ	37.4%	270	286	556	49%
Llanfihangel-ar-Arth	35.9%	617	401	1,018	61%
Trelech	35.7%	142	176	318	45%
TOTAL		41,082	7,280	48,362	85%

^µ Note: there are additional wards within these regions – therefore the number of households noted are for the region rather than for the wards listed here.

Given that a minimum (this assessment is based purely on properties in Bands A to D, the number of payments made to those on credits within Bands E to I have not been included) of 85% of these households have received £150 under the mandatory cost of living scheme and given that discretionary funding is limited its proposed that no further payments are made to the wards noted above.

3.10 **Proposal to extend the mandatory scheme** of all households receiving financial support in Bands A to D to include Band E. The number of Band E properties total 12,462 but 722 of these have already received support through the mandatory scheme due to the fact that they are in receipt to Council Tax Rebate. The proposal is to provide support for the remaining 11,740 households. Dependent on which initiatives are accepted above the amount available to fund this proposal is limited. Proposal for £50 per household that were in resident on 15th February 2022, would mean we overspend, but the figures are noted below for information.

Table 12

Council Tax- Tax exemption criteria	Number of Households	Amount Payable £
Band E properties	11,740	587,000
Total	11,740	587,000
Method of processing Identified from the Council Tax billing System. Letters issued confirming their eligibility along with instructions of how to claim the award. Unique activation key to be issued which when entered along with Council Tax account number and personal details will enable them to claim online.		
Responsible Department / Service Corporate Services / Revenues		

3.10.1 Should all the above proposals noted above from Table 1 to Table 12 inclusive be accepted, this would mean that we have a shortfall of £269,150. This could be partly funded from the underspend that is currently predicted on the mandatory scheme, however, should take up increase on the mandatory scheme and it be fully utilised then this shortfall will not all be funded from the cost-of-living grant available. It is recommended therefore that this final initiative in Table 12 is not processed until after the mandatory scheme has closed and the actual financial position established, to ensure that sufficient funds are available to deliver the initiative.

RECOMMENDATIONS

Cabinet is recommended to:

- note the delivery of the Cost-of-Living Support Scheme (main scheme).
- To approve the categories summarised below as the approach for Carmarthenshire locally determined Discretionary Scheme noted from Table 1 through to 12 above, as summarized below:

Category of funding	Amount Payable £	Running total of grant available: £
		1,556,000
Class I – Receiving Care	5,400.00	
Class J – Providing Care	1,500.00	
Class U – Severely Mentally Impaired	46,800.00	
Class X – Care Leavers	5,250.00	
Total	58,950.00	1,497,050
Class K – Unoccupied by Students	75.00	
Class N – Occupied only by students	23,475.00	
Class S – Under 18	2,400.00	
Total	25,950.00	1,471,100
Foster Carers'	18,300.00	
DBR -Bands E - I	64,200.00	
Families with 3 children or more on Housing Benefits	2,100.00	
Benefit Capped	3,450.00	
Total	88,050.00	1,383,050
Grants / Top up / Enhance schemes:		
Discretionary Housing Payments	100,000	
Housing Poverty Grant	50,000	
Poverty Grant	50,000	
Rural Poverty Grant	50,000	
	250,000	1,133,050
Budget support officers	150,000	983,050
LED Lights	300,000	683,050
Further £50 per vulnerable household in receipt CTRs / HBens	365,200	317,850
£50 to all Band E	587,000	-269,150

- To agree that the final initiative in Table 12 is not processed until after the mandatory scheme has closed at the end of September 2022 so that the actual financial position can be established, to ensure that sufficient funds are available to deliver the initiative.
- To approve delegated authority to the Director of Corporate Services, in consultation with the Cabinet Member of Resources, to determine if Table 12 Initiative proceeds subject to sufficient funds available from the mandatory scheme underspend to fund the proposal.
- Delegate authority to the Director of Corporate Services, in consultation with the Cabinet Member for Resources, the ability to vire any additional underspent elements of funding either from the main scheme or between discretionary options agreed as necessary, to ensure full spend of the Council's allocated funding.

Mae'r dudalen hon yn wag yn fwriadol

**Y CABINET
3 HYDREF 2022**

**ADRODDIAD GRŴP GORCHWYL A GORFFEN Y PWYLLGOR CRAFFU
ADDYSG A PHLANT:
Y BROSES YMGYNGHORI YNGHYLCH TREFNIADAETH YSGOLION**

Diben: I ystyried argymhellion y Grŵp Gorchwyl a Gorffen, a amlinellir yn yr adroddiad amgaeedig.

Ystyried y materion canlynol a chyflwyno sylwadau arnynt:

- Cymeradwyo'r adroddiad a'r argymhellion sydd i'w cyflwyno gerbron y Cabinet.

Rhesymau:

- Yn ei gyfarfod a gynhaliwyd ar 21 Ebrill, 2021, cytunodd y Pwyllgor Craffu Addysg a Phlant i sefydlu Grŵp Gorchwyl a Gorffen i ymgymryd ag adolygiad o'r broses ymgynghori bresennol ar gyfer newid trefniadaeth ysgolion, gan gynnwys newidiadau i'r ddarpariaeth ieithyddol a chau ysgolion.
- Lluniodd y Grŵp Gorchwyl a Gorffen yr argymhellion sydd yn yr adroddiad ar ôl ystyried yr ystod o dystiolaeth oedd dan sylw mewn cyfres o gyfarfodydd rhwng mis Mai a mis Tachwedd 2021.

Ymgynghorwyd â'r pwyllgor craffu perthnasol: DO (23/12/2021)

Angen i'r Cabinet wneud penderfyniad: OES

Angen i'r Cyngor wneud penderfyniad: NAC OES

Yr Aelod Cabinet sy'n gyfrifol am y Portffolio:

Cyng. Glynog Davies (Addysg a Phlant)

<p>Cadeirydd y Grŵp Gorchwyl a Gorffen:</p> <p>Cyng. Darren Price</p> <p>Awdur yr Adroddiad: Michelle Evans Thomas</p>	<p>Swydd(i):</p> <p>Cadeirydd y Pwyllgor Craffu Addysg a Phlant</p> <p>Prif Swyddog Gwasanaethau Democraidd</p>	<p>Rhifau Ffôn / Cyfeiriadau E-Bost:</p> <p>01267 224470 DAPrice@sirgar.gov.uk</p> <p>01267 224470 MEEvansThomas@sirgar.gov.uk</p>
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EXECUTIVE SUMMARY

Education & Children Scrutiny Committee Task & Finish Group Report: *School Organisation Consultation Process*

At its meeting held on the 21st April, 2021 the Education & Children Scrutiny Committee agreed to establish a Task & Finish Group to undertake a review of the current consultation process for school organisation changes, including changes in linguistic provision and closure of schools

As a result of the discussions and evidence considered at the first three meetings and having regard to the fact that the Education & Children Department was in the process of revising its Welsh in Education Strategic Plan (WESP) and Modernising Education Programme (MEP), with public consultation envisaged within the next year, the Task & Finish Group agreed to formulate interim recommendations for consideration by Cabinet. The Interim Recommendations Report was considered and endorsed by Cabinet at its meeting held on 27th September 2021 when it was unanimously resolved to endorse the report.

The Task and Finish Group met on a further three occasions and, following further discussions and consideration of further evidence, formulated the following additional recommendations for consideration by the Cabinet:

Recommendations

- (1) The Council should call on the Welsh Government to review the school organisation code with a view to streamlining the process and re-evaluating which proposals should fall under the scope of the Code. Welsh Government should also ensure that members of the public are encouraged to express their support as well as inviting 'objections' to any proposal as part of the Code.
- (2) Following a decision by Pre-Cabinet to consult informally on any proposal, the local member, School Head and Chair of Governors should be informed, followed by school staff and the full Governing Body. These discussions should take place prior to any public announcement or publication of any Council documentation on the proposal.

- (3) The Council should build on the experience of recent school organisation proposals and ensure that consultation events are held in the affected communities, in person and online during the informal consultation stage. These consultation events should provide as much information as possible with regards to the reason for the proposal, methodology and any appraisals (including site selection options in new build proposals).
- (4) The Council should agree a one-page information sheet for parents, community members and other stakeholders explaining the consultation stages and ensure that this is available permanently on the Council's website and shared with parents of affected schools at the beginning of the consultation process.
- (5) The Council should ensure that a specific Communications Plan is developed for any school organisation proposal – ensuring that stakeholders are encouraged to respond to the consultation either online or via email and that paper copies of feedback forms are available throughout the consultation period and made available at any community consultation events.
- (6) When moving a school along the Welsh language continuum, the Council should build on the experiences of recent school organisation proposals and ensure that parents and other stakeholders are provided with materials which outline the benefits of bilingualism and advice on the support available to non-Welsh speaking parents. These materials should be made available online and shared in the community engagement events.
- (7) Once the Council has agreed to formally implement a school organisation proposal it should establish a Project Stakeholder Forum in order to keep schools, staff and other community stakeholders such as Town and Community Councils informed of progress. The Forum would also provide stakeholders with a regular means of raising queries with Council Officers and for problem solving ahead of formal implementation of the proposal.
- (8) The School Organisation Code, WESP and MEP should be included in the introductory and ongoing training provided to School Governors, School Staff and elected members and this training should also be available online to be accessed on demand.
- (9) The Council's WESP Strategy, including specific targets and actions relating to the provision of Welsh medium education and the promotion of the Welsh language within individual schools, should be a standing item on the School Governing Body agenda.

DETAILED REPORT ATTACHED?	YES – Draft Final Report of the Task & Finish Group
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: Linda Rees-Jones Head of Administration & Law

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	NONE	NONE	NONE	NONE	NONE	NONE

Policy, Crime & Disorder and Equalities

If these recommendations are supported by the Cabinet there will be changes to Carmarthenshire's related policies.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Linda Rees-Jones Head of Administration & Law

- 1. Scrutiny Committee:** At its meeting on 23 December 2021, the Education & Children Scrutiny Committee endorsed the Task and Finish report for further consideration by the Cabinet.
- 2. Local Member(s):** N/A
- 3. Community / Town Council:** N/A
- 4. Relevant Partners:** N/A
- 5. Staff Side Representatives and other Organisations –** Officers from the Education & Children Department contributed to and supported the work of the Task & Finish Group.

CABINET MEMBER PORTFOLIO HOLDER AWARE / CONSULTED		YES
Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:		
Title of Document	Locations that the papers are available for public inspection	
Welsh in Education Strategic Plan	Education & Children Department	
Modernising Education Programme	Education & Children Department	
School Organisation Code	Education & Children Department	

Mae'r dudalen hon yn wag yn fwriadol

Education & Children Scrutiny Committee Task & Finish

School Organisation Consultation Process

Scrutiny

in Carmarthenshire

scrutiny@carmarthenshire.gov.uk

Cyngor **Sir Gâr**
Carmarthenshire
County Council



Tudalen 127

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Foreword

I am pleased to present the report of the Education & Children Scrutiny Committee's Task and Finish Group which was established to review the current consultation process for school organisation changes in Carmarthenshire.

Members felt that it was timely to review the process by which the Council engaged with schools, staff and the public, particularly in terms of proposed changes in linguistic provision and closure of schools.

In addition to reviewing the consultation process for individual school changes, the Task and Finish Group also set out to review how the Authority engaged and developed its Strategic Plans such as the Welsh in Education Strategic Plan (WESP) and the Modernising Education Programme (MEP). Interim recommendations relating to that element of the review have already been presented to the Cabinet.

As part of the review we received evidence from local authority officers and Welsh Government officials. We also undertook a survey of schools, local members and Town and Community Councils who had been involved in school organisation changes over recent years, and asked for their views in terms of what worked well and any areas for improvement.

I hope that the recommendations that we have made will deliver further improvements to the consultation process within Carmarthenshire.

I am very grateful to my fellow members of this group for their input and commitment during this review.

I would also like to extend my thanks to the officers who have provided specialist advice and support throughout.

Councillor Darren Price
Chair of the Task & Finish Group

Background

At its meeting held on the 21st April, 2021 the Education & Children Scrutiny Committee agreed to establish a Task & Finish Group to review the current consultation process for school organisation changes, including changes in linguistic provision and closure of schools.

In selecting this topic for review, members identified that:-

- the Education & Children Scrutiny Committee has a key role to play in monitoring services, development of key policies and strategies, as well as identifying areas for improvement or development within their remit;
- many Elected Members and School Governors have raised the school organisation consultation process as an area of concern.

Main Scope and Aims of the Review

The main scope and aims of the Task & Finish review were agreed as follows:-

- To review the current process of engaging and consulting with schools, pupils, parents and the public in terms of any proposed school changes;
- To identify national and international best practice on consultation and engagement;
- To review the way in which the Council's Strategic Objectives are communicated, consulted upon and understood by schools, pupils, parents and residents e.g. the Welsh in Education Strategic Plan (WESP) and the Modernising Education Programme (MEP);
- To formulate recommendations for consideration by the Cabinet.

Membership of the Task & Finish Group

At the meeting of the Education & Children Scrutiny Committee held on 21st April, 2021, it was agreed that the membership of the Task & Finish Group comprise 6 Elected Members. The Group was appointed to reflect the political balance of the Council as a whole, as far as possible:-

- Plaid Cymru – Councillors Darren Price, Kim Broom & Jean Lewis
- Independent Group – Councillor Edward Thomas
- Labour Group – Councillor Dot Jones
- New Independent Group – Councillor Shahana Najmi
- Co-opted Member – Mr Anthony Enoch



Cllr. Darren Price
(Chair)
Gorslas
Plaid Cymru



Cllr. Kim Broom
Trimsaran
Plaid Cymru



Cllr. Dot Jones
Llannon
Labour



Cllr. Jean Lewis
Trelech
Plaid Cymru



Cllr. Edward Thomas
Llandeilo
Independent



Mr Anthony Enoch
Parent Governor
Member
Carmarthen Area

Attendance at Meetings

Attendance by members of the Task & Finish Group is shown in the table below. A total of 6 meetings were held during the 2021/22 municipal year.

Scrutiny Committee Member	Meetings Attended	%
Cllr. Darren Price (Chair)	6	100
Cllr. Kim Broom	6	100
Cllr. Dot Jones	6	100
Cllr. Jean Lewis	6	100
Cllr. Shahana Najmi	0	0
Cllr. Edward Thomas	4	66%
Mr Anthony Enoch	6	100

Overview

The group convened on six occasions. Three meetings were held prior to the formulation of the Interim Recommendations Report, as follows:-

Meeting 1 - 13th May 2021

The Group considered the review's scoping document and agreed to split the review into two parts:-

- (a) How the Authority engages and consults in developing its strategic plans (WESP, MEP etc.)
- (b) How the Authority engages and consults on specific school organisation proposals

PART (A) OF THE REVIEW – HOW THE AUTHORITY ENGAGES AND CONSULTS IN DEVELOPING ITS STRATEGIC PLANS (WESP, MEP ETC.)

The Group agreed to focus on point (a) initially as the department was in the process of revising its WESP and MEP plans, with public consultation envisaged within the next year. The Group were therefore keen to feed into that process.

Meeting 2 - 17th June 2021

Members met with Welsh Government officials, namely Bethan Webb, Deputy Director and Siwan Jones, Head of Welsh in Education Planning to discuss the following:-

- Welsh Government's expectation of Councils in relation to consultation when developing Strategic Plans;

- The requirements of the School Organisation Code in this regard and any plans to amend the School Standards and Organisation (Wales) Act 2013;
- The proposed new school categorisation system for Wales.

Meeting 3 - 24th June 2021

Members met with more Welsh Government (WG) officials, namely Neal O’Leary, Programme Director, 21st Century Schools & Colleges Programme, Jacqui Moyle, Head of School Organisation & Admissions and Rachel De Benedictis, School Reorganisation Manager, 21st Century Schools and Colleges Programme, to consider the following:-

- What are the WG’s expectations of Councils in relation to consulting with schools, staff and communities on their Strategic Plans;
- School Organisation Code and how Councils deal with particular proposals e.g. school closures, WESP etc.
- Use of funding within 21st Century Schools and the ability to refurbish schools and open and close schools.

Members also received a presentation by the Authority’s Head of Curriculum & Wellbeing and the Head of Access to Education on how officers intend to involve and consult with different stakeholders as part of the development of the WESP and MEP over the next year.

As a result of the discussions and evidence considered at the above meetings and having regard to the fact that the Education & Children Department was in the process of revising its WESP and MEP plans, with public consultation envisaged within the next year, the Group agreed to formulate interim recommendations for consideration by Cabinet.

Interim Recommendations Report

At its meeting held on the 8th July, 2021 the Education & Children Scrutiny Committee considered an Interim Recommendations Report prepared by the Task & Finish Group in order to feed into the Education & Children Department’s plans.

The following interim recommendations were endorsed by the Committee for consideration by Cabinet:-

1. That the Education Department Officers continue to develop a clear Communication Plan for the revised MEP and WESP which as well as including elected members, schools and public also includes a variety of stakeholders such as the Youth Service, Mudiad Meithrin, Flying Start and School Councils, and that the process be refined as more information becomes available;
2. That a range of both on-line and in person techniques are employed where possible in consulting on the strategic plans;

3. That officers discuss any school organisation proposals which form part of the revised MEP with schools directly before any documentation is made public, and outline the nature of the proposal i.e. Investment Programme/Rationalisation Programme;
4. That the Authority writes to the Welsh Government urging clarity as soon as possible with regards to the new school categorisation system;
5. That the Authority writes to the Welsh Government calling on them to ensure that the process of changing a school's language category falls within the WESP instead of the School Organisation Code, to reflect the fact that a school's journey along the continuum is a process and not an event;
6. That the Authority writes to the Welsh Government seeking information on how many small schools have benefited from 21st century funding, to determine whether evidence shows that the 5 case business model fails to deliver funding to small schools.

The report was subsequently considered by Cabinet on 27th September, 2021 when it was unanimously resolved that the report and recommendations be endorsed.

Cabinet noted that the Group had split the review into two parts:-

- (a) How the Authority engages and consults in developing its strategic plans (WESP, MEP etc.)
- (b) How the Authority engages and consults on specific school organisation proposals

and that the Interim report, incorporating the above six recommendations, concentrated on part (a) of the review.

PART (B) OF THE REVIEW – HOW THE AUTHORITY ENGAGES AND CONSULTS ON SPECIFIC SCHOOL ORGANISATION PROPOSALS

The Group met on a further three occasions:-

Meeting 4 - 23rd September 2021

The Group received the following presentations:-

- Moving Schools Along the Language Continuum by the Head of Curriculum & Wellbeing;
- Public Consultation on the Welsh in Education Strategic Plan (WESP) by the Head of Curriculum & Wellbeing;
- School Organisation Process by the Head of Access to Education and the Modernisation Team Manager.

Meeting 5 - 21st October 2021

The Group considered the following evidence:-

- Guidance for Stakeholders on the Statutory Consultation Process
- Feedback on Recently Held Consultations
- Feedback from Schools that have been through the Statutory Consultation Process

Meeting 6 - 4th November 2021

The Group considered all the evidence received to date.

As a result of the discussions and evidence considered at the above meetings, the Group agreed the additional recommendations for consideration by the Cabinet.

Conclusion

Following consideration of a range of evidence in relation to part (b) of the review – How the Authority engages and consults on specific school organisation proposals, the Task & Finish Group agreed the following additional recommendations, which had been structured on a thematic basis, with four main themes identified:-

Theme 1 - School Organisation Code

The Group felt that the School Organisation Code is very cumbersome and rigid in the way that it is set out. The Group also noted that there were perceived inconsistencies in terms of which proposals currently fall within the scope of the Code.

For example, a proposal to federate a number of schools over a relatively large geographical area does not currently fall within the scope of the Code, and these proposals are only subject to one public consultation period of up to 6 weeks. However, if an authority wants to build a new school to replace a building which is in a poor state of repair, it is subject to the Code – a formal consultation period, a statutory objection period and a number of other expectations which must be met.

The Group also questioned why there was a need for both a formal consultation process, which is reported to Cabinet/ Council for decision, and a statutory objection period, which provides a second opportunity to object and further extends the process. The Group felt that the Welsh Government should look to streamline the process - ensuring that stakeholders had sufficient opportunity to comment on proposals, but also ensuring that there was no unnecessary duplication or inefficient use of resources.

Furthermore, it was noted that the Code currently prescribes a pretty adversarial process. It talks of inviting 'objections' in the statutory objection period. The Group felt that supportive comments should also be invited throughout the process so as to ensure a fair representation of opinion.

The Group noted that some school organisation proposals, such as extending a school's age range from 4-11 years to 3-11 years for example, may have universal

support within the community, yet the Authority must follow the School Organisation Code, and invite 'objections' at the Statutory Objection stage.

As part of its Interim Recommendations under Part 1 of the review the Group has already asked the Council to write to the Welsh Government calling on them to ensure that the process of changing a school's language category falls within the WESP instead of the School Organisation Code, to reflect the fact that a school's journey along the continuum is a process and not an event.

It was felt by the Group that the School Organisation Code should only apply where an authority proposes to close a school.

Recommendation 1

The Council should call on the Welsh Government to review the school organisation code with a view to streamlining the process and re-evaluating which proposals should fall under the scope of the Code. Welsh Government should also ensure that members of the public are encouraged to express their support as well as inviting 'objections' to any proposal as part of the Code.

Theme 2 - Engagement and Consultation

The Group felt that a period of informal consultation with key stakeholders, prior to any formal decision to proceed, is vitally important. This is a practice that has developed across the County over recent years. The Group heard that it had worked well in a number of instances where key stakeholders were involved early on in discussions on a school organisation proposal. There is a need to ensure that this happens in every instance.

The Group therefore felt that discussions with the Local Member, Head and Chair of Governors firstly, and then with the full Governing Body and staff at the school, should all happen before any public announcement or publication of any Council documentation on any school organisation proposal.

The Group felt that Informal consultation 'drop-in' events should be held in the affected communities as a matter of course, and online if necessary – building on some of the good work that has been undertaken in recent years.

As much information as possible should be provided as part of the informal consultation process, particularly on the methodology and reasons for the proposal. In new build proposals, the site selection process should also be included at the informal stage.

The Group found that the school organisation process is a long and potentially confusing process, and members felt that a simple one-page information sheet should be prepared for parents which outlines the process in layman's terms, particularly explaining when and how people can share their views. This resource should be shared with local stakeholders as soon as a specific school organisation proposal emerges and should be available online permanently.

In addition to the public consultation process, the Group noted that elected members retain the ability to call-in any particular school organisation proposal, and that

members of the Education and Children's Scrutiny Committee can call for a report on any proposal when formulating the Committee's Forward Work Programme.

Recommendation 2

Following a decision by Pre-Cabinet to consult informally on any proposal, the local member, School Head and Chair of Governors should be informed, followed by school staff and the full Governing Body. These discussions should take place prior to any public announcement or publication of any Council documentation on the proposal.

Recommendation 3

The Council should build on the experience of recent school organisation proposals and ensure that consultation events are held in the affected communities, in person and online during the informal consultation stage. These consultation events should provide as much information as possible with regards to the reason for the proposal, methodology and any appraisals (including site selection options in new build proposals).

Recommendation 4

The Council should agree a one-page information sheet for parents, community members and other stakeholders explaining the consultation stages and ensure that this is available permanently on the Council's website and shared with parents of affected schools at the beginning of the consultation process.

Recommendation 5

The Council should ensure that a specific Communications Plan is developed for any school organisation proposal – ensuring that stakeholders are encouraged to respond to the consultation either online or via email and that paper copies of feedback forms are available throughout the consultation period and made available at any community consultation events.

Recommendation 6

When moving a school along the Welsh language continuum, the Council should build on the experiences of recent school organisation proposals and ensure that parents and other stakeholders are provided with materials which outline the benefits of bilingualism and advice on the support available to non-Welsh speaking parents. These materials should be made available online and shared in the community engagement events.

Theme 3 - Project Engagement

The Group felt that once a school organisation proposal was agreed, ongoing project engagement and regular dialogue between the authority and key stakeholders was important and needed to be formalised.

The Group felt that there was a need for this to happen in every instance and therefore make the following recommendation.

Recommendation 7

Once the Council has agreed to formally implement a school organisation proposal it should establish a Project Stakeholder Forum in order to keep schools, staff and other community stakeholders such as Town and Community Councils informed of progress. The Forum would also provide stakeholders with a regular means of raising queries with Council Officers and for problem solving ahead of formal implementation of the proposal.

Theme 4 - Information and Training

The Group noted that not all Governors and school staff were aware of the Council's overarching educational strategic plans such as the MEP or WESP. The Group therefore felt that these plans, as well as the School Organisation Code, should form part of the introductory and ongoing training schedule.

The Group noted that every school is on the Welsh language continuum, and that there was a need for schools to regularly assess what progress they were making in moving along the continuum, in order to support the Welsh Government and local authority's objective of increasing the number of Welsh speakers. It was also noted that even in designated Welsh medium schools or schools that are on the higher end of the continuum, that it would be useful for the school to regularly assess how it promotes and normalises the use of Welsh within the school grounds in line with Welsh Government and Local Authority objectives.

Recommendation 8

The School Organisation Code, WESP and MEP should be included in the introductory and ongoing training provided to School Governors, School Staff and elected members and this training should also be available online to be accessed on demand.

Recommendation 9

The Council's WESP Strategy, including specific targets and actions relating to the provision of Welsh medium education and the promotion of the Welsh language within individual schools, should be a standing item on the School Governing Body agenda.

Further Recommendations for Consideration by the Cabinet

The Task & Finish Group agreed that the following additional recommendations be presented to the Cabinet for consideration:-

- (1) The Council should call on the Welsh Government to review the school organisation code with a view to streamlining the process and re-evaluating which proposals should fall under the scope of the Code. Welsh Government should also ensure that members of the public are encouraged to express their support as well as inviting 'objections' to any proposal as part of the Code.
- (2) Following a decision by Pre-Cabinet to consult informally on any proposal, the local member, School Head and Chair of Governors should be informed, followed by school staff and the full Governing Body. These discussions should take place prior to any public announcement or publication of any Council documentation on the proposal.
- (3) The Council should build on the experience of recent school organisation proposals and ensure that consultation events are held in the affected communities, in person and online during the informal consultation stage. These consultation events should provide as much information as possible with regards to the reason for the proposal, methodology and any appraisals (including site selection options in new build proposals).
- (4) The Council should agree a one-page information sheet for parents, community members and other stakeholders explaining the consultation stages and ensure that this is available permanently on the Council's website and shared with parents of affected schools at the beginning of the consultation process.
- (5) The Council should ensure that a specific Communications Plan is developed for any school organisation proposal – ensuring that stakeholders are encouraged to respond to the consultation either online or via email and that paper copies of feedback forms are available throughout the consultation period and made available at any community consultation events.
- (6) When moving a school along the Welsh language continuum, the Council should build on the experiences of recent school organisation proposals and ensure that parents and other stakeholders are provided with materials which outline the benefits of bilingualism and advice on the support available to non-Welsh speaking parents. These materials should be made available online and shared in the community engagement events.
- (7) Once the Council has agreed to formally implement a school organisation proposal it should establish a Project Stakeholder Forum in order to keep schools, staff and other community stakeholders such as Town and Community Councils informed of progress. The Forum would also provide

stakeholders with a regular means of raising queries with Council Officers and for problem solving ahead of formal implementation of the proposal.

- (8) The School Organisation Code, WESP and MEP should be included in the introductory and ongoing training provided to School Governors, School Staff and elected members and this training should also be available online to be accessed on demand.
- (9) The Council's WESP Strategy, including specific targets and actions relating to the provision of Welsh medium education and the promotion of the Welsh language within individual schools, should be a standing item on the School Governing Body agenda.

APPENDIX 1

Education & Children Scrutiny Committee Task & Finish Group 2021/22

School Organisation Consultation Process

INTERIM RECOMMENDATIONS REPORT

SUMMARY

The Education and Children Scrutiny Committee at its meeting held on 21st April 2021 agreed to establish a Task and Finish Group to review the current consultation process for school organisation changes.

The main aims of the review were agreed as follows:

1. Review the current process of engaging and consulting with schools, pupils, parents and the public in terms of any proposed school changes
2. Identify national and international best practice on consultation and engagement
3. Review the way in which the Council's Strategic Objectives is communicated, consulted upon and understood by schools, pupils, parents and residents eg WESP and MEP
4. To formulate recommendations for consideration by the Executive Board.

The Planning and Scoping Document included the following:

- Context for the review
- Advisors / Support Officers
- Aims / scope of the review
- How it will contribute to achieving corporate/community objectives and well-being objectives
- Initial list of key stakeholders
- Information / documents required to inform the work of the study
- Timescale for completion of the review

At the first meeting of the Task and Finish Group held on 13th May, 2021 it was decided to split the review into 2 parts.

- (a) How the authority engages and consults in developing its strategic plans (WESP, MEP etc.), and
- (b) How the authority engages and consults on specific school organisation proposals

The Group agreed to focus on point (a) initially as the Department was in the process of revising its WESP and MEP, with public consultation envisaged within the next year. The Group were therefore keen to feed into that process.

During the meeting held on the 17th June, 2021 members met with Welsh Government officials, namely Bethan Webb, Deputy Director and Siwan Jones, Head of Welsh in Education Planning to consider the following:

- Welsh Government's expectation of Councils on consultation when developing Strategic Plans
- The requirements of the school organisation code in this regard, and any plans to amend the Act
- The proposed new school categorisation system for Wales

During the meeting held on 24th June, 2021 members met with further Welsh Government officials namely Neal O'Leary, Programme Director, 21st Century Schools & Colleges Programme, Jacqui Moyle, Head of School Organisation and Admissions and Rachel De Benedictis, School Reorganisation Manager, 21st Century Schools and Colleges Programme to consider the following:

- What are the expectations of WG of Councils when it comes to consulting with Schools, Staff and Communities on their Strategic Plans
- School Organisation Code – wider discussion on School Organisation Code and how Councils deal with particular proposals, i.e. schools closures, wesp etc.
- Use of funding within 21st Century Schools and ability to refurbish schools and open and close schools.

During the meeting held on 24th June, 2021 members were also presented with an outline by the Authority's Head of Curriculum & Wellbeing and Head of Access to Education on how officers intended to involve and consult different stakeholders as part of the development of the WESP and MEP over the next year.

During these meetings members discussed a number of issues. As a result of those discussions and in line with the desire to feed into the process of consultation around those Strategic Plans, the following interim recommendations were proposed:-

INTERIM RECOMMENDATIONS

1. That officers in the Education & Children's Services Department continue to develop a clear Communication Plan for the revised MEP and WESP which as well as including elected members, schools and public also includes a variety of stakeholders such as

the Youth Service, Mudiad Meithrin, Flying Start and School Councils and that the process be refined as more information becomes available;

2. That a range of both on-line and in person techniques are employed where possible in consulting on the strategic plans;
3. That officers discuss any school organisation proposals which form part of the revised MEP with schools directly before any documentation is made public, and outline the nature of the proposal i.e. Investment Programme/ Rationalisation Programme;
4. That the Authority writes to the Welsh Government urging clarity as soon as possible with regards to the new school categorisation system;
5. That the Authority writes to the Welsh Government calling on them to ensure that the process of changing a school's language category falls within the WESP instead of the School Organisation Code, to reflect the fact that a school's journey along the continuum is a process and not an event;
6. That the Authority writes to the Welsh Government seeking information on how many small schools have benefited from 21st Century funding, to determine whether evidence shows that the 5 case business model fails to deliver funding to small schools.

Mae'r dudalen hon yn wag yn fwriadol

Cabinet

3 HYDREF 2022

**Pwnc: LLYTHYR BLYNYDDOL YR OMBWDSMON 2021/2022
CYNGOR SIR CAERFYRDDIN**

**Pwrpas: Derbyn Llythyr Blynyddol 2021/22 Ombwdsmon
Gwasanaethau Cyhoeddus Cymru (Y Llythyr)**

**Yr argymhellion/penderfyniadau allweddol sydd eu hangen:
Derbyn Llythyr Blynyddol 2021/22 ac asesu'r perfformiad ac
ystyried unrhyw gamau ynghylch hynny.**

Y Rhesymau: Bob blwyddyn mae Ombwdsmon Gwasanaethau Cyhoeddus Cymru yn rhoi llythyr i bob awdurdod lleol yng Nghymru ar ffurf taflen ffeithiau ynghyd â'r data cysylltiedig. Mae'n cael ei ddarparu i gynorthwyo o ran adolygu perfformiad.

Angen ymgynghori â'r Pwyllgor Craffu perthnasol - Amherthnasol

Angen i'r Bwrdd Gweithredol wneud penderfyniad OES

Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:- Y
Cynghorydd Darren Price

Y Gyfarwyddiaeth

Enw Pennaeth y Gwasanaeth:
Linda Rees-Jones

Awdur yr Adroddiad: Nigel J
Evans

Swyddi: Pennaeth
Gweinyddiaeth a'r Gyfraith

Rhif ffôn 01267 224694

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NEvans@sirgar.gov.uk

EXECUTIVE SUMMARY

Ombudsman's Annual Letter 2021/2022 Carmarthenshire County Council

- 1. Annually, the PSOW provides to each County Council a letter in the form of a factsheet with accompanying data. It is provided to assist in reviewing performance.**
- 2. This year's Letter is attached to this summary. Selected items include:**
 - The number of complaints relating to Local Authorities increased by 47% nationally when compared with last year;
 - There has also been a higher number of Code of Conduct complaints when compared with last year. In addition, there has been a record number referred to either the Adjudication Panel for Wales, or local standards committees;
 - Under the new 2019 Act powers, the Ombudsman published the outcome of the first "Own Initiative Investigation" (Homelessness Review), resulting in specific recommendations and suggestions designed to bring about changes for people using homelessness services. The Ombudsman also completed three extended investigations i.e. extending an investigation already underway to cover other issues;
 - Also under the new powers, the Complaints Standards team (CSA) have continued to work with public bodies, and for the first time have published information on complaints handled by Local Authorities. The CSA information showed over 15,000 complaints were recorded by Local Authorities, with nearly half (46%) of those complaints being investigated within 20 working days. About 8% of all closed complaints ended up being referred to the PSOW;
 - In terms of Carmarthenshire specifically, the PSOW received 54 complaints directly to her office against the Council. See Appendix A of the Letter. Whilst all Councils were subject to more complaints, Carmarthenshire was one of the Councils that saw the highest increase. In terms of population the number of complaints equated to 0.29 complaints per 1000 residents, against an average for Wales of 0.36 (Carmarthenshire being the fourth most populous county in Wales). Last year the figure of complaints for Carmarthenshire was 27, which equated to 0.14 against an average of 0.25;
 - Appendix B shows how Carmarthenshire complaints are broken down into subject area. Planning, Housing and complaints handling, nationally and traditionally, generate a large proportion of complaints. It is also mentioned that the classification of subject area is ascribed by the Ombudsman, so does not take into account service structures at Carmarthenshire. Subject areas may therefore contain individual cases that Carmarthenshire would categorise to a different area of service;

- Appendix C shows that there were no reports issued against Carmarthenshire, either upheld or not upheld;
- Appendix D shows to what extent the Ombudsman intervened in cases. These are cases that are settled, resolved early, or where she has issued a report. For Carmarthenshire the rate of interventions decreased when compared with last year. It equated to 14% of cases with the national also being 14%. This is in comparison with 21% of cases last year with a national average of 13%;
- Code of Conduct figures for Carmarthenshire at Appendices E and F, show there were no referrals to the Standards Committee or the Adjudication Panel for Wales.

DETAILED REPORT ATTACHED? YES – the PSOW's Annual Letter 2021/22

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Linda Rees Jones Head of Administration and Law

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	YES	NONE	NONE	NONE	NONE	NONE

1. Legal - the PSOW Annual Letter asks that the annual letter is presented in order for performance to be reviewed. The Letter is attached to this report.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below. Consultation 1-5 not applicable.

Signed; Linda Rees-Jones, Head of Administration and Law

Consultations 1 – 5 not applicable.

1.Scrutiny Committee

2.Local Member(s)

3.Community / Town Council

4.Relevant Partners

5.Staff Side Representatives and other Organisations

**CABINET MEMBER PORTFOLIO
HOLDER AWARE/CONSULTED**

YES

Include any observations here – no consultations required. The report is to receive data and information.


**Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:**

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
The PSOW's Annual Letter 2021/22	CCOM-975	<p>https://www.ombudsman.wales/wp-content/uploads/2022/08/Carmarthenshire-Eng.pdf</p> <p>http://www.ombwdsmon.cymru/wp-content/uploads/sites/2/2022/08/Carmarthenshire-Cym.pdf</p>
The PSOW's Annual report 2021/22	CCOM-975	<p>https://www.ombudsman.wales/wp-content/uploads/2022/08/ANNUAL-REPORT-2021-22-Signed-Version.pdf</p> <p>https://www.ombudsman.wales/wp-content/uploads/2022/08/2021-22-ANNUAL-REPORT-2021-22-EXECUTIVE-SUMMARY.pdf</p> <p>http://www.ombwdsmon.cymru/wp-content/uploads/sites/2/2022/08/ADRODDIAD-BLYNYDDOL-2021-22-Signed-Version.pdf</p> <p>http://www.ombwdsmon.cymru/wp-content/uploads/sites/2/2022/08/2021-22-ADRODDIAD-BLYNYDDOL-2021-22-CRYNODEB-GWEITHREDOL.pdf</p>

Mae'r dudalen hon yn wag yn fwriadol

Gofynnwch am: Cyfathrebu

 01656 641150

Dyddiad: Awst 2022

 cyfathrebu@ombwdsmon.cymru

Cnygh. Darren Price
Cyngor Sir Gâr
Trwy Ebst yn unig: leader@carmarthenshire.gov.uk

Llythyrau Blynyddol 2021/22

Annwyl Cnyghorydd Price

Mae'n falch gennyf gyflwyno'r Llythyr Blynyddol (2021/22) i chi ar gyfer Cyngor Sir Gâr sy'n ymdrin â chwynion yn ymwneud â chamweinyddu a methiant gwasanaeth, cwynion yn ymwneud ag achosion honedig o dorri'r Cod Ymddygiad i Gynghorwyr, a'r camau sy'n cael eu cymryd i wella gwasanaethau cyhoeddus.

Dyma fy llythyr blynyddol cyntaf ers ymgymryd â rôl yr Ombwdsmon Gwasanaethau Cyhoeddus ym mis Ebrill 2022, ac rwy'n gwerthfawrogi bod pob corff cyhoeddus yng Nghymru yn dal i deimlo effeithiau'r pandemig. Ni fu ein swyddfa yn rhydd rhag hyn, gyda mwy o achosion nag erioed yn cael eu cyfeirio atom dros y ddwy flynedd ddiwethaf. Mae'r berthynas waith gref rhwng fy Swyddfa ag Awdurdodau Lleol yn parhau i ddarparu gwelliannau yn y ffordd rydym yn ymdrin â chwynion ac yn sicrhau, pan aiff pethau o chwith, ein bod yn dysgu o hynny ac yn adeiladu gwasanaethau cyhoeddus cryfach.

Cwynion yn ymwneud â Chamweinyddu a Methiant Gwasanaeth

Y llynedd, cynyddodd nifer y cwynion a gyfeiriwyd atom yn ymwneud ag awdurdodau lleol o 47% (o gymharu â ffigyrau 20/21) ac rydym bellach yn derbyn llawer mwy o gwynion o gymharu â chyn y pandemig. Mae'n debygol y cafodd cwynion i'm swyddfa, a gwasanaethau cyhoeddus yn gyffredinol, eu celu yn ystod y pandemig, ac rydym bellach yn dechrau gweld yr effaith 'adlam' ddisgwyliedig.

Yn ystod y cyfnod hwn, gwnaethom ymyrryd (cadarnhau, setlo neu ddatrys yn y cam cynnar) cyfran debyg o gwynion am gyrff cyhoeddus, sef 18%, o gymharu â blynyddoedd diweddar. Arhosodd cyfraddau ymyrryd (lle rydym wedi ymchwilio i gwynion) ar gyfer Awdurdodau Lleol ar lefel debyg hefyd – 14% o gymharu â 13% yn y blynyddoedd diwethaf.

Tudalen 1 o 9

Cwynion yn ymwneud â'r Cod Ymddygiad i Gynghorwyr

Cawsom hefyd nifer uchel o gwynion y Cod Ymddygiad y llynedd, yn ymwneud â Phrif Gynghorau a Chynghorau Tref a Chynghorau Cymuned. Cyfeiriwyd y nifer uchaf erioed (20) at naill ai at Banel Dyfarnu Cymru neu bwyllgorau safonau lleol, oherwydd tystiolaeth o dorri'r Cod.

Cefnogi gwella gwasanaethau cyhoeddus

Yn ogystal â rheoli'r lefelau uchaf erioed o gwynion, gwnaethom hefyd barhau â'n gwaith gan ddefnyddio ein pwerau rhagweithiol yn Neddf Ombwdsmon Gwasanaethau Cyhoeddus (Cymru) 2019. Yn benodol, cynnal ein Hymchwiliad ar ein Liwt ein Hunain cyntaf a pharhau â'n gwaith ar yr Awdurdod Safonau Cwynion.

Ym mis Hydref 2021, gwelsom gyhoeddiad yr ymchwiliad ar ei liwt ei hun cyntaf yng Nghymru: [Adolygiad Digartrefedd](#). Roedd yr ymchwiliad yn cynnwys tri Awdurdod Lleol ac yn ceisio craffu ar y ffordd y cafodd asesiadau Digartrefedd eu cynnal. Gwnaeth yr adroddiad argymhellion penodol i'r awdurdodau yr ymchwiliwyd iddynt, ynghyd ag awgrymiadau i bob Awdurdod Lleol arall yng Nghymru a Llywodraeth Cymru. Bydd rhai o'r argymhellion hyn yn cyflwyno newidiadau yn syth - er enghraifft, diweddarau taflenni ffeithiau a thempledi llythyr ac asesiad i sicrhau bod ystyriaethau cydraddoldeb a hawliau dynol allweddol yn cael eu gwreiddio'n rheolaidd i brosesau - cynlluniwyd pob argymhelliad i sicrhau newid gwirioneddol i bobl sy'n defnyddio gwasanaethau digartrefedd yng Nghymru.

Parhaodd yr Awdurdod Safonau Cwynion ei waith â chyrrff cyhoeddus yng Nghymru'r llynedd. Mae'r polisi cwynion enghreifftiol eisoes wedi'i fabwysiadu gan awdurdodau lleol a byrddau iechyd yng Nghymru ac rydym bellach wedi ymestyn hyn i gyfran gyntaf o Gymdeithasau tai a Chyfoeth Naturiol Cymru. Y nod yw gweithredu'r gwaith hwn ledled sector cyhoeddus Cymru.

Yn ogystal â hyn, [am y tro cyntaf](#), cyhoeddodd yr Awdurdod Safonau Cwynion wybodaeth am gwynion y mae awdurdodau lleol wedi ymdrin â nhw – cyflawniad allweddol ar gyfer y gwaith hwn. Dengys data ar gyfer 21/22:

- Cofnodwyd dros 15,000 o gwynion gan Awdurdodau Lleol
- 4.88 am bob 1000 o breswylwyr.
- Cadarnhawyd bron i hanner (46%) y cwynion hynny.
- Ymchwiliwyd i oddeutu 75% ohonynt o fewn 20 diwrnod gwaith.
- Yn y pen draw, cyfeiriwyd oddeutu 8% o'r holl gwynion a gaewyd at OGCC.

Mae'r Awdurdod Safonau Cwynion bellach wedi gweithredu polisi cwynion enghreifftiol gyda bron i 50 o gyrff cyhoeddus, ac wedi darparu 140 o sesiynau hyfforddi, yn rhad ac am ddim, yn ystod y flwyddyn ariannol ddiwethaf. Bu'r adborth yn ardderchog, ac mae'r hyfforddiant wedi bod yn boblogaidd iawn – felly byddwn yn annog Cyngor Sir Gâr i ymgysylltu cymaint â phosibl.

Cwynion a wnaed i'r Ombwdsmon

Mae crynodeb o'r cwynion yn ymwneud â chamweinyddu/methiant gwasanaeth a dderbyniwyd mewn cysylltiad â'ch Cyngor wedi'i atodi, ynghyd â chrynodeb o'r cwynion Cod Ymddygiad yn ymwneud ag aelodau'r Cyngor a Chynghorau Tref a Chynghorau Cymuned eich ardal.

Yn sgil y dyletswyddau newydd ar arweinwyr gwleidyddol a phwyllgorau safonau i hyrwyddo a chynnal safonau uchel o ran ymddygiad eu haelodau, edrychwn ymlaen at weithio gyda chi, eich Swyddog Monitro a phwyllgorau safonau i rannu unrhyw ddysgu o'r cwynion a gawn ac i gefnogi gwaith eich awdurdod.

Byddwn hefyd yn croesawu adborth ar adolygiad eich Pwyllgor Llywodraethu ac Archwilio o ran gallu eich awdurdod i ymdrin â chwynion yn effeithiol fel y gallwn gymryd hyn i ystyriaeth yn ein gwaith a chefnogi ei waith ar ymdrin â chwynion.


Yn olaf, hoffwn ddiolch i chi a'ch swyddogion am y ffordd gadarnhaol y mae Awdurdodau Lleol wedi ymgysylltu â'm Swyddfa i'n galluogi i gyflawni'r cyflawniadau hyn yn ystod yr hyn sydd wedi bod yn flwyddyn heriol i bawb. Edrychaf ymlaen yn fawr at barhau â'r gwaith a'r cydweithio hwn i sicrhau ein bod yn gwella gwasanaethau cyhoeddus ymhellach ledled Cymru.

Ymhellach i'r llythyr hwn, a gaf ofyn i'ch Cyngor gymryd y camau canlynol:

- Cyflwyno fy Llythyr Blynyddol i'r Cabinet ac i'r Pwyllgor Llywodraethu ac Archwilio i gynorthwyo aelodau yn eu gwaith craffu ar berfformiad y Cyngor a rhannu unrhyw adborth gan y Cabinet a'r Pwyllgor Llywodraethu ac Archwilio gyda fy swyddfa.
- Parhau i ymgysylltu â'n gwaith Safonau Cwynion, rhoi hyfforddiant i'ch staff, gweithredu'r polisi enghreifftiol yn llawn a darparu data cwynion.
- Rhoi gwybod imi am ganlyniad ystyriaethau a chamau gweithredu arfaethedig y cyngor yng nghyswllt y materion uchod erbyn 30 Medi.

Mae'r ohebiaeth hon yn cael ei chopïo i Brif Weithredwr eich Cyngor a'ch Swyddog Cyswllt. Yn olaf, bydd copi o'r holl Lythyrau Blynyddol yn cael eu cyhoeddi ar fy ngwefan.

Yn gywir,



Michelle Morris
Ombwdsmon Gwasanaethau Cyhoeddus

cc.Wendy Walters, Prif Weithredwr, Cyngor Sir Gâr.
Trwy Ebst yn unig: chiefexecutive@carmarthenshire.gov.uk

Taflen Ffeithiau

Atodiad A - Cwynion a Gafwyd

Awdurdod Lleol	Cwynion a Gafwyd	Cwynion a dderbyniwyd fesul 1000 o drigolion
Cyngor Bwrdeistref Sirol Blaenau Gwent	14	0.20
Cyngor Bwrdeistref Sirol Pen-y-bont ar Ogwr	55	0.37
Cyngor Bwrdeistref Sirol Caerffili	60	0.33
Cyngor Caerdydd*	182	0.50
Cyngor Sir Caerfyrddin	54	0.29
Cyngor Sir Ceredigion	52	0.72
Cyngor Bwrdeistref Sirol Conwy	27	0.23
Cyngor Sir Ddinbych	34	0.36
Cyngor Sir y Fflint	99	0.63
Cyngor Gwynedd	39	0.31
Cyngor Sir Ynys Môn	29	0.41
Cyngor Bwrdeistref Sirol Merthyr Tudful	27	0.45
Cyngor Sir Fynwy	20	0.21
Cyngor Castell-nedd Port Talbot	45	0.31
Cyngor Dinas Casnewydd	40	0.26
Cyngor Sir Penfro	39	0.31
Cyngor Sir Powys	55	0.42
Cyngor Bwrdeistref Sirol Rhondda Cynon Taf	51	0.21
Cyngor Abertawe	71	0.29
Cyngor Bwrdeistref Sirol Torfaen	18	0.19
Cyngor Bro Morgannwg	61	0.46
Cyngor Bwrdeistref Sirol Wrecsam	71	0.52
Cyfanswm	1143	0.36

* gan gynnwys 17 Rhentu Doeth Cymru

Atodiad B - Cwynion a Gafwyd yn ôl Pwnc

Cyngor Sir Gâr	Cwynion a Gafwyd	% rhannu
Gwasanaethau Cymdeithasol Oedolion	11	20%
Gweinyddu Budd-daliadau	1	2%
Gwasanaethau Cymdeithasol Plant	2	4%
Cyfleusterau Cymunedol. Adloniant a Hamdden	0	0%
Ymdrin â Chwynion	8	15%
Covid19	0	0%
Addysg	0	0%
Yr Amgylchedd ac Iechyd yr Amgylchedd	5	9%
Cyllid a Threthiant	1	2%
Tai	8	15%
Trwyddedu	0	0%
Cynllunio a Rheoli Adeiladu	12	22%
Ffyrdd a Thrafnidiaeth	1	2%
Amrywiol Eraill	5	9%
Cyfanswm	54	

Atodiad C - Canlyniadau Cwynion
 (* yn dynodi ymyrraeth)

	Tu hwnt i Awdurdodaeth	Cynamserol	Achosion eraill wedi'u cau ar ôl ystyriaeth gychwynnol	Datrys yn Gynnar/Setliad Gwirfoddol*	Wedi rhoi'r gorau iddi	Adroddiadau Eraill – Ni Chadarnhawyd	Adroddiadau eraill a gadarnhawyd*	Adroddiadau er Budd y Cyhoedd*	Cyfanswm
Cyngor Sir Gâr	5	20	17	7	0	0	0	0	49
% Share	10%	41%	35%	14%	0%	0%	0%	0%	

Atodiad D - Achosion lle ymyrrodd OGCC

	Nifer yr ymyriadau	Nifer y cwynion a gaewyd	% o ymyriadau
Cyngor Bwrdeistref Sirol Blaenau Gwent	0	13	0%
Cyngor Bwrdeistref Sirol Pen-y-bont ar Ogwr	7	54	13%
Cyngor Bwrdeistref Sirol Caerffili	7	58	12%
Cyngor Caerdydd	45	159	28%
Cyngor Caerdydd - Rhentu Doeth Cymru	1	16	6%
Cyngor Sir Caerfyrddin	7	49	14%
Cyngor Sir Ceredigion	13	46	28%
Cyngor Bwrdeistref Sirol Conwy	2	24	8%
Cyngor Sir Ddinbych	4	33	12%
Cyngor Sir y Fflint	15	94	16%
Cyngor Gwynedd	6	41	15%
Cyngor Sir Ynys Môn	3	28	11%
Cyngor Bwrdeistref Sirol Merthyr Tudful	2	26	8%
Cyngor Sir Fynwy	2	21	10%
Cyngor Castell-nedd Port Talbot	5	45	11%
Cyngor Dinas Casnewydd	4	36	11%
Cyngor Sir Penfro	2	40	5%
Cyngor Sir Powys	7	55	13%
Cyngor Bwrdeistref Sirol Rhondda Cynon Taf	3	45	7%
Cyngor Abertawe	10	76	13%
Cyngor Bwrdeistref Sirol Torfaen	2	20	10%
Cyngor Bro Morgannwg	9	62	15%
Cyngor Bwrdeistref Sirol Wrecsam	4	67	6%
Cyfanswm	160	1108	14%

Atodiad E - Cwynion Y Cod Ymddygiad

	Wedi rhoi'r gorau iddi	Dim tystiolaeth o dorri'r cod	Dim angen gweithredu	Cyfeiriwyd at y Panel Dyfarnu	Cyfeiriwyd at y Pwyllgor Safonau	Tynnwyd yn ôl	Cyfanswm
Cyngor Sir Gâr	1	5	0	0	0	0	6

Atodiad F - Cwynion Cod Ymddygiad Cyngorau Tref/Cyngor Cymuned

	Wedi rhoi'r gorau iddi	Dim tystiolaeth o dorri'r cod	Dim angen gweithredu	Cyfeiriwyd at y Panel Dyfarnu	Cyfeiriwyd at y Pwyllgor Safonau	Tynnwyd yn ôl	Cyfanswm
Cyngor Cymuned Betws	-	-	-	-	-	-	0
Cyngor Tref Cwmaman							
Cyngor Gwledig Llanelli	1	0	0	0	0	0	1
Cyngor Tref Llanelli	-	-	-	-	-	-	0
Cyngor Cymuned Llanfynydd [Sir Gaerfyrddin]	-	-	-	-	-	-	0
Cyngor Cymuned Llangynnwr	-	-	-	-	-	-	0
Cyngor Cymuned Llannon	0	1	0	0	0	0	1

Taflen Wybodaeth

Mae Atodiad A yn dangos nifer y cwynion a dderbyniwyd gan OGCC ar gyfer pob Awdurdod Lleol yn 2021/2022. Caiff y cwynion hyn eu rhoi mewn cyd-destun yn seiliedig ar nifer y bobl y mae pob bwrdd iechyd yn eu gwasanaethu yn ôl pob sôn.

Mae Atodiad B yn dangos categori pob cwyn a dderbyniwyd, a pha gyfran o'r cwynion a dderbyniwyd sy'n cynrychioli ar gyfer yr Awdurdod Lleol.

Mae Atodiad C yn dangos canlyniadau'r cwynion a gaeodd OGCC mewn cysylltiad â'r Awdurdod Lleol yn 2021/2022. Mae'r tabl hwn yn dangos y niferoedd, a'r gyfran y mae pob canlyniad yn ei chynrychioli ar gyfer yr Awdurdod Lleol.

Mae Atodiad D yn dangos Cyfraddau Ymyrru ar gyfer pob Awdurdod Lleol yn 2021/2022. Mae ymyrraeth yn cael ei gategoreiddio naill ai gan gŵyn a gadarnhawyd (naill ai cadarnhawyd er budd y cyhoedd neu cadarnhawyd nid er budd y cyhoedd), penderfyniad cynnar, neu setliad gwirfoddol.

Mae Atodiad E yn dangos canlyniadau cwynion y Cod Ymddygiad a gaewyd gan OGCC mewn perthynas ag Awdurdod Lleol yn 2021/2022. Mae'r tabl hwn yn dangos y niferoedd, a'r gyfran, y mae pob canlyniad yn ei chynrychioli ar gyfer yr Awdurdod Lleol.

Mae Atodiad F yn dangos canlyniadau cwynion y Cod Ymddygiad a gaewyd gan OGCC mewn perthynas â Chynghorau Tref a Chynghorau Cymuned yn ardal yr Awdurdod Lleol. Mae'r tabl hwn yn dangos y niferoedd, a'r gyfran y mae pob canlyniad yn ei chynrychioli ar gyfer Cynghorau Tref a Chynghorau Cymuned.

Mae'r dudalen hon yn wag yn fwiadol

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